#### COMMISSION REGULATION (EC) No 1379/2007

of 26 November 2007

amending Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 of the European Parliament and of the Council on shipments of waste, for the purposes of taking account of technical progress and changes agreed under the Basel Convention

(Text with EEA relevance)

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Regulation (EC) No 1013/2006 of the European Parliament and of the Council of 14 June 2006 on shipments of waste (<sup>1</sup>), and in particular Article 58(1) thereof,

#### Whereas:

(1) The agreement of the eighth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 27 November-1 December 2006, necessitates amendments of Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 on shipments of waste. The amendments concern the replacement of 'kg' and 'litre' by 'Tonnes (Mg)' and 'm<sup>3</sup>' in block 5 of the notification document in Annex IA, blocks 5 and 18 of the movement document in Annex IB and blocks 3 and 14 of the consignment information in Annex VII, the insertion of a new block 17 in the movement document, an amendment of footnote 1 of the consignment information and references to guidelines on environmentally sound management in items I.4-9 of Annex VIII. For the sake of clarity these Annexes should be replaced.

- (2) Regulation (EC) No 1013/2006 should therefore be amended accordingly.
- (3) The measures provided for in this Regulation are in accordance with the opinion of the Committee established by Article 18 of Directive 2006/12/EC of the European Parliament and of the Council (<sup>2</sup>),

HAS ADOPTED THIS REGULATION:

#### Article 1

Annexes IA, IB, VII and VIII to Regulation (EC) No 1013/2006 are replaced by the text set out in the Annex to this Regulation.

### Article 2

This Regulation shall enter into force on the third day following its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 26 November 2007.

For the Commission Stavros DIMAS Member of the Commission

# ANNEX I

Annex IA to Regulation (EC) No 1013/2006 is replaced by the following:

# 'ANNEX IA

# Notification document for transboundary movements/shipments of waste

| 1. Exporter — notifier Registr   | ration No:              | 3. Notification No:   |           |      |  |  |  |
|--|-------------------------|---|-----------|------|--|--|--|
| Name:  |                         | Notification concerning   |           |      |  |  |  |
| Address:   |                         | A. (i) Individual shipment:   |           |      |  |  |  |
|  |                         | (ii) Multiple shipments:  |           |      |  |  |  |
| Contact person:  | _                       | B. (i) Disposal ( <sup>1</sup> ):                                     |           |      |  |  |  |
| Tel.:  | Fax:                    | (ii) Recovery:  |           |      |  |  |  |
| E-mail:  |                         | C. Pre-consented recovery facility ( <sup>2</sup> ) ( <sup>3</sup> )  | Yes 🗆     | No 🗆 |  |  |  |
| 2. Importer — consignee  |                         | 4. Total intended number of shipments:                                |           |      |  |  |  |
| Registration No:   |                         |   |           |      |  |  |  |
| Name:  |                         | 5. Total intended quantity ( <sup>4</sup> ):                          |           |      |  |  |  |
| Address:   |                         | Tonnes (Mg):<br>m <sup>3</sup> :                                      |           |      |  |  |  |
| Contact person:  |                         | 6. Intended period of time for shipment(s) ( <sup>4</sup> ):          |           |      |  |  |  |
| Tel.:  | Fax:                    | First departure: Last d   | eparture: |      |  |  |  |
| E-mail:  |                         | 7. Packaging type(s) ( <sup>5</sup> ):                                |           |      |  |  |  |
|  |                         | Special handling requirements ( <sup>6</sup> ):                       | Yes □     | No 🗆 |  |  |  |
| 8. Intended carrier(s)   |                         | 11. Disposal/recovery operation(s) ( <sup>2</sup> )                   |           |      |  |  |  |
| Registration No:   |                         | D-code/R-code ( <sup>5</sup> ):                                       |           |      |  |  |  |
| Name ( <sup>7</sup> ):   |                         | Technology employed ( <sup>6</sup> ):                                 |           |      |  |  |  |
| Address:   |                         | Reason for export $(1)$ ( <sup>6</sup> ):                             |           |      |  |  |  |
| Contact person:<br>Tel.:<br>E-mail:  | Fax:                    | <b>12. Designation and composition of the waste</b> ( <sup>6</sup> ): |           |      |  |  |  |
| Means of transport ( <sup>5</sup> ):   | a                       |   |           |      |  |  |  |
| 9. Waste generator(s)—producer(s) ( <sup>1</sup> ) ( <sup>7</sup> ) ( <sup>8</sup> | )                       | <b>13.</b> Physical characteristics ( <sup>5</sup> ):                 |           |      |  |  |  |
| Registration No:   |                         |   |           |      |  |  |  |
| Name:  |                         |   |           |      |  |  |  |
| Address:   |                         | 14. Waste identification (fill in relevant codes)                     |           |      |  |  |  |
| Contact person:  |                         | (i) Basel Annex VIII (or IX if applicable):                           |           |      |  |  |  |
| Tel.:  | Fax:                    | (ii) OECD code (if different from (i)):                               |           |      |  |  |  |
| E-mail:  |                         | (iii) EC list of wastes:  |           |      |  |  |  |
| Site and process of generation ( <sup>6</sup> )                                    |                         | (iv) National code in country of export:                              |           |      |  |  |  |
| 10. Disposal facility (²): □ or re   | ecovery facility (²): 🗆 | (v) National code in country of import:                               |           |      |  |  |  |
| Registration No:   |                         | (vi) Other (specify):   |           |      |  |  |  |
| Name:  |                         | (vii) Y-code:   |           |      |  |  |  |
| Address:   |                         | (viii) H-code ( <sup>5</sup> ):                                       |           |      |  |  |  |
| Contact person:  |                         | (ix) UN class ( <sup>5</sup> ):                                       |           |      |  |  |  |
| Tel.:  | Fax:                    | (x) UN number:  |           |      |  |  |  |
| E-mail:  | · · · · ·               |   |           |      |  |  |  |
| Actual site of disposal/recovery:  |                         | (xi) UN shipping name:  |           |      |  |  |  |
|  |                         | (xii) Customs code(s) (HS):   |           |      |  |  |  |

| 15. (a) Countries/States concerned, (b) code No of competent authorities where applicable, (c) specific points of exit or entry (border crossing or port)   |   |              |   |                                |           |  |  |
|---|---|--------------|---|--------------------------------|-----------|--|--|
| State of export — dispatch  | State(s) of transit (entry and exit) State      |              |   |                                | State c   | State of import — destination          |  |
| (a)   |   |              |   |                                |           |  |  |
| (b)   |   |              |   |                                |           |  |  |
| (C)   |   |              |   |                                |           |  |  |
| 16. Customs offices of entry an   | d/or exit and/or export                         | (European Co | ommunity):  |                                |           |  |  |
| Entry:  | Exit:   |              |   |                                | Export:   |  |  |
| 17. Exporter's — notifier's/gene  | rator's — producer's ( <sup>1</sup> )           | declaration: |   |                                |           |  |  |
| I certify that the information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. |   |              |   | 18. Number of annexes attached |           |  |  |
| Exporter's — notifier's name:   |   | Date:        | S   | ignature:                      |           |  |  |
| Generator's — producer's name:  |   | Date:        | S   | ignature:                      |           |  |  |
|   | FOR USE BY COMPETENT AUTHORITIES                |              |   |                                |           |  |  |
| 19. Acknowledgement from the relevant competent authority of countries of import — destination/transit (1)/export — dispatch ( <sup>9</sup> ):       20.  |   |              | 20. Written consent ( <sup>1</sup> ) ( <sup>8</sup> ) to the movement provided by the competent authority of (country): |                                |           |  |  |
| Country:  | Country: Consent given on:                      |              |   |                                |           |  |  |
| Notification received on:   |   |              | Consent valid from: un  |                                |           | until:                                 |  |
| Acknowledgement sent on:  |   |              | Specific conditions: No: □ If Yes, see block 21 ( <sup>6</sup>  |                                |           | If Yes, see block 21 ( <sup>6</sup> ): |  |
| Name of competent authority:  |   |              | Name of co  | mpetent a                      | uthority: |  |  |
| Stamp and/or signature:   | Stamp and/or signature: Stamp and/or signature: |              |   |                                |           |  |  |
| 21. Specific conditions on consenting to the movement or reasons for objecting  |   |              |   |                                |           |  |  |

- Required by the Basel Convention.
   In the case of an R12/R13 or D13-D15 operation, also attach corresponding information on any subsequent R12/R13 or D13-D15 facilities and on the subsequent R1-R11 or D1-D12 facilit(y)ies when required.
   To be completed for movements within the OECD area and only if B(ii) applies.
   Attach detailed list if multiple shipments.
- (<sup>5</sup>) See list of abbreviations and codes on the next page.
  (<sup>6</sup>) Attach details if necessary.
  (<sup>7</sup>) Attach list if more than one.
  (<sup>8</sup>) If required by national legislation.
  (<sup>9</sup>) If applicable under the OECD Decision.

#### List of abbreviations and codes used in the notification document

#### **DISPOSAL OPERATIONS (block 11)**

- D1 Deposit into or onto land (e.g. landfill, etc.)
- D2 Land treatment (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection (e.g. injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment (e.g. placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specially engineered landfill (e.g. placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
- D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g. evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage (e.g. emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations in this list
- D14 Repackaging prior to submission to any of the operations in this list
- D15 Storage pending any of the operations in this list

### **RECOVERY OPERATIONS (block 11)**

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy (Basel/OECD) Use principally as a fuel or other means to generate energy (EU)
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1-R10
- R12 Exchange of wastes for submission to any of the operations numbered R1-R11
- R13 Accumulation of material intended for any operation in this list.

| PACKAGING TYPES (block 7)           | H-CODE AND UN CLASS (block 14) |        |  |
|-------------------------------------|--------------------------------|--------|--|
| 1. Drum                             | UN Class                       | H-code | Characteristics  |
| 2. Wooden barrel                    | 1                              | H1     | Explosive  |
| 3. Jerrican                         | 3                              | H3     | Flammable liquids  |
| 4. Box                              | 4.1                            | H4.1   | Flammable solids   |
| 5. Bag                              | 4.2                            | H4.2   | Substances or wastes liable to spon-<br>taneous combustion                 |
| 6. Composite packaging              | 4.3                            | H4.3   | Substances or wastes which, in   |
| 7. Pressure receptacle              |                                |        | contact with water, emit flammable<br>gases                                |
| 8. Bulk                             | 5.1                            | H5.1   | Oxidising  |
| 9. Other (specify)                  | 5.2                            | H5.2   | Organic peroxides  |
| MEANS OF TRANSPORT (block 8)        | 6.1                            | H6.1   | Poisonous (acute)  |
| B = Road                            | 6.2                            | H6.2   | Infectious substances  |
| T = Train/rail                      | 8                              | H8     | Corrosives   |
| S = Sea                             | 9                              | H10    | Liberation of toxic gases in contact with air or water                     |
| A = Air                             | 9                              | H11    | Toxic (delayed or chronic)   |
| W = Inland waterways                | 9                              | H12    | Ecotoxic   |
| PHYSICAL CHARACTERISTICS (block 13) | 9                              | H13    | Capable, by any means, after disposal of yielding another material, e. g., |
| 1. Powdery/powder                   |                                |        | leachate, which possesses any of the<br>characteristics listed above       |
| 2. Solid                            |                                |        |  |
| 3. Viscous/paste                    |                                |        |  |
| 4. Sludgy                           |                                |        |  |
| 5. Liquid                           |                                |        |  |
| 6. Gaseous                          |                                |        |  |
| 7. Other (specify)                  |                                |        |  |

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y-codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel Convention.'

# ANNEX II

Annex IB to Regulation (EC) No 1013/2006 is replaced by the following:

# 'ANNEX IB

# Movement document for transboundary movements/shipments of waste

| 1. Corresponding to notification No:   |                                      | 2. Serial/total number of shipments:        |  |  |  |
|--|--------------------------------------|---|--|--|--|
| 3. Exporter — notifier Registration No:  |                                      | 4. Importer — consignee Registration No:    |  |  |  |
| Name:  |                                      | Name:                                       |  |  |  |
| Address:   |                                      | Address:                                    |  |  |  |
| Contact person:  |                                      | Contact person:                             |  |  |  |
| Tel.:  | Fax:                                 | Tel.: Fax:                                  |  |  |  |
| E-mail:  |                                      | E-mail:                                     |  |  |  |
| 5. Actual quantity: tonnes (Mg):   | m <sup>3</sup> :                     | 6. Actual date of ship                      | ment:                                      |  |  |
| 7. Packaging Type(s) ( <sup>1</sup> ):   | Number of packages                   | :   |  |  |  |
| Special handling requirements: ( <sup>2</sup> )  | Yes: 🗆                               | No: 🗆                                       |  |  |  |
| 8.(a) First carrier ( <sup>3</sup> ):  | 8.(b) Second Carrier (               | <sup>3</sup> ):                             | 8.(c) Last carrier ( <sup>3</sup> ):       |  |  |
| Registration No:   | Registration No:                     |   | Registration No:                           |  |  |
| Name:  | Name:                                |   | Name:                                      |  |  |
| Address:   | Address:                             |   | Address:                                   |  |  |
| Tel.:  | Tel.:                                |   | Tel.:                                      |  |  |
| Fax:   | Fax:                                 |   | Fax:                                       |  |  |
| E-mail:  | E-mail:                              |   | E-mail:                                    |  |  |
| To be completed b  | y carrier's representative           |   | More than three carriers $(^2)$            |  |  |
| Means of transport ( <sup>1</sup> ):   | Means of transport ( <sup>1</sup> ): | Means of transport ( <sup>1</sup> ):        |  |  |  |
| Date of transfer:  | Date of transfer:                    |   | Date of transfer:                          |  |  |
| Signature:   | Signature:                           |   | Signature:                                 |  |  |
|  |                                      |   |  |  |  |
|  |                                      |   |  |  |  |
| <b>9. Waste generator(s) — producer(s)</b> ( <sup>4</sup> ) ( <sup>5</sup> ) ( <sup>6</sup> ):<br>Registration No: |                                      | 12. Designation and c                       | composition of the waste ( <sup>2</sup> ): |  |  |
| Name:  |                                      |   |  |  |  |
| Address:   |                                      | 13. Physical character                      | ristics ( <sup>1</sup> ):                  |  |  |
| Address.   |                                      |   |  |  |  |
| Contact person:  |                                      | 14. Waste identification                    | on (fill in relevant codes)                |  |  |
| Tel.:  | Fax:                                 | (i) Basel Annex VIII (or IX if applicable): |  |  |  |
| E-mail:  |                                      | (ii) OECD code (if different from (i)):     |  |  |  |
| Site of generation ( <sup>2</sup> ):   |                                      | (iii) EC list of wastes:                    |  |  |  |
| 10. Disposal facility □ or   | ecovery facility 🗆                   | (iv) National code in country of export     |  |  |  |
| Registration No:   |                                      | (v) National code in country of import:     |  |  |  |
| Name:  |                                      | (vi) Other (specify):                       |  |  |  |
| Address:   |                                      | (vii) Y-code:                               |  |  |  |
| Contact person:  |                                      | (vii) H-code ( <sup>1</sup> ):              |  |  |  |
| Tel.: Fax:   |                                      |   |  |  |  |
| E-mail:  |                                      | (ix) UN class ( <sup>1</sup> ):             |  |  |  |
| Actual site of disposal/recovery ( <sup>2</sup> )  |                                      | (x) UN number:                              |  |  |  |
| 11. Disposal/recovery operation(s)   |                                      | (xi) UN shipping name:                      |  |  |  |
| D-code/R-code ( <sup>1</sup> ):  |                                      | (xii) Customs code(s) (HS):                 |  |  |  |
|  |                                      | 1   |  |  |  |

| 15. Exporter's — notifier's/generator's — producer's ( <sup>4</sup> ) declaration:  |              |  |  |  |  |  |
|---|--------------|--|--|--|--|--|
| I certify that the above information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantee is in force covering the transboundary movement and that all necessary consents have been received from the competent authorities of the countries concerned. |              |  |  |  |  |  |
| Name:   |              | Signature:                                       |  |  |  |  |
| Date:   |              |  |  |  |  |  |
| 16. For use by any person involved in the t   | ransbounda   | ry movement in case additiona                    | I information is required  |  |  |  |
| 17. Shipment received by importer — consig  | gnee (if not | facility):                                       |  |  |  |  |
| Name:   |              | Signature:                                       |  |  |  |  |
| Date:   |              |  |  |  |  |  |
| то  | BE COMPL     | ETED BY DISPOSAL/RECOVER                         | Y FACILITY   |  |  |  |
| 18. Shipment received at disposal facility  |              | or recovery facility 🛛                           | 19. I certify that the disposal/recovery of the waste described above has been completed |  |  |  |
| Date of reception: Accepted:  |              | Rejected (*): □                                  | Name:  |  |  |  |
| Quantity received: Tonnes (Mg): m <sup>3</sup> :  |              | (*) immediately contact<br>competent authorities | Date:  |  |  |  |
| Approximate date of disposal/recovery:  |              | competent authonites                             | Date.  |  |  |  |
| Disposal/recovery operation ( <sup>1</sup> ):   |              |  |  |  |  |  |
| Name:   |              |  | Signature and stamp:   |  |  |  |
| Date:   |              |  |  |  |  |  |
| Signature:  |              |  |  |  |  |  |
| ( <sup>1</sup> ) See list of abbreviations and codes on the next page   |              | ( <sup>4</sup> ) Required by the                 | Basel Convention.  |  |  |  |

(2) Attach details if necessary.

(3) If more than three carriers, attach information as required in blocks 8 (a,b,c).

- (<sup>5</sup>) Attach list if more than one.
- (6) If required by national legislation.

| FOR USE BY CUSTOMS OFFICES (if required by national legislation) |                                      |   |  |  |  |  |
|--|--------------------------------------|---|--|--|--|--|
| 20. Country of export -  | - dispatch or customs office of exit | 21. Country of import -                                   | <ul> <li>destination or customs office of entry</li> </ul> |  |  |  |
| The waste described in th  | is movement document left the        | The waste described in this movement document entered the |  |  |  |  |
| country on:  |                                      | country on:   |  |  |  |  |
| Signature:   |                                      | Signature:  |  |  |  |  |
| Stamp:   |                                      | Stamp:  |  |  |  |  |
| 22. Stamps of customs  | offices of transit countries         |   |  |  |  |  |
| Name of country:   |                                      | Name of country:  |  |  |  |  |
| Entry:   | Exit:                                | Entry:  | Exit:  |  |  |  |
| Name of country:   |                                      | Name of country:  |  |  |  |  |
| Entry:   | Exit:                                | Entry:  | Exit:  |  |  |  |
|  |                                      |   |  |  |  |  |

List of abbreviations and codes used in the movement document

| DISPOSAL OPERATIONS (block 11)  | RECOVERY OPERATIONS (block 11)   |  |  |
|---|--|--|--|
| <ul> <li>D1 Deposit into or onto land (e.g. landfill, etc.)</li> <li>D2 Land treatment (e.g. biodegradation of liquid or sludgy discards in soils, etc.)</li> <li>D3 Deep injection (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)</li> <li>D4 Surface impoundment (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)</li> <li>D5 Specially engineered landfill (e.g. placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)</li> <li>D6 Release into a water body except seas/oceans</li> <li>D7 Release into seas/oceans including sea-bed insertion</li> <li>D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of theoperations in this list (e.g., evaporation, drying, calcination, etc.)</li> <li>D10 Incineration on land</li> </ul> | <ul> <li>RECOVERY OPERATIONS (block 11)</li> <li>R1 Use as a fuel (other than in direct incineration) or other means to generate energy (Basel/OECD) — Use principally as a fuel or other means to generate energy (EU)</li> <li>R2 Solvent reclamation/regeneration</li> <li>R3 Recycling/reclamation of organic substances which are not used as solvents</li> <li>R4 Recycling/reclamation of metals and metal compounds</li> <li>R5 Recycling/reclamation of other inorganic materials</li> <li>R6 Regeneration of acids or bases</li> <li>R7 Recovery of components used for pollution abatement</li> <li>R8 Recovery of components from catalysts</li> <li>R9 Used oil re-refining or other reuses of previously used oil</li> <li>R10 Land treatment resulting in benefit to agriculture or ecological improvement</li> </ul> |  |  |
| D11 Incineration at sea<br>D12 Permanent storage (e.g. emplacement of containers in a mine, etc.)   | R11 Uses of residual materials obtained from any of the operations numbered R1 to R10  |  |  |
| D12 Permanent storage (e.g. emplacement of containers in a mine, etc.)<br>D13 Blending or mixing prior to submission to any of the operations in this list<br>D14 Repackaging prior to submission to any of the operations in this list<br>D15 Storage pending any of the operations in this list   | operations numbered R1 to R10<br>R12 Exchange of wastes for submission to any of the<br>operations numbered R1 to R11<br>R13 Accumulation of material intended for any operation<br>in this list   |  |  |
|   |  |  |  |

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| PACKAGING TYPES (block 7)  | H-CODE AN | H-CODE AND UN CLASS (block 14) |   |  |
|--|-----------|--------------------------------|---|--|
| 1. Drum  | UN Class  | H-code                         | Characteristics   |  |
| 2. Wooden barrel   | 1         | H1                             | Explosive   |  |
| 3. Jerrican  | 3         | H3                             | Flammable liquids   |  |
| 4. Box   | 4.1       | H4.1                           | Flammable solids  |  |
| 5. Bag   | 4.2       | H4.2                           | Substances or wastes liable to spon-<br>taneous combustion  |  |
| <ol> <li>Composite packaging</li> <li>Pressure receptacle</li> </ol> | 4.3       | H4.3                           | Substances or wastes which, in contact with water, emit flammable gases   |  |
| 8. Bulk  | 5.1       | H5.1                           | Oxidising   |  |
| 9. Other (specify)   | 5.2       | H5.2                           | Organic peroxides   |  |
| MEANS OF TRANSPORT (block 8)   | 6.1       | H6.1                           | Poisonous (acute)   |  |
|  | 6.2       | H6.2                           | Infectious substances   |  |
| R = Road<br>T = Train/rail   | 8         | H8                             | Corrosives  |  |
| S = Sea  | 9         | H10                            | Liberation of toxic gases in contact with air or water  |  |
| A = Air  | 9         | H11                            | Toxic (delayed or chronic)  |  |
| W = Inland waterways   | 9         | H12                            | Ecotoxic  |  |
| PHYSICAL CHARACTERISTICS (block 13)<br>1. Powdery/powder             | 9         | H13                            | Capable, by any means, after disposal<br>of yielding another material, e. g.,<br>leachate, which possesses any of the<br>characteristics listed above |  |
| 2. Solid   |           |                                |   |  |
| 3. Viscous/paste   |           |                                |   |  |
| 4. Sludgy  |           |                                |   |  |
| 5. Liquid  |           |                                |   |  |
| 6. Gaseous   |           |                                |   |  |
| 7. Other (specify)   |           |                                |   |  |

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y-codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel Convention.'

# ANNEX III

Annex VII to Regulation (EC) No 1013/2006 is replaced by the following:

### 'ANNEX VII

# INFORMATION ACCOMPANYING SHIPMENTS OF WASTE AS REFERRED TO IN ARTICLE 3(2) AND (4)

### Consignment information (1)

| <b>1. Person who arranges the shipment</b><br>Name:<br>Address:  |  | 2. Importer/consignee<br>Name:<br>Address:   |  |  |
|--|--|--|--|--|
| Contact person:<br>Tel.:<br>E-mail:  | Fax:   | Contact person:<br>Tel.:<br>E-mail:  | Fax:   |  |
| 3. Actual quantity: Tonnes (Mg):   | m <sup>3</sup> :   | 4. Actual date of ship   | ment:  |  |
| 5.(a) First carrier ( <sup>2</sup> ):<br>Name:<br>Address:<br>Contact person:<br>Tel.:<br>Fax:<br>E-mail:<br>Means of transport:<br>Date of transform  | 5.(b) Second carrier:<br>Name:<br>Address:<br>Contact person:<br>Tel.:<br>Fax:<br>E-mail:<br>Means of transport:<br>Date of transport: |  | 5.(c) Third carrier:<br>Name:<br>Address:<br>Contact person:<br>Tel.:<br>Fax:<br>E-mail:<br>Means of transport:<br>Date of transform |  |
| Date of transfer:<br>Signature:  | Date of transfer:<br>Signature:  |  | Date of transfer:<br>Signature:  |  |
| 6. Waste generator ( <sup>3</sup> )<br>Original producer(s), new producer(s) or collector:<br>Name:<br>Address:<br>Contact person:   |  | <ul> <li>8. Recovery operation (or if appropriate disposal operation in the case of waste referred to in Article 3(4)):         R-code/D-code:     </li> <li>9. Usual description of the waste:</li> </ul> |  |  |
| Tel.: Fax:<br>E-mail:  |  |  |  |  |
| 7. Recovery facility     Laboratory       Name:     Address:       Address:     Fax:       Tel.:     Fax:  |  | <ul> <li>10. Waste identificatio</li> <li>(i) Basel Annex IX:</li> <li>(ii) OECD (<i>if different fr</i></li> <li>(iii) EC list of wastes:</li> <li>(iv) National code:</li> </ul>                         | <b>n</b> (fill in relevant codes):<br>rom (i)):  |  |
| 11. Countries/States concerned:  |  |  |  |  |
| Export/dispatch  | Tra  | nsit   | Import/destination   |  |
| <b>12. Declaration of the person who arranges the shipment:</b> I certify that the above information is complete and correct to my best knowledge I also certify that effective written contractual obligations have been entered into with the consignee (not required in the case of waste referred to in Article 3(4)): |  |  |  |  |
| Name: Date: Signature:   |  |  |  |  |
| 13. Signature upon receipt of the waste by the consignee:  |  |  |  |  |
| Name: Date: Signature:   |  |  |  |  |
| TO BE COMPLETED BY THE RECOVERY FACILITY OR BY THE LABORATORY:   |  |  |  |  |
| 14. Shipment received at recovery facility $\Box$  | or laboratory  | Quantity receiv  | ved: Tonnes (Mg): m <sup>3</sup> :   |  |
| Name:  | Date:  | Sig  | nature:  |  |

(1) Information accompanying shipments of green listed waste and destined for recovery or waste destined for laboratory analysis pursuant to Regulation (EC) No 1013/2006. For completing this document, see also the corresponding specific instructions as contained in Annex IC of Regulation (EC) No 1013/2006.
 (2) If more than three carriers, attach information as required in blocks 5 (a), (b), (c).
 (3) When the person who arranges the shipment is not the producer or collector, information about the producer or collector shall be provided.'

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#### ANNEX IV

Annex VIII to Regulation (EC) No 1013/2006 is replaced by the following:

#### 'ANNEX VIII

### GUIDELINES ON ENVIRONMENTALLY SOUND MANAGEMENT (ARTICLE 49)

- I. Guidelines adopted under the Basel Convention:
  - 1. Technical Guidelines on the Environmentally Sound Management of Biomedical and Health Care Wastes (Y1; Y3) (<sup>1</sup>);
  - 2. Technical Guidelines on the Environmentally Sound Management of Waste Lead Acid Batteries (1);
  - 3. Technical Guidelines on the Environmentally Sound Management of the Full and Partial Dismantling of Ships (1);
  - 4. Technical Guidelines on the Environmentally Sound Recycling/Reclamation of Metals and Metal Compounds (R4) (<sup>2</sup>);
  - Updated General Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with Persistent Organic Pollutants (POPs) (<sup>3</sup>);
  - 6. Updated Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with Polychlorinated Biphenyls (PCBs), Polychlorinated Terphenyls (PCTs) or Polybrominated Biphenyls (PBBs) (<sup>3</sup>);
  - 7. Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with the Pesticides Aldrin, Chlordane, Dieldrin, Endrin, Heptachlor, Hexachlorobenzene (HCB), Mirex or Toxaphene or with HCB as an Industrial Chemical (<sup>3</sup>);
  - Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with 1,1,1-trichloro-2,2-bis (4 chlorophenyl)ethane (DDT) (<sup>3</sup>);
  - Technical Guidelines for the Environmentally Sound Management of Wastes Containing or Contaminated with Unintentionally Produced Polychlorinated Dibenzo-p-dioxins (PCDDs), Polychlorinated Dibenzofurans (PCDFs), Hexachlorobenzene (HCB) or Polychlorinated Biphenyls (PCBs) (<sup>3</sup>).
- II. Guidelines adopted by the OECD:

Technical guidance for the environmentally sound management of specific waste streams:

Used and scrap personal computers (4).

III. Guidelines adopted by the International Maritime Organisation (IMO):

Guidelines on ship recycling (5).

IV. Guidelines adopted by the International Labour Organisation (ILO):

Safety and health in shipbreaking: guidelines for Asian countries and Turkey (6).

Adopted by the sixth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Waste and Their Disposal, 9-13 December 2002.

<sup>(2)</sup> Adopted by the seventh meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 25-29 October 2004.

<sup>(3)</sup> Adopted by the eighth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 27 November-1 December 2006.

<sup>(4)</sup> Adopted by the Environment Policy Committee of the OECD in February 2003 (document ENV/EPOC/WGWPR(2001)3/FINAL).

<sup>(5)</sup> Resolution A.962 adopted by the Assembly of the IMO at its 23rd Regular session, 24 November to 5 December 2003.

<sup>(6)</sup> Approved for publication by the Governing Body of the ILO at its 289th session, 11-26 March 2004.