

Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein

COUNCIL REGULATION (EC) No 338/97  
of 9 December 1996

on the protection of species of wild fauna and flora by regulating trade therein

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission<sup>(1)</sup>,

Having regard to the opinion of the Economic and Social Committee<sup>(2)</sup>,

Acting in accordance with the procedure laid down in Article 189c of the Treaty<sup>(3)</sup>,

- (1) Whereas Regulation (EEC) No 3626/82<sup>(4)</sup> applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;
- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code<sup>(5)</sup>, or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;

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- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;
- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;
- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

HAS ADOPTED THIS REGULATION:

#### *Article 1*

##### **Object**

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

#### *Article 2*

##### **Definitions**

For the purposes of this Regulation:

- (a) <sup>F1</sup>...
- (b) 'convention' shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) 'country of origin' shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) 'import notification' shall mean the notification given by the importer or his agent or representative, at the time of the introduction into [<sup>F2</sup>Great Britain] of a specimen of a species included in Annexes C or D, on a form prescribed [<sup>F3</sup>in regulations made by the Secretary of State];
- (e) 'introduction from the sea' shall mean the introduction into [<sup>F4</sup>Great Britain] of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) 'issuance' shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;

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- (g) [<sup>F5</sup>“management authority” shall mean the person designated in accordance with Article 13(1)(a);]
- (h) <sup>F6</sup> ...
- (i) ‘offering for sale’ shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) ‘personal or household effects’ shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) ‘place of destination’ shall mean the place at which at the time of introduction into [<sup>F7</sup>Great Britain], it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) ‘population’ shall mean a biologically or geographically distinct total number of individuals;
- (m) ‘primarily commercial purposes’ shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) ‘re-export from [<sup>F8</sup>Great Britain]’ shall mean export from [<sup>F8</sup>Great Britain] of any specimen that has previously been introduced;
- (na) [<sup>F9</sup>“Regulation 338/97 (pre-exit)” shall mean Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, as it had effect immediately before IP completion day;]
- (o) ‘reintroduction into [<sup>F10</sup>Great Britain]’ shall mean introduction into [<sup>F10</sup>Great Britain] of any specimen that has previously been exported or re-exported;
- (p) ‘sale’ shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) ‘scientific authority’ shall mean a scientific authority designated <sup>F11</sup>..., in accordance with [<sup>F12</sup>Article 13(2)] or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) <sup>F13</sup> ...
- (s) ‘species’ shall mean a species, subspecies or population thereof;
- (t) ‘specimen’ shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose ‘parents’ is of a species so listed. In cases where the ‘parents’ of such an animal or

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plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the ‘parents’ is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (ta) [<sup>F14</sup>“third country” shall mean any country or territory other than Great Britain;]
- (tb) [<sup>F14</sup>“third country authority” shall mean the authority of a third country party to the Convention designated in accordance with Article IX of the Convention;]
- (u) ‘trade’ shall mean the introduction into [<sup>F15</sup>Great Britain], including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within [<sup>F15</sup>Great Britain]<sup>F16</sup>... of specimens subject to the provisions of this Regulation;
- (v) ‘transit’ shall mean the transport of specimens between two points outside [<sup>F17</sup>Great Britain] through the territory of [<sup>F17</sup>Great Britain] which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) ‘worked specimens that were acquired more than 50 years previously’ shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, [<sup>F18</sup>before 3rd March 1947] and that have been, to the satisfaction of the management authority <sup>F19</sup>..., acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) ‘checks at the time of introduction, export, re-export and transit’ shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where [<sup>F20</sup>United Kingdom legislation (as it applies to Great Britain) so provides] or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

#### Textual Amendments

- F1** Art. 2(a) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(2)(a)**
- F2** Words in Art. 2(d) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(2)(b)(i)**
- F3** Words in Art. 2(d) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(2)(b)(ii)**
- F4** Words in Art. 2(e) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(2)(c)**
- F5** Art. 2(g) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(2)(d)**
- F6** Art. 2(h) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(2)(e)**
- F7** Words in Art. 2(k) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(2)(f)**
- F8** Words in Art. 2(n) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(2)(g)**

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- F9** Art. 2(na) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(h)**
- F10** Words in Art. 2(o) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(i)**
- F11** Words in Art. 2(q) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(j)(i)**
- F12** Words in Art. 2(q) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(j)(ii)**
- F13** Art. 2(r) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(k)**
- F14** Art. 2(ta)(tb) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(l)**
- F15** Words in Art. 2(u) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(m)(i)**
- F16** Words in Art. 2(u) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(m)(ii)**
- F17** Words in Art. 2(v) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(n)**
- F18** Words in Art. 2(w) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(o)(i)**
- F19** Words in Art. 2(w) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(o)(ii)**
- F20** Words in Art. 2(x) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(2)(p)**

## *l<sup>F21</sup>* Article 2a

### References to importation etc.

In this Regulation—

- a references to the importation or introduction of anything into Great Britain includes the importation or introduction of anything into Northern Ireland before IP completion day;
- b references to the export, or re-export of anything from Great Britain includes the export, or re-export of anything from Northern Ireland before IP completion day;
- c references to the transit of specimens through Great Britain includes the transit of specimens through Northern Ireland before IP completion day.]

#### Textual Amendments

- F21** Art. 2a inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(3)**

## Article 3

### Scope

- 1 Annex A shall contain:
  - a the species listed in Appendix I to the Convention for which the [<sup>F22</sup>United Kingdom has] not entered a reservation;

- b any species:
  - (i) which is, or may be, in demand for utilization in [<sup>F23</sup>Great Britain] or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;
  - or
  - (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.

2 Annex B shall contain:

- a the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the [<sup>F24</sup>United Kingdom has] not entered a reservation;
- b the species listed in Appendix I to the Convention for which a reservation has been entered;
- c any other species not listed in Appendices I or II to the Convention:
  - (i) which is subject to levels of international trade that might not be compatible:
    - with its survival or with the survival of populations in certain countries, or
    - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs;
  - or
  - (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
- d species in relation to which it has been established that the introduction of live specimens into the natural habitat of [<sup>F25</sup>Great Britain] would constitute an ecological threat to wild species of fauna and flora indigenous to [<sup>F25</sup>Great Britain].

3 Annex C shall contain:

- a the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the [<sup>F26</sup>United Kingdom has] not entered a reservation;
- b the species listed in Appendix II to the Convention for which a reservation has been entered.

4 Annex D shall contain:

- a species not listed in Annexes A to C which are imported into [<sup>F27</sup>Great Britain] in such numbers as to warrant monitoring;
- b the species listed in Appendix III to the Convention for which a reservation has been entered.

<sup>F28</sup>5 .....

**Textual Amendments**

**F22** Words in Art. 3(1)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(4\)\(a\)\(i\)](#)

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- F23** Words in Art. 3(1)(b)(i) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(4)(a)(ii)**
- F24** Words in Art. 3(2)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(4)(b)(i)**
- F25** Words in Art. 3(2)(d) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(4)(b)(ii)**
- F26** Words in Art. 3(3)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(4)(c)**
- F27** Words in Art. 3(4)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(4)(d)**
- F28** Art. 3(5) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(4)(e)**

#### Article 4

#### Introduction into [<sup>F29</sup>Great Britain]

1 The introduction into [<sup>F30</sup>Great Britain] of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by [<sup>F31</sup>the management authority].

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- a the competent scientific authority <sup>F32</sup>... has advised that the introduction into [<sup>F33</sup>Great Britain]:
  - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
  - (ii) is taking place:
    - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
    - for other purposes which are not detrimental to the survival of the species concerned;
- b
  - (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
  - (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- c the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- d the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;



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- e the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- f in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2 The introduction into [<sup>F34</sup>Great Britain] of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by [<sup>F35</sup>the management authority].

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- a the competent scientific authority, after examining available data <sup>F36</sup>... is of the opinion that the introduction into [<sup>F37</sup>Great Britain] would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- b the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- c the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3 The introduction into [<sup>F38</sup>Great Britain] of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- a in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- b in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4 The introduction into [<sup>F39</sup>Great Britain] of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.

5 The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- a that they had previously been legally introduced into or acquired in [<sup>F40</sup>Great Britain] and that they are, modified or not, being reintroduced into [<sup>F40</sup>Great Britain]; or
- b that they are worked specimens that were acquired more than 50 years previously.

[<sup>F41</sup>6. In consultation with the countries of origin concerned, <sup>F42</sup>... and taking account of any opinion from the [<sup>F43</sup>scientific authority, the Secretary of State may, by regulations,] establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into [<sup>F44</sup>Great Britain]:

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- a on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- b on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- c of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- d of live specimens of species for which it has been established that their introduction into the natural environment of [<sup>F45</sup>Great Britain] presents an ecological threat to wild species of fauna and flora indigenous to [<sup>F45</sup>Great Britain].

The [<sup>F46</sup>Secretary of State must] on a quarterly basis publish a list of such restrictions, if any <sup>F47</sup>....

7. Where special cases of transshipment, air transfer or rail transport occur following introduction into [<sup>F48</sup>Great Britain], derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 [<sup>F49</sup>may, by regulations, be prescribed by the Secretary of State] in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12(1).

<sup>F50</sup> ...]

#### Textual Amendments

- F29** Words in Art. 4 heading substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(a)**
- F30** Words in Art. 4(1) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(b)(i)(aa)**
- F31** Words in Art. 4(1) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(b)(i)(bb)**
- F32** Words in Art. 4(1)(a) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(b)(ii)(aa)**
- F33** Words in Art. 4(1)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(b)(ii)(bb)**
- F34** Words in Art. 4(2) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(c)(i)(aa)**
- F35** Words in Art. 4(2) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(c)(i)(bb)**
- F36** Words in Art. 4(2)(a) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(c)(ii)(aa)**
- F37** Words in Art. 4(2)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(c)(ii)(bb)**
- F38** Words in Art. 4(3) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(d)**
- F39** Words in Art. 4(4) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(e)**
- F40** Words in Art. 4(5)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(5)(f)**
- F41** Substituted by [Regulation \(EC\) No 398/2009 of the European Parliament and of the Council of 23 April 2009 amending Council Regulation \(EC\) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, as regards the implementing powers conferred on the Commission.](#)

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

- F42** Words in Art. 4(6) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(g)(i)(aa)**
- F43** Words in Art. 4(6) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(g)(i)(bb)**
- F44** Words in Art. 4(6) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(g)(i)(cc)**
- F45** Words in Art. 4(6)(d) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(g)(ii)**
- F46** Words in Art. 4(6)(d) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(g)(iii)(aa)**
- F47** Words in Art. 4(6)(d) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(g)(iii)(bb)**
- F48** Words in Art. 4(7) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(h)(i)(aa)**
- F49** Words in Art. 4(7) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(h)(i)(bb)**
- F50** Words in Art. 4(7) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(5)(h)(ii)**

## Article 5

### Export or re-export from [<sup>F51</sup>Great Britain]

1 The export or re-export from [<sup>F52</sup>Great Britain] of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by [<sup>F53</sup>the management authority].

2 An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- a the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
- b the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; <sup>F54</sup>...
- c the management authority is satisfied that:
  - (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
  - (ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or  
— in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

- d the management authority <sup>F55</sup>... is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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3 A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

- a were introduced into [<sup>F56</sup>Great Britain] in accordance with the provisions of this Regulation;
- [<sup>F57</sup>aa were acquired in, or were introduced into, the United Kingdom before IP completion day and in accordance with the requirements of Regulation 338/97 (pre-exit);]
- b if introduced into the [<sup>F58</sup>United Kingdom] before the entry into force of [<sup>F59</sup>Regulation 338/97 (pre-exit)], were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- c if introduced into the [<sup>F60</sup>United Kingdom] before 1984, entered international trade in accordance with the provisions of the Convention; or
- d were legally introduced into the territory of [<sup>F61</sup>the United Kingdom] before the provisions of the Regulations referred to in (a) [<sup>F62</sup>, (aa)] and (b) or of the Convention became applicable to them <sup>F63</sup>....

4 The export or re-export from [<sup>F64</sup>Great Britain] of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by [<sup>F65</sup>the management authority].

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

<sup>F66</sup> 5 .....

6 The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:

- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of [<sup>F67</sup>Regulation 338/97 (pre-exit)], or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.

7

- a The competent scientific authority <sup>F68</sup>... shall monitor the issuance of export permits by [<sup>F69</sup>the management authority] for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the <sup>F70</sup>... management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species
- [<sup>F71</sup>b Whenever the management authority is advised of the measures referred to in (a), it shall inform and send comments to the Secretary of State who may make regulations restricting exports of the species concerned.]

**Changes to legislation:** There are currently no known outstanding effects by UK  
legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

### Textual Amendments

- F51** Words in Art. 5 heading substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(a)**
- F52** Words in Art. 5(1) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(b)(i)**
- F53** Words in Art. 5(1) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(b)(ii)**
- F54** Words in Art. 5(2)(b) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(c)(i)**
- F55** Words in Art. 5(2)(d) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(c)(ii)**
- F56** Words in Art. 5(3)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(d)(i)**
- F57** Art. 5(3)(aa) inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(d)(ii)**
- F58** Words in Art. 5(3)(b) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(d)(iii)(aa)**
- F59** Words in Art. 5(3)(b) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(d)(iii)(bb)**
- F60** Words in Art. 5(3)(c) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(d)(iv)**
- F61** Words in Art. 5(3)(d) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(d)(v)(aa)**
- F62** Word in Art. 5(3)(d) inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(d)(v)(bb)**
- F63** Words in Art. 5(3)(d) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(d)(v)(cc)**
- F64** Words in Art. 5(4) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(e)(i)**
- F65** Words in Art. 5(4) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(e)(ii)**
- F66** Art. 5(5) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(f)**
- F67** Words in Art. 5(6)(ii) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(g)**
- F68** Words in Art. 5(7)(a) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(h)(i)(aa)**
- F69** Words in Art. 5(7)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(h)(i)(bb)**
- F70** Word in Art. 5(7)(a) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(h)(i)(cc)**
- F71** Art. 5(7)(b) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), **7(6)(h)(ii)**

### Article 6

#### Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10

<sup>F72</sup> 1 .....

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

<sup>F73</sup>2 .....

3 When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected [<sup>F74</sup>by the management authority or by a third country authority], the applicant must inform the [<sup>F75</sup>management authority] of the previous rejection.

<sup>F76</sup>4 .....

#### Textual Amendments

- F72** Art. 6(1) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), [7\(7\)\(a\)](#)
- F73** Art. 6(2) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), [7\(7\)\(a\)](#)
- F74** Words in Art. 6(3) inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), [7\(7\)\(b\)\(i\)](#)
- F75** Words in Art. 6(3) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), [7\(7\)\(b\)\(ii\)](#)
- F76** Art. 6(4) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020](#) (S.I. 2020/1395), regs. 1(2), [7\(7\)\(a\)](#)

#### Article 7

#### Derogations

- 1 *Specimens born and bred in captivity or artificially propagated*
  - a Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.
  - b In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions [<sup>F77</sup>set out in regulations made by the Secretary of State], relating to:
    - (i) the use of phytosanitary certificates;
    - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
    - (iii) trade in hybrids.
  - <sup>F41</sup>c The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified [<sup>F78</sup>in regulations made by the Secretary of State]. <sup>F79</sup>...
- 2 *Transit*
  - a By way of derogation from Article 4, where a specimen is in transit through [<sup>F80</sup>Great Britain], checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
  - b In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that



*Changes to legislation:* There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.

[<sup>F41</sup>c If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified [<sup>F81</sup>in regulations made by the Secretary of State]. <sup>F82</sup> ...]

[<sup>F41</sup>3 *Personal and household effects*

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into [<sup>F83</sup>Great Britain], or exported or re-exported therefrom, in compliance with provisions that shall be specified [<sup>F84</sup>in regulations made by the Secretary of State]. <sup>F85</sup> ...

4 *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been <sup>F86</sup>... issued or approved by a management authority of a third country.]

#### Textual Amendments

- F41** Substituted by Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, as regards the implementing powers conferred on the Commission.
- F77** Words in Art. 7(1)(b) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(a)**
- F78** Words in Art. 7(1)(c) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(b)(i)**
- F79** Words in Art. 7(1)(c) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(b)(ii)**
- F80** Words in Art. 7(2)(a) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(c)**
- F81** Words in Art. 7(2)(c) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(b)(i)**
- F82** Words in Art. 7(2)(c) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(b)(ii)**
- F83** Words in Art. 7(3) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(d)(i)(aa)**
- F84** Words in Art. 7(3) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(d)(i)(bb)**
- F85** Words in Art. 7(3) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(d)(ii)**
- F86** Words in Art. 7(4) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(8)(e)**

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*Changes to legislation:* There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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## Article 8

### Provisions relating to the control of commercial activities

1 The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

<sup>F87</sup>2 .....

3 In accordance with the requirements of other [<sup>F88</sup>United Kingdom legislation (as that legislation applies in Great Britain)] on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by [<sup>F89</sup>the management authority], on a case-by-case basis where the specimens:

[<sup>F90</sup>a were—

i acquired in, or were introduced into, a Member State before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A to Regulation 338/97 (pre-exit) became applicable to the specimens; and

ii acquired in, or were introduced into, the United Kingdom before IP completion day; or]

[<sup>F91</sup>aa were acquired in, or were introduced into, the United Kingdom before the provisions relating to species listed in Appendix 1 to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A to Regulation 338/97 (pre-exit) became applicable to the specimens; or]

b are worked specimens that were acquired more than 50 years previously; or

c were introduced into [<sup>F92</sup>Great Britain] in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or

d are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or

e are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to [<sup>F93</sup>, and in accordance with, the Animals (Scientific Procedures) Act 1986,] where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or

f are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or

g are intended for research or education aimed at the preservation or conservation of the species; or

h originate in [<sup>F94</sup>Great Britain] and were taken from the wild in accordance with the legislation in force in [<sup>F95</sup>the United Kingdom (as that legislation applies in Great Britain)].

[<sup>F41</sup>4 General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with point (ii) of Article 3(1)(b) may be defined by the [<sup>F96</sup>Secretary of State in regulations]. Any such derogations must be in accordance with the requirements of other [<sup>F97</sup>United Kingdom legislation (as that legislation applies in Great Britain)] on the conservation of wild fauna and flora. <sup>F98</sup>...



*Changes to legislation:* There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

5 The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the [<sup>F99</sup>management] authority [<sup>F100</sup>... that such specimens were acquired and, if they originated outside [<sup>F101</sup>Great Britain], were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6 The [<sup>F102</sup>management authority] shall have discretion to sell any specimen of the species listed in Annexes B to D [<sup>F103</sup>it has] confiscated under this Regulation, [<sup>F104</sup>provided the specimen] is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

#### Textual Amendments

- F41** Substituted by Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, as regards the implementing powers conferred on the Commission.
- F87** Art. 8(2) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(a)**
- F88** Words in Art. 8(3) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(b)(i)(aa)**
- F89** Words in Art. 8(3) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(b)(i)(bb)**
- F90** Art. 8(3)(a) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(b)(ii)**
- F91** Art. 8(3)(aa) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(b)(iii)**
- F92** Words in Art. 8(3)(c) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(b)(iv)**
- F93** Words in Art. 8(3)(e) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(b)(v)**
- F94** Words in Art. 8(3)(h) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(b)(vi)(aa)**
- F95** Words in Art. 8(3)(h) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(b)(vi)(bb)**
- F96** Words in Art. 8(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(c)(i)**
- F97** Words in Art. 8(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(c)(ii)**
- F98** Words in Art. 8(4) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(c)(iii)**
- F99** Word in Art. 8(5) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(d)(i)**
- F100** Words in Art. 8(5) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(d)(ii)**
- F101** Words in Art. 8(5) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(d)(iii)**
- F102** Words in Art. 8(6) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(e)(i)**
- F103** Words in Art. 8(6) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(9)(e)(ii)**

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

**F104** Words in Art. 8(6) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 7(9)(e)(iii)

## Article 9

### Movement of live specimens

1 Any movement within [<sup>F105</sup>Great Britain] of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation [<sup>F106</sup>, or Regulation 338/97 (pre-exit),] shall require prior authorization from [<sup>F107</sup>the management authority]. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2 Such authorization shall:

- a be granted only when the competent scientific authority <sup>F108</sup>... is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- b be confirmed by issuance of a certificate; <sup>F109</sup>...
- <sup>F110</sup>c .....

3 However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

4 Where a live specimen of a species listed in Annex B is moved within [<sup>F111</sup>Great Britain], the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5 When any live specimens are transported into, from or within [<sup>F112</sup>Great Britain] or are held during any period of transit or transshipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with [<sup>F113</sup>United Kingdom legislation] on the protection of animals during transport [<sup>F114</sup>(as that legislation applies in Great Britain)].

[<sup>F416</sup> The [<sup>F115</sup>Secretary of State may, by regulations,] establish restrictions on the holding or movement of live specimens of such species in relation to which restrictions on introduction into [<sup>F116</sup>Great Britain] have been established in accordance with Article 4(6). <sup>F117</sup>...]

#### Textual Amendments

**F41** Substituted by Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, as regards the implementing powers conferred on the Commission.

**F105** Words in Art. 9(1) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 7(10)(a)(i)

**F106** Words in Art. 9(1) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 7(10)(a)(ii)

**F107** Words in Art. 9(1) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 7(10)(a)(iii)

**F108** Words in Art. 9(2)(a) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 7(10)(b)(i)

**Changes to legislation:** There are currently no known outstanding effects by UK  
legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

- F109** Word in Art. 9(2)(b) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(b)(ii)**
- F110** Art. 9(2)(c) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(b)(iii)**
- F111** Words in Art. 9(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(c)**
- F112** Words in Art. 9(5) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(d)(i)**
- F113** Words in Art. 9(5) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(d)(ii)**
- F114** Words in Art. 9(5) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(d)(iii)**
- F115** Words in Art. 9(6) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(e)(i)(aa)**
- F116** Words in Art. 9(6) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(e)(i)(bb)**
- F117** Words in Art. 9(6) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(10)(e)(ii)**

## Article 10

### Certificates to be issued

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, [<sup>F118</sup>the management authority] may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

#### Textual Amendments

- F118** Words in Art. 10 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(11)**

## Article 11

### Validity of and special conditions for permits and certificates

<sup>F119</sup>1 .....

<sup>F120</sup>2 .....

3 Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the [<sup>F121</sup>management] authority to ensure compliance with the provisions thereof. <sup>F122</sup>...

4 Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into [<sup>F123</sup>Great Britain] only when accompanied by the original of the valid export permit or re-export certificate.

[<sup>F415</sup> The [<sup>F124</sup>Secretary of State may, by regulations,] establish time limits for the issuance of permits and certificates. <sup>F125</sup>...]

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

### Textual Amendments

- F41** Substituted by Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, as regards the implementing powers conferred on the Commission.
- F119** Art. 11(1) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(12)(a)**
- F120** Art. 11(2) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(12)(a)**
- F121** Word in Art. 11(3) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(12)(b)(i)**
- F122** Words in Art. 11(3) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(12)(b)(ii)**
- F123** Words in Art. 11(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(12)(c)**
- F124** Words in Art. 11(5) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(12)(d)(i)**
- F125** Words in Art. 11(5) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(12)(d)(ii)**

## Article 12

### Places of introduction and export

1 [F126The Secretary of State may] designate customs offices for carrying out the checks and formalities for the introduction into and export from [F127Great Britain], in order to assign to them a customs-approved treatment or use, F128... of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.

2 All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. [F129The Secretary of State must] ensure that accommodation is provided in accordance with relevant [F130United Kingdom] legislation as regards the transport and accommodation of live animals [F131(as that legislation applies in Great Britain)] and that, where necessary, adequate steps are taken for live plants.

[F1323 A list of the customs offices designated in accordance with paragraph 1 must be published in such form and manner as the Secretary of State considers appropriate.]

[F414 In exceptional cases and in accordance with the criteria [F133set out in regulations made by the Secretary of State, the] management authority may authorise the introduction into [F134Great Britain] or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1. F135...]

5 [F136The Secretary of State] shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

### Textual Amendments

- F41** Substituted by Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild

**Changes to legislation:** There are currently no known outstanding effects by UK  
legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

fauna and flora by regulating trade therein, as regards the implementing powers conferred on the Commission.

- F126** Words in Art. 12(1) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(a)(i)**
- F127** Words in Art. 12(1) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(a)(ii)**
- F128** Words in Art. 12(1) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(a)(iii)**
- F129** Words in Art. 12(2) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(b)(i)**
- F130** Words in Art. 12(2) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(b)(ii)**
- F131** Words in Art. 12(2) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(b)(iii)**
- F132** Art. 12(3) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(b)(iv)**
- F133** Words in Art. 12(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(c)(i)(aa)**
- F134** Words in Art. 12(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(c)(i)(bb)**
- F135** Words in Art. 12(4) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(c)(ii)**
- F136** Words in Art. 12(5) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(13)(d)**

### Article 13

#### Management and scientific authorities and other competent authorities

- 1
- a [<sup>F137</sup>The Secretary of State must] designate a management authority with primary responsibility for implementation of this Regulation [<sup>F138</sup>(the management authority)]:
- b [<sup>F139</sup>The Secretary of State] may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.
- 2 [<sup>F140</sup>The Secretary of State may] designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.

<sup>F141</sup>3 .....

#### Textual Amendments

- F137** Words in Art. 13(1)(a) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(14)(a)(i)(aa)**
- F138** Words in Art. 13(1)(a) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(14)(a)(i)(bb)**
- F139** Words in Art. 13(1)(b) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(14)(a)(ii)**

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

- F140** Words in Art. 13(2) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(14)(b)**
- F141** Art. 13(3) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(14)(c)**

## Article 14

### Monitoring of compliance and investigation of infringements

- 1
- a The competent authorities [<sup>F142</sup>must] monitor compliance with the provisions of this Regulation.
- b If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
- <sup>F143</sup>c .....
- <sup>F144</sup>2 .....
- <sup>F145</sup>3 .....

#### Textual Amendments

- F142** Word in Art. 14(1)(a) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(15)(a)(i)**
- F143** Art. 14(1)(c) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(15)(a)(ii)**
- F144** Art. 14(2) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(15)(b)**
- F145** Art. 14(3) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **7(15)(b)**

## Article 15

### Communication of information

- <sup>F146</sup>1 .....
- 2 [<sup>F147</sup>The Secretary of State must] communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.
- <sup>F148</sup>3 .....
- 4
- <sup>F149</sup>a .....
- <sup>F150</sup>b [<sup>F151</sup>The Secretary of State must] make publicly available, before 31 October each year a Union-wide overview on the introduction into, and the export and re-export from, [<sup>F152</sup>Great Britain] of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.]



*Changes to legislation:* There are currently no known outstanding effects by UK  
legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

- <sup>F153</sup>c .....  
[<sup>F154</sup>d The Secretary of State must publish a report on the implementation and enforcement of this Regulation when reporting that information under the Convention, to the Convention Secretariat.]  
[<sup>F155</sup>e [<sup>F156</sup>The Secretary of State must communicate to the Convention Secretariat before 31st October] each year all the information relating to the preceding year for drawing up the annual illegal trade report referred to in CITES Resolution Conf. 11.17 (rev. CoP17).]  
<sup>F157</sup>5 .....  
[<sup>X16</sup>. <sup>F158</sup>... [<sup>F159</sup>The Secretary of State] shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation [<sup>F160</sup>, having regard to the Environmental Information Regulations 2004 and the Environmental Information (Scotland) Regulations 2004].]

#### Editorial Information

- X1** Substituted by [Corrigendum to Council Regulation \(EC\) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein \(Official Journal of the European Communities L 61 of 3 March 1997\)](#).

#### Textual Amendments

- F146** Art. 15(1) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(a\)](#)
- F147** Words in Art. 15(2) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(b\)](#)
- F148** Art. 15(3) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(a\)](#)
- F149** Art. 15(4)(a) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(c\)\(i\)](#)
- F150** Substituted by [Regulation \(EU\) 2019/1010 of the European Parliament and of the Council of 5 June 2019 on the alignment of reporting obligations in the field of legislation related to the environment, and amending Regulations \(EC\) No 166/2006 and \(EU\) No 995/2010 of the European Parliament and of the Council, Directives 2002/49/EC, 2004/35/EC, 2007/2/EC, 2009/147/EC and 2010/63/EU of the European Parliament and of the Council, Council Regulations \(EC\) No 338/97 and \(EC\) No 2173/2005, and Council Directive 86/278/EEC \(Text with EEA relevance\)](#).
- F151** Words in Art. 15(4)(b) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(c\)\(ii\)\(aa\)](#)
- F152** Words in Art. 15(4)(b) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(c\)\(ii\)\(bb\)](#)
- F153** Art. 15(4)(c) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(c\)\(i\)](#)
- F154** Art. 15(4)(d) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(c\)\(iii\)](#)
- F155** Inserted by [Regulation \(EU\) 2019/1010 of the European Parliament and of the Council of 5 June 2019 on the alignment of reporting obligations in the field of legislation related to the environment, and amending Regulations \(EC\) No 166/2006 and \(EU\) No 995/2010 of the European Parliament and of the Council, Directives 2002/49/EC, 2004/35/EC, 2007/2/EC, 2009/147/EC and 2010/63/EU of the European Parliament and of the Council, Council Regulations \(EC\) No 338/97 and \(EC\) No 2173/2005, and Council Directive 86/278/EEC \(Text with EEA relevance\)](#).
- F156** Words in Art. 15(4)(e) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [7\(16\)\(c\)\(iv\)](#)



**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

- F157** Art. 15(5) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(16)(a)**
- F158** Words in Art. 15(6) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(16)(d)(i)**
- F159** Words in Art. 15(6) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(16)(d)(ii)**
- F160** Words in Art. 15(6) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(16)(d)(iii)**

## Article 16

### Sanctions

- 1 [F161The following are infringements] of this Regulation:
- a introduction into, or export or re-export from, [F162Great Britain] of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
  - b failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
  - c making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
  - d using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a [F163permit or certificate issued in accordance with this Regulation] or for any other official purpose in connection with this Regulation;
  - e making no import notification or a false import notification;
  - f shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
  - g use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
  - h trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
  - i shipment of specimens into or out of or in transit through [F164Great Britain] without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
  - j purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
  - k use of a permit or certificate for any specimen other than one for which it was issued;
  - l falsification or alteration of any permit or certificate issued in accordance with this Regulation;
  - m failure to disclose rejection of an application for [F165an] import, export or re-export permit or certificate, in accordance with Article 6 (3).

F166 2 .....

- 3 Where a specimen is confiscated, it shall be entrusted to [F167the relevant competent authority of the United Kingdom] which:

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

- a following consultation with [<sup>F168</sup>the relevant scientific authority of the United Kingdom], shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- b in the case of a live specimen which has been introduced into [<sup>F169</sup>Great Britain], may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.

4 Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into [<sup>F170</sup>Great Britain] without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, [<sup>F171</sup>the relevant competent authority of the United Kingdom] may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

#### Textual Amendments

- F161** Words in Art. 16(1) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(a)(i)**
- F162** Words in Art. 16(1)(a) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(a)(ii)**
- F163** Words in Art. 16(1)(d) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(a)(iii)**
- F164** Words in Art. 16(1)(i) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(a)(iv)**
- F165** Word in Art. 16(1)(m) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(a)(v)**
- F166** Art. 16(2) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(b)**
- F167** Words in Art. 16(3) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(c)(i)**
- F168** Words in Art. 16(3)(a) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(c)(ii)**
- F169** Words in Art. 16(3)(b) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(c)(iii)**
- F170** Words in Art. 16(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(d)(i)**
- F171** Words in Art. 16(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(17)(d)(ii)**

#### <sup>F172</sup>Article 17

### The Scientific Review Group

#### Textual Amendments

- F172** Art. 17 omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(18)**

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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## *[<sup>F173</sup> Article 18*

### **Regulations**

- 1 Regulations made under this Regulation are to be made by statutory instrument.
- 2 A statutory instrument containing regulations made under this Regulation is to be laid before Parliament after being made, except for a statutory instrument containing regulations made under the following Articles, which is subject to annulment in pursuance of a resolution of either House of Parliament—
  - a Article 4(6);
  - b Article 5(7);
  - c Article 7(1);
  - d Article 7(2);
  - e Article 7(3);
  - f Article 8(4);
  - g Article 9(6);
  - h Article 19(4);
  - i Article 19(5).
- 3 Such regulations may—
  - a contain consequential, incidental, supplementary, transitional or saving provision (including provision amending, repealing or revoking enactments (which has the meaning given by section 20(1) of the European Union (Withdrawal) Act 2018));
  - b make different provision for different cases.]

## *[<sup>F41</sup> Article 19*

1 <sup>F174</sup> ...

The [<sup>F175</sup>Secretary of State may, by regulations, prescribe] the design of the documents referred to in Articles 4, 5, 7(4) and 10 <sup>F176</sup>....

<sup>F177</sup> 2 .....]

- 3 The [<sup>F178</sup>Secretary of State must, by regulations, prescribe] conditions and criteria for:
  - a the issue, validity and use of the documents referred to in Articles 4, 5, 7(4) and 10;
  - b the use of phytosanitary certificates referred to in Article 7(1)(b)(i);
  - c the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions.

<sup>F179</sup> ...

4 The [<sup>F180</sup>Secretary of State may, by regulations,] implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat. <sup>F181</sup>...

5 The [<sup>F182</sup>Secretary of State may, by regulations,] amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention. [<sup>F183</sup>Before amending Annex B with respect to species described at Article 3(2)(c) or (d), or amending Annex D with respect to species described at Article 3(4)(a), the Secretary of State must consult the scientific authority regarding the amendment.]]

**Changes to legislation:** There are currently no known outstanding effects by UK  
legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

### Textual Amendments

- F41** Substituted by Regulation (EC) No 398/2009 of the European Parliament and of the Council of 23 April 2009 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein, as regards the implementing powers conferred on the Commission.
- F173** Art. 18 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(19)**
- F174** Words in Art. 19(1) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(a)(i)**
- F175** Words in Art. 19(1) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(a)(ii)(aa)**
- F176** Words in Art. 19(1) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(a)(ii)(bb)**
- F177** Art. 19(2) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(b)**
- F178** Words in Art. 19(3) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(c)(i)**
- F179** Words in Art. 19(3) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(c)(ii)**
- F180** Words in Art. 19(4) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(d)(i)**
- F181** Words in Art. 19(4) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(d)(ii)**
- F182** Words in Art. 19(5) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(e)(i)**
- F183** Words in Art. 19(5) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(20)(e)(ii)**

### *F184* Article 20

### Final provisions

### Textual Amendments

- F184** Arts. 20-22 omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(21)**

### *F184* Article 21

### Textual Amendments

- F184** Arts. 20-22 omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(21)**

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**Changes to legislation:** There are currently no known outstanding effects by UK  
legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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*F184* Article 22

.....

**Textual Amendments**

**F184** Arts. 20-22 omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **7(21)**

This Regulation shall be binding in its entirety and directly applicable in all Member States.

## [<sup>F185</sup>ANNEX

### Notes on interpretation of Annexes A, B, C and D

#### Textual Amendments

**F185** Substituted by [Commission Regulation \(EU\) 2019/2117 of 29 November 2019 amending Council Regulation \(EC\) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.](#)

1. Species included in Annexes A, B, C and D are referred to:
  - (a) by the name of the species; or
  - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation ‘ spp. ’ is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Directive 2009/147/EC of the European Parliament and of the Council <sup>(6)</sup> or Council Directive 92/43/EEC <sup>(7)</sup>.
5. The following abbreviations are used for plant taxa below the level of species:
  - (a) ‘ ssp. ’ is used to denote subspecies;
  - (b) ‘ var(s). ’ is used to denote variety (varieties); and
  - (c) ‘ fa. ’ is used to denote forma.
6. The symbols ‘ (I) ’, ‘ (II) ’ and ‘ (III) ’ placed against the name of a species or higher taxon refer to the Appendices to the Convention in which the species concerned are listed as indicated in notes 7, 8 and 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
10. ‘Cultivar’ means, following the definition of the 8th edition of the *International Code of Nomenclature for Cultivated Plants* , an assemblage of plants that (a) has been selected for a particular character or combination of characters, (b) is distinct, uniform, and stable in these characters, and (c) when propagated by appropriate means, retains those characters. No new taxon of a cultivar can be regarded as such until its category name and circumscription has been formally published in the latest edition of the *International Code of Nomenclature for Cultivated Plants*.



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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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11. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
12. When a species is included in Annex A, B or C, the whole animal or plant, whether alive or dead, and all parts and derivatives [<sup>F186</sup>thereof, are always included]. Regarding animal species listed in Annex C and plant species listed in Annex B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t), the symbol ‘#’ followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of this Regulation as follows:
  - #1 Designates all parts and derivatives, except:
    - (a) seeds, spores and pollen (including pollinia);
    - (b) seedling or tissue cultures obtained *in vitro* <sup>F187</sup>... transported in sterile containers;
    - (c) cut flowers of artificially propagated plants; and
    - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
  - #2 Designates all parts and derivatives, except:
    - (a) seeds and pollen; and
    - (b) finished products packaged and ready for retail trade.
  - #3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives, such as powders, pills, extracts, tonics, teas and confectionery.
  - #4 Designates all parts and derivatives, except:
    - (a) seeds (including seedpods of Orchidaceae), spores and pollen (including pollinia). The exemption does not apply to seeds from Cactaceae spp. exported from Mexico, and to seeds from *Beccariophoenix madagascariensis* and *Dypsis decaryi* exported from Madagascar;
    - (b) seedling or tissue cultures obtained *in vitro* <sup>F188</sup>... transported in sterile containers;
    - (c) cut flowers of artificially propagated plants;
    - (d) fruits and parts and derivatives thereof of naturalized or artificially propagated plants of the genus *Vanilla* (Orchidaceae) and of the family Cactaceae;
    - (e) stems, flowers, and parts and derivatives thereof of naturalized or artificially propagated plants of the genera *Opuntia* subgenus *Opuntia* and *Selenicereus* (Cactaceae); and

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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- (f) finished products of *Aloe ferox* and *Euphorbia antisyphilitica* packaged and ready for retail trade;
  - (g) [F189 finished products packaged and ready for retail trade of cosmetics containing artificially propagated parts and derivatives of *Bletilla striata*, *Cynoches cooperi*, *Gastrodia elata*, *Phalaenopsis amabilis* or *P. lobbii*.]
- #5 [F189 Designates logs, sawn wood and veneer sheets.
- #6 Designates logs, sawn wood, veneer sheets and plywood.
- #7 Designates logs, wood-chips, powder and extracts.
- #8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.
- #9 Designates all parts and derivatives, except those bearing a label 'Produced from *Hoodia* spp. material obtained through controlled harvesting and production under the terms of an agreement with the relevant *CITES Management Authority of [Botswana under agreement No. BW/xxxxxx] [Namibia under agreement No. NA/xxxxxx] [South Africa under agreement No. ZA/xxxxxx]* '.
- #10 [F190 All parts, derivatives and finished products, except re-export of finished musical instruments, finished musical instrument accessories and finished musical instrument parts.]
- #11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #12 Designates logs, sawn wood, veneer sheets, plywood and extracts. Finished products containing such extracts as ingredients, including fragrances, are not considered to be covered by this annotation.
- #13 Designates the kernel (also known as ' endosperm ' , ' pulp ' or ' copra ' ) and any derivative thereof [F191, except finished products packaged and ready for retail trade].
- #14 Designates all parts and derivatives, except:
- (a) seeds and pollen;
  - (b) seedling or tissue cultures obtained *in vitro* F192... transported in sterile containers;
  - (c) fruits;
  - (d) leaves;
  - (e) exhausted agarwood powder, including compressed powder in all shapes; and
  - (f) finished products packaged and ready for retail [F193 trade;] this exemption does not apply to wood chips, beads, prayer beads and carvings.
- #15 Designates all parts and derivatives, except:
- (a) leaves, flowers, pollen, fruits, and seeds;

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

- (b) finished products to a maximum weight of wood of the listed species of up to 10 kg per shipment;
  - (c) finished musical instruments, finished musical instrument parts and finished musical instrument accessories;
  - (d) parts and derivatives of *Dalbergia cochinchinensis* which are covered by Annotation #4;
  - (e) parts and derivatives of *Dalbergia* spp. originating and exported from Mexico which are covered by Annotation #6;
- #16 Designates seeds, fruits, and oils;
- #17 Logs, sawn wood, veneer sheets, plywood and transformed wood.
- #18 [<sup>F194</sup>Excluding parts and derivatives, other than eggs.]

#### Textual Amendments

- F187** Words in Annex Notes omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **3(b)(i)**
- F188** Words in Annex Notes omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **3(b)(ii)(aa)**
- F189** Words in Annex Notes inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **3(b)(ii)(bb)**
- F190** Words in Annex Notes substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **3(b)(iii)**
- F191** Words in Annex note 12 inserted (22.6.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/645), regs. 1(2), **2(2)**
- F192** Words in Annex Notes omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **3(b)(iv)(aa)**
- F193** Word in Annex Notes substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **3(b)(iv)(bb)**
- F194** Words in Annex note 12 inserted (14.2.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2021 (S.I. 2021/54), regs. 1(2), **3**

#### Textual Amendments

- F186** Words in Annex Notes substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), 3(a)

13. The terms and expressions below, used in annotations in these Annexes, are defined as follows:

## **Extract**

Any substance obtained directly from plant material by physical or chemical means regardless of the manufacturing process. An extract may be solid (e.g. crystals, resin, fine or coarse particles), semisolid (e.g. gums, waxes) or liquid (e.g. solutions, tinctures, oil and essential oils).

## **Finished musical instruments**

A musical instrument (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) that is ready to play or needs only the installation of parts to make it playable. This term includes antique instruments (as defined by the Harmonized System codes 97.05 and 97.06; Works of art, collectors' pieces and antiques).

## **Finished musical instrument accessories**

A musical instrument accessory (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) that is separate from the musical instrument, and is specifically designed or shaped to be used explicitly in association with an instrument, and that requires no further modification to be used.

## **Finished musical instrument parts**

A part (as referenced by the Harmonized System of the World Customs Organization, Chapter 92; musical instruments, parts and accessories of such articles) of a musical instrument that is ready to install and is specifically designed and shaped to be used explicitly in association with the instrument to make it playable.

## **Finished products packaged and ready for retail trade**

Products, shipped singly or in bulk, requiring no further processing, packaged, labelled for final use or the retail trade in a state fit for being sold to or used by the general public.

## **Powder**

A dry, solid substance in the form of fine or coarse particles

## **Shipment**

Cargo transported under the terms of a single bill of lading or air waybill, irrespective of the quantity or number of containers or packages; or pieces worn, carried or included in personal baggage.

## **10 kg per shipment**

[<sup>F195</sup>For the term '10kg per shipment' the 10kg limit should be interpreted as referring to the weight of wood of each individual annotated species of genus *Dalbergia* or *Guibourtia* present in the items in the shipment. The 10kg limit is to be assessed only against the individual weights of the portions of wood of each individual annotated species contained in each item of the shipment, and not against the total weight of the shipment. The total weights present of each individual annotated species are considered individually to determine whether a CITES permit or certificate is required for each individual annotated species, and weights of different individual annotated species are not added together for this purpose.]

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

### Textual Amendments

**F195** Words in [Annex](#) Notes substituted (23.2.2023) by [The Trade in Endangered Species of Wild Fauna and Flora \(Council Regulation \(EC\) No 338/97\) \(Amendment\) Regulations 2023 \(S.I. 2023/106\)](#), regs. 1(2), 3(c)(i)

### Transformed wood

Defined by Harmonized System code 44.09. Wood (including strips, friezes for parquet flooring, not assembled), continuously shaped (tongued, grooved, [<sup>F196</sup>rebated, chamfered,] v-jointed, beaded [<sup>F197</sup>, moulded, rounded] or the like) along any edges, ends or faces, whether or not planed, sanded or end-jointed.

### Textual Amendments

**F196** Words in [Annex](#) Notes inserted (23.2.2023) by [The Trade in Endangered Species of Wild Fauna and Flora \(Council Regulation \(EC\) No 338/97\) \(Amendment\) Regulations 2023 \(S.I. 2023/106\)](#), regs. 1(2), 3(c)(ii)(aa)

**F197** Words in [Annex](#) Notes inserted (23.2.2023) by [The Trade in Endangered Species of Wild Fauna and Flora \(Council Regulation \(EC\) No 338/97\) \(Amendment\) Regulations 2023 \(S.I. 2023/106\)](#), regs. 1(2), 3(c)(ii)(bb)

### Woodchips

Wood that has been reduced to small pieces.

14. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with Article 4(1), this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro* <sup>F198</sup>... transported in sterile containers of these hybrids are not subject to this Regulation.

### Textual Amendments

**F198** Words in [Annex](#) Notes omitted (23.2.2023) by virtue of [The Trade in Endangered Species of Wild Fauna and Flora \(Council Regulation \(EC\) No 338/97\) \(Amendment\) Regulations 2023 \(S.I. 2023/106\)](#), regs. 1(2), 3(d)

15. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to this Regulation.
16. In respect of fauna species listed in Annex D, this Regulation shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
  - § 1 Any whole, or substantially whole, skins, raw or tanned.
17. In respect of flora species listed in Annex D, this Regulation shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

§ 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.

§ 4 Logs, sawn wood and veneer sheets.

|                             | Annex A                                                                                                                             | Annex B                       | Annex C                                          | Common name                                                    |
|-----------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|--------------------------------------------------|----------------------------------------------------------------|
| <b>FAUNA</b>                |                                                                                                                                     |                               |                                                  |                                                                |
| <b>CHORDATA (CHORDATES)</b> |                                                                                                                                     |                               |                                                  |                                                                |
| <b>MAMMALIA</b>             |                                                                                                                                     |                               |                                                  | <b>Mammals</b>                                                 |
| <b>ARTIODACTYLA</b>         |                                                                                                                                     |                               |                                                  |                                                                |
| <b>Antilocapridae</b>       |                                                                                                                                     |                               |                                                  | <b>Pronghorn</b>                                               |
|                             | <i>Antilocapra americana</i> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation) |                               |                                                  | Mexican pronghorn                                              |
| <b>Bovidae</b>              |                                                                                                                                     |                               |                                                  | <b>Antelopes, cattle, duikers, gazelles, goats, sheep etc.</b> |
|                             | <i>Addax nasomaculatus</i> (I)                                                                                                      |                               |                                                  | Addax                                                          |
|                             |                                                                                                                                     | <i>Ammotragus lervia</i> (II) |                                                  | Barbary sheep                                                  |
|                             |                                                                                                                                     |                               | <i>Antilope cervicapra</i> (III Nepal/ Pakistan) | Blackbuck                                                      |
|                             | <i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not                               |                               |                                                  | Gaur                                                           |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|  |                                                                                                                                     |                                |                                                                                                                                                     |                      |
|--|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|
|  | subject to this Regulation)                                                                                                         |                                |                                                                                                                                                     |                      |
|  | <i>Bos mutus</i> (I)<br>(Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to this Regulation) |                                |                                                                                                                                                     | Wild yak             |
|  | <i>Bos sauveli</i> (I)                                                                                                              |                                |                                                                                                                                                     | Kouprey              |
|  |                                                                                                                                     |                                | <i>Boselaphus tragocamelus</i> (III Pakistan)                                                                                                       | Nilgai               |
|  |                                                                                                                                     |                                | <i>Bubalus arnee</i> (III Nepal)<br>(Excludes the domesticated form referenced as <i>Bubalus bubalis</i> , which is not subject to this Regulation) | Wild Asiatic buffalo |
|  | <i>Bubalus depressicornis</i> (I)                                                                                                   |                                |                                                                                                                                                     | Lowland anoa         |
|  | <i>Bubalus mindorensis</i> (I)                                                                                                      |                                |                                                                                                                                                     | Tamarau              |
|  | <i>Bubalus quarlesi</i> (I)                                                                                                         |                                |                                                                                                                                                     | Mountain anoa        |
|  |                                                                                                                                     | <i>Budorcas taxicolor</i> (II) |                                                                                                                                                     | Takin                |
|  | <i>Capra falconeri</i> (I)                                                                                                          |                                |                                                                                                                                                     | Markhor              |
|  |                                                                                                                                     | <i>Capra caucasica</i> (II)    |                                                                                                                                                     | Caucasian Tur        |
|  |                                                                                                                                     |                                | <i>Capra hircus aegagrus</i> (III Pakistan)<br>(Specimens of the domesticated                                                                       | Wild goat            |



**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|  |                                       |                                          |                                              |                        |
|--|---------------------------------------|------------------------------------------|----------------------------------------------|------------------------|
|  |                                       |                                          | form are not subject to this Regulation)     |                        |
|  |                                       |                                          | <i>Capra sibirica</i> (III Pakistan)         | Siberian Ibex          |
|  | <i>Capricornis milneedwardsii</i> (I) |                                          |                                              | Chinese serow          |
|  | <i>Capricornis rubidus</i> (I)        |                                          |                                              | Red serow              |
|  | <i>Capricornis sumatraensis</i> (I)   |                                          |                                              | Sumatran serow         |
|  | <i>Capricornis thar</i> (I)           |                                          |                                              | Himalayan serow        |
|  |                                       | <i>Cephalophus brookei</i> (II)          |                                              | Brooke's duiker        |
|  |                                       | <i>Cephalophus dorsalis</i> (II)         |                                              | Bay duiker             |
|  | <i>Cephalophus jentinki</i> (I)       |                                          |                                              | Jentink's duiker       |
|  |                                       | <i>Cephalophus ogilbyi</i> (II)          |                                              | Ogilby's duiker        |
|  |                                       | <i>Cephalophus silvicultor</i> (II)      |                                              | Yellow-backed duiker   |
|  |                                       | <i>Cephalophus zebra</i> (II)            |                                              | Zebra duiker           |
|  |                                       | <i>Damaliscus pygargus pygargus</i> (II) |                                              | Bontebok               |
|  |                                       |                                          | <i>Gazella bennettii</i> (III Pakistan)      | Chinkara               |
|  | <i>Gazella cuvieri</i> (I)            |                                          |                                              | Cuvier's gazelle       |
|  |                                       |                                          | <i>Gazella dorcas</i> (III Algeria/ Tunisia) | Dorcas gazelle         |
|  | <i>Gazella leptoceros</i> (I)         |                                          |                                              | Slender-horned gazelle |

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|  | <i>Hippotragus niger variani</i> (I) |                                                                                                                                |  | Giant sable antelope  |
|  |                                      | <i>Kobus leche</i> (II)                                                                                                        |  | Lechwe                |
|  | <i>Naemorhedus baileyi</i> (I)       |                                                                                                                                |  | Red goral             |
|  | <i>Naemorhedus caudatus</i> (I)      |                                                                                                                                |  | Long-tailed goral     |
|  | <i>Naemorhedus goral</i> (I)         |                                                                                                                                |  | Himalayan goral       |
|  | <i>Naemorhedus griseus</i> (I)       |                                                                                                                                |  | Chinese goral         |
|  | <i>Nanger dama</i> (I)               |                                                                                                                                |  | Dama gazelle          |
|  | <i>Oryx dammah</i> (I)               |                                                                                                                                |  | Scimitar-horned oryx  |
|  | <i>Oryx leucoryx</i> (I)             |                                                                                                                                |  | Arabian oryx          |
|  |                                      | <i>Ovis ammon</i> (II)                                                                                                         |  | Altai argali          |
|  |                                      | <i>Ovis arabica</i> (II)                                                                                                       |  | Arabian wild sheep    |
|  |                                      | <i>Ovis bochariensis</i> (II)                                                                                                  |  | Bukhara urial         |
|  |                                      | <i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation) |  | Mexican bighorn sheep |
|  |                                      | <i>Ovis collium</i> (II) <sup>a</sup>                                                                                          |  | Kazakhstan argali     |
|  |                                      | <i>Ovis cycloceros</i> (II)                                                                                                    |  | Afghan urial          |
|  |                                      | <i>Ovis darwini</i> (II) <sup>a</sup>                                                                                          |  | Gobi argali           |

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|  | <i>Ovis gmelini</i><br>(I) (population<br>of Cyprus)  |                                                                                              |                                              | Anatolian<br>sheep    |
|  | <i>Ovis<br/>hodgsonii</i> (I)                         |                                                                                              |                                              | Tibetan argali        |
|  |                                                       | <i>Ovis jubata</i><br>(II) <sup>a</sup>                                                      |                                              | Shansi argali         |
|  |                                                       | <i>Ovis karelini</i><br>(II) <sup>a</sup>                                                    |                                              | Tianshan<br>argali    |
|  | <i>Ovis<br/>nigrimontana</i><br>(I)                   |                                                                                              |                                              | Karatau argali        |
|  |                                                       | <i>Ovis polii</i> (II)<br><sup>a</sup>                                                       |                                              | Marco Polo<br>argali  |
|  |                                                       | <i>Ovis<br/>punjabiensis</i><br>(II)                                                         |                                              | Punjab urial          |
|  |                                                       | <i>Ovis<br/>severtzovi</i> (II)<br><sup>a</sup>                                              |                                              | Severtzov's<br>argali |
|  | <i>Ovis vignei</i><br>(I)                             |                                                                                              |                                              | Ladakh urial          |
|  | <i>Pantholops<br/>hodgsonii</i> (I)                   |                                                                                              |                                              | Chiru                 |
|  |                                                       | <i>[<sup>F199</sup>Philantomba<br/>maxwelli (II)]</i>                                        |                                              |                       |
|  |                                                       | <i>Philantomba<br/>monticola</i> (II)                                                        |                                              | Blue duiker           |
|  |                                                       |                                                                                              | <i>Pseudois<br/>nayaur</i> (III<br>Pakistan) | Bharal                |
|  | <i>Pseudoryx<br/>nghetinhensis</i><br>(I)             |                                                                                              |                                              | Saola                 |
|  | <b><i>Rupicapra<br/>pyrenaica<br/>ornata</i></b> (II) |                                                                                              |                                              | Abruzzo<br>chamois    |
|  |                                                       | <i>Saiga borealis</i><br>(II) (A zero<br>export quota<br>for wild<br>specimens<br>traded for |                                              | Mongolian<br>saiga    |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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|                  |                                                                                                                                                                                                                                                                                                                                                                                                                                      | commercial purposes)                                                                                                                                                                                                                                                                                                                                                                                                           |                                            |                                |
|                  |                                                                                                                                                                                                                                                                                                                                                                                                                                      | <i>Saiga tatarica</i> (II) (A zero export quota for wild specimens traded for commercial purposes)                                                                                                                                                                                                                                                                                                                             |                                            | Steppe saiga                   |
|                  |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                | <i>Tetracerus quadricornis</i> (III Nepal) | Four-horned antelope           |
| <b>Camelidae</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                |                                            | <b>Camels, guanaco, vicuña</b> |
|                  |                                                                                                                                                                                                                                                                                                                                                                                                                                      | <i>Lama guanicoe</i> (II)                                                                                                                                                                                                                                                                                                                                                                                                      |                                            | Guanaco                        |
|                  | <i>Vicugna vicugna</i> (I) (Except for the populations of: Argentina [the populations of the Provinces of Jujuy, Catamarca and Salta, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [populations of the region of Tarapacá and of the region of Arica and Parinacota]; Ecuador [the whole population] and Peru [the whole population]; | <i>Vicugna vicugna</i> (II) (Only the populations of Argentina [the populations of the Provinces of Jujuy, Catamarca and Salta, and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [populations of the region of Tarapacá and of the region of Arica and Parinacota]; Ecuador [the whole population] and Peru [the whole population]; |                                            | Vicuña                         |

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|                 | which are included in Annex B)       | all other populations are included in Annex A) <sup>b</sup> |                                                                                     |                                       |
|-----------------|--------------------------------------|-------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------|
| <b>Cervidae</b> |                                      |                                                             |                                                                                     | <b>Deer, huemuls, muntjacs, pudus</b> |
|                 | <i>Axis calamianensis</i> (I)        |                                                             |                                                                                     | Calamian deer                         |
|                 | <i>Axis kuhlii</i> (I)               |                                                             |                                                                                     | Bawean deer                           |
|                 |                                      |                                                             | <i>Axis porcinus</i> (III Pakistan (Except for the subspecies included in Annex A)) | Hog deer                              |
|                 | <i>Axis porcinus annamiticus</i> (I) |                                                             |                                                                                     | Indochina hog deer                    |
|                 | <i>Blastocerus dichotomus</i> (I)    |                                                             |                                                                                     | Marsh deer                            |
|                 |                                      | <i>Cervus elaphus bactrianus</i> (II)                       |                                                                                     | Bactrian deer                         |
|                 |                                      |                                                             | <i>Cervus elaphus barbarus</i> (III Algeria/ Tunisia)                               | Barbary deer                          |
|                 | <i>Cervus elaphus hanglu</i> (I)     |                                                             |                                                                                     | Hangul                                |
|                 | <i>Dama dama mesopotamica</i> (I)    |                                                             |                                                                                     | Persian fallow deer                   |
|                 | <i>Hippocamelus</i> spp. (I)         |                                                             |                                                                                     | Huemuls                               |
|                 |                                      |                                                             | <i>Mazama temama cerasina</i> (III Guatemala)                                       | Central American red brocket          |
|                 | <i>Muntiacus crinifrons</i> (I)      |                                                             |                                                                                     | Black muntjac                         |

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|                       | <i>Muntiacus vuquangensis</i> (I)                                                                                                                        |                                                                                                                                                 |                                                        | Giant muntjac                |
|                       |                                                                                                                                                          |                                                                                                                                                 | <i>Odocoileus virginianus mayensis</i> (III Guatemala) | Guatemalan white-tailed deer |
|                       | <i>Ozotoceros bezoarticus</i> (I)                                                                                                                        |                                                                                                                                                 |                                                        | Pampas deer                  |
|                       |                                                                                                                                                          | <i>Pudu mephistophiles</i> (II)                                                                                                                 |                                                        | Northern pudu                |
|                       | <i>Pudu puda</i> (I)                                                                                                                                     |                                                                                                                                                 |                                                        | Southern pudu                |
|                       | <i>Rucervus duvaucelii</i> (I)                                                                                                                           |                                                                                                                                                 |                                                        | Barasingha                   |
|                       | <i>Rucervus eldii</i> (I)                                                                                                                                |                                                                                                                                                 |                                                        | Eld's deer                   |
| <b>Giraffidae</b>     |                                                                                                                                                          | <i>Giraffa camelopardalis</i> (II)                                                                                                              |                                                        | <b>Giraffes</b><br>Giraffe   |
| <b>Hippopotamidae</b> |                                                                                                                                                          |                                                                                                                                                 |                                                        | <b>Hippopotamuses</b>        |
|                       |                                                                                                                                                          | <i>Hexaprotodon liberiensis</i> (II)                                                                                                            |                                                        | Pygmy hippopotamus           |
|                       |                                                                                                                                                          | <i>Hippopotamus amphibius</i> (II)                                                                                                              |                                                        | Common hippopotamus          |
| <b>Moschidae</b>      |                                                                                                                                                          |                                                                                                                                                 |                                                        | <b>Musk deer</b>             |
|                       | <i>Moschus</i> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B) | <i>Moschus</i> spp. (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A) |                                                        | Musk deer                    |
| <b>Suidae</b>         |                                                                                                                                                          |                                                                                                                                                 |                                                        | <b>Babirusa, hogs, pigs</b>  |
|                       | <i>Babyrousa babyrussa</i> (I)                                                                                                                           |                                                                                                                                                 |                                                        | Buru babirusa                |

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|                    | <i>Babyrousa bolabatuensis</i> (I)                                                            |                                                                                                                                                                                                                       |                                 | Bola Batu babirusa         |
|                    | <i>Babyrousa celebensis</i> (I)                                                               |                                                                                                                                                                                                                       |                                 | North Sulawesi babirusa    |
|                    | <i>Babyrousa togeanensis</i> (I)                                                              |                                                                                                                                                                                                                       |                                 | Malenge babirusa           |
|                    | <i>Sus salvanius</i> (I)                                                                      |                                                                                                                                                                                                                       |                                 | Pygmy hog                  |
| <b>Tayassuidae</b> |                                                                                               |                                                                                                                                                                                                                       |                                 | <b>Peccaries</b>           |
|                    |                                                                                               | Tayassuidae spp. (II)<br>(Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation) |                                 | Peccaries                  |
|                    | <i>Catagonus wagneri</i> (I)                                                                  |                                                                                                                                                                                                                       |                                 | Chacoan peccary            |
| <b>CARNIVORA</b>   |                                                                                               |                                                                                                                                                                                                                       |                                 |                            |
| <b>Ailuridae</b>   |                                                                                               |                                                                                                                                                                                                                       |                                 |                            |
|                    | <i>Ailurus fulgens</i> (I)                                                                    |                                                                                                                                                                                                                       |                                 | Red panda                  |
| <b>Canidae</b>     |                                                                                               |                                                                                                                                                                                                                       |                                 | <b>Dogs, foxes, wolves</b> |
|                    |                                                                                               |                                                                                                                                                                                                                       | <i>Canis aureus</i> (III India) | Golden jackal              |
|                    | <b><i>Canis lupus</i> (I/II)</b><br>(All populations except those of Spain north of the Duero | <i>Canis lupus</i> (II)<br>(Populations of Spain north of the Duero and Greece north of the 39th                                                                                                                      |                                 | Grey wolf                  |



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|  | and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II. Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i> ) | parallel.Excludes the domesticated form and the dingo which are referenced as <i>Canis lupus familiaris</i> and <i>Canis lupus dingo</i> ) |                                       |                         |
|  | <i>Canis simensis</i>                                                                                                                                                                                                                                                                                |                                                                                                                                            |                                       | Ethiopian wolf          |
|  |                                                                                                                                                                                                                                                                                                      | <i>Cerdocyon thous</i> (II)                                                                                                                |                                       | Crab-eating fox         |
|  |                                                                                                                                                                                                                                                                                                      | <i>Chrysocyon brachyurus</i> (II)                                                                                                          |                                       | Maned wolf              |
|  |                                                                                                                                                                                                                                                                                                      | <i>Cuon alpinus</i> (II)                                                                                                                   |                                       | Dhole                   |
|  |                                                                                                                                                                                                                                                                                                      | <i>Lycalopex culpaeus</i> (II)                                                                                                             |                                       | Culpeo                  |
|  |                                                                                                                                                                                                                                                                                                      | <i>Lycalopex fulvipes</i> (II)                                                                                                             |                                       | Darwin's fox            |
|  |                                                                                                                                                                                                                                                                                                      | <i>Lycalopex griseus</i> (II)                                                                                                              |                                       | South American grey fox |
|  |                                                                                                                                                                                                                                                                                                      | <i>Lycalopex gymnocercus</i> (II)                                                                                                          |                                       | Pampas fox              |
|  | <i>Speothos venaticus</i> (I)                                                                                                                                                                                                                                                                        |                                                                                                                                            |                                       | Bush dog                |
|  |                                                                                                                                                                                                                                                                                                      |                                                                                                                                            | <i>Vulpes bengalensis</i> (III India) | Bengal fox              |

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|                   |  | <i>Vulpes cana</i><br>(II)                                                                                                                                                                                                                                                                                                                                                                                                               |  | Blanford's fox                                      |
|                   |  | <i>Vulpes zerda</i><br>(II)                                                                                                                                                                                                                                                                                                                                                                                                              |  | Fennec fox                                          |
| <b>Eupleridae</b> |  |                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |                                                     |
|                   |  | <i>Cryptoprocta ferox</i> (II)                                                                                                                                                                                                                                                                                                                                                                                                           |  | Fossa                                               |
|                   |  | <i>Eupleres goudotii</i> (II)                                                                                                                                                                                                                                                                                                                                                                                                            |  | Falanouc                                            |
|                   |  | <i>Fossa fossana</i><br>(II)                                                                                                                                                                                                                                                                                                                                                                                                             |  | Malagasy civet                                      |
| <b>Felidae</b>    |  |                                                                                                                                                                                                                                                                                                                                                                                                                                          |  | <b>Cats, cheetahs, leopards, lions, tigers etc.</b> |
|                   |  | Felidae spp.<br>(II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to this Regulation. For <i>Panthera leo</i> (African populations): A zero annual export quota is established for specimens of bones, bone pieces, bone products, claws, skeletons, skulls and teeth removed from the wild and traded for commercial purposes. Annual export quotas for trade in bones, bone pieces, |  | Cats                                                |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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|  |                                                                                                                                                                                                                                | bone products, claws, skeletons, skulls and teeth for commercial purposes, derived from captive breeding operations in South Africa, will be established and communicated annually to the CITES Secretariat.) |  |                  |
|  | <i>Acinonyx jubatus</i> (I)<br>(Annual export quotas for live specimens and hunting trophies are granted as follows:<br>Botswana: 5;<br>Namibia: 150;<br>Zimbabwe: 50. The trade in such specimens is subject to Article 4(1)) |                                                                                                                                                                                                               |  | Cheetah          |
|  | <i>Caracal caracal</i> (I)<br>(Only the population of Asia; all other populations are included in Annex B)                                                                                                                     |                                                                                                                                                                                                               |  | Asian Caracal    |
|  | <i>Catopuma temminckii</i> (I)                                                                                                                                                                                                 |                                                                                                                                                                                                               |  | Asian golden cat |
|  | <i>Felis nigripes</i> (I)                                                                                                                                                                                                      |                                                                                                                                                                                                               |  | Black-footed cat |
|  | <i>Felis silvestris</i> (II)                                                                                                                                                                                                   |                                                                                                                                                                                                               |  | Wild cat         |

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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|  | <i>Herpailurus yagouaroundi</i> (I) (Only the populations of Central and North America; all other populations are included in Annex B) |  |  | Jaguarundi               |
|  | <i>Leopardus geoffroyi</i> (I)                                                                                                         |  |  | Geoffroy's cat           |
|  | <i>Leopardus guttulus</i> (I)                                                                                                          |  |  | Southern tigrina         |
|  | <i>Leopardus jacobita</i> (I)                                                                                                          |  |  | Andean mountain cat      |
|  | <i>Leopardus pardalis</i> (I)                                                                                                          |  |  | Ocelot                   |
|  | <i>Leopardus tigrinus</i> (I)                                                                                                          |  |  | Oncilla                  |
|  | <i>Leopardus wiedii</i> (I)                                                                                                            |  |  | Margay                   |
|  | <b><i>Lynx lynx</i> (II)</b>                                                                                                           |  |  | Eurasian lynx            |
|  | <i>Lynx pardinus</i> (I)                                                                                                               |  |  | Iberian lynx             |
|  | <i>Neofelis diardi</i> (I)                                                                                                             |  |  | Sunda clouded leopard    |
|  | <i>Neofelis nebulosa</i> (I)                                                                                                           |  |  | Mainland clouded leopard |
|  | <i>Panthera leo</i> (I) (Only the populations of India; all other populations are included in Annex B)                                 |  |  | Asiatic lion             |
|  | <i>Panthera onca</i> (I)                                                                                                               |  |  | Jaguar                   |
|  | <i>Panthera pardus</i> (I)                                                                                                             |  |  | Leopard                  |
|  | <i>Panthera tigris</i> (I)                                                                                                             |  |  | Tiger                    |

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|                    | <i>Panthera uncia</i> (I)                                                                                                                               |  |                                                | Snow leopard          |
|                    | <i>Pardofelis marmorata</i> (I)                                                                                                                         |  |                                                | Marbled cat           |
|                    | <i>Prionailurus bengalensis bengalensis</i> (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B) |  |                                                | Bengal leopard cat    |
|                    | <i>Prionailurus iriomotensis</i> (II)                                                                                                                   |  |                                                | Iriomote cat          |
|                    | <i>Prionailurus planiceps</i> (I)                                                                                                                       |  |                                                | Flat-headed cat       |
|                    | <i>Prionailurus rubiginosus</i> (I) (Only the population of India; all other populations are included in Annex B)                                       |  |                                                | Rusty-spotted cat     |
|                    | <i>Puma concolor</i> (I) (Only the populations of Costa Rica and Panama; all other populations are included in Annex B)                                 |  |                                                | Costa Rican cougar    |
| <b>Herpestidae</b> |                                                                                                                                                         |  |                                                | <b>Mongoose</b>       |
|                    |                                                                                                                                                         |  | <i>Herpestes edwardsi</i> (III India/Pakistan) | Indian grey mongoose  |
|                    |                                                                                                                                                         |  | <i>Herpestes fuscus</i> (III India)            | Indian brown mongoose |

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|                   |                                                                                             |                                                                 | <i>Herpestes javanicus</i> (III Pakistan)            | Small Asian mongoose                  |
|                   |                                                                                             |                                                                 | <i>Herpestes javanicus auropunctatus</i> (III India) | Small Indian mongoose                 |
|                   |                                                                                             |                                                                 | <i>Herpestes smithii</i> (III India)                 | Ruddy mongoose                        |
|                   |                                                                                             |                                                                 | <i>Herpestes urva</i> (III India)                    | Crab-eating mongoose                  |
|                   |                                                                                             |                                                                 | <i>Herpestes vitticollis</i> (III India)             | Stripe-necked mongoose                |
| <b>Hyaenidae</b>  |                                                                                             |                                                                 |                                                      | <b>Aardwolf, hyenas</b>               |
|                   |                                                                                             |                                                                 | <i>Hyaena hyaena</i> (III Pakistan)                  | Striped hyena                         |
|                   |                                                                                             |                                                                 | <i>Proteles cristata</i> (III Botswana)              | Aardwolf                              |
| <b>Mephitidae</b> |                                                                                             |                                                                 |                                                      | <b>Skunks</b>                         |
|                   |                                                                                             | <i>Conepatus humboldtii</i> (II)                                |                                                      | Humboldt's hog-nosed skunk            |
| <b>Mustelidae</b> |                                                                                             |                                                                 |                                                      | <b>Badgers, martens, weasels etc.</b> |
| <b>Lutrinae</b>   |                                                                                             |                                                                 |                                                      | <b>Otters</b>                         |
|                   |                                                                                             | Lutrinae spp. (II) (Except for the species included in Annex A) |                                                      | Otters                                |
|                   | <i>Aonyx capensis microdon</i> (I) (Only the populations of Cameroon and Nigeria; all other |                                                                 |                                                      | Cameroon clawless otter               |

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|                   |                                              |  |                                            |                                         |
|-------------------|----------------------------------------------|--|--------------------------------------------|-----------------------------------------|
|                   | populations are included in Annex B)         |  |                                            |                                         |
|                   | [ <sup>F200</sup> <i>Aonyx cinereus</i> (I)] |  |                                            | Small-clawed otter                      |
|                   | <i>Enhydra lutris nereis</i> (I)             |  |                                            | Southern sea otter                      |
|                   | <i>Lontra felina</i> (I)                     |  |                                            | Marine otter                            |
|                   | <i>Lontra longicaudis</i> (I)                |  |                                            | Neotropical otter                       |
|                   | <i>Lontra provocax</i> (I)                   |  |                                            | Southern river otter                    |
|                   | <i>Lutra lutra</i> (I)                       |  |                                            | European otter                          |
|                   | <i>Lutra nippon</i> (I)                      |  |                                            | Japanese otter                          |
|                   | <i>Lutrogale perspicillata</i> (I)           |  |                                            | Smooth-coated otter                     |
|                   | <i>Pteronura brasiliensis</i> (I)            |  |                                            | Giant otter                             |
| <b>Mustelinae</b> |                                              |  |                                            | <b>Grisons, martens, tayra, weasels</b> |
|                   |                                              |  | <i>Eira barbara</i> (III Honduras)         | Tayra                                   |
|                   |                                              |  | <i>Martes flavigula</i> (III India)        | Yellow-throated marten                  |
|                   |                                              |  | <i>Martes foina intermedia</i> (III India) | Stone marten                            |
|                   |                                              |  | <i>Martes gwatkinsii</i> (III India)       | Nilgiri marten                          |
|                   |                                              |  | <i>Mellivora capensis</i> (III Botswana)   | Honey badger                            |
|                   | <i>Mustela nigripes</i> (I)                  |  |                                            | Black-footed ferret                     |
| <b>Odobenidae</b> |                                              |  |                                            | <b>Walrus</b>                           |

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|                    |                                     |                                                                             |                                            |                            |
|--------------------|-------------------------------------|-----------------------------------------------------------------------------|--------------------------------------------|----------------------------|
|                    |                                     | <i>Odobenus rosmarus</i> (III Canada)                                       |                                            | Walrus                     |
| <b>Otariidae</b>   |                                     |                                                                             |                                            | <b>Fur seals, sealions</b> |
|                    |                                     | <i>Arctocephalus</i> spp. (II) (Except for the species included in Annex A) |                                            | Fur seals                  |
|                    | <i>Arctocephalus philippii</i> (II) |                                                                             |                                            | Juan Fernández fur seal    |
|                    | <i>Arctocephalus townsendi</i> (I)  |                                                                             |                                            | Guadalupe fur seal         |
| <b>Phocidae</b>    |                                     |                                                                             |                                            | <b>Seals</b>               |
|                    |                                     | <i>Mirounga leonina</i> (II)                                                |                                            | Southern elephant seal     |
|                    | <i>Monachus</i> spp. (I)            |                                                                             |                                            | Monk seals                 |
| <b>Procyonidae</b> |                                     |                                                                             |                                            | <b>Coatis, olingos</b>     |
|                    |                                     |                                                                             | <i>Nasua narica</i> (III Honduras)         | White-nosed coati          |
|                    |                                     |                                                                             | <i>Nasua nasua solitaria</i> (III Uruguay) | South Brazilian coati      |
|                    |                                     |                                                                             | <i>Potos flavus</i> (III Honduras)         | Kinkajou                   |
| <b>Ursidae</b>     |                                     |                                                                             |                                            | <b>Bears</b>               |
|                    |                                     | Ursidae spp. (II) (Except for the species included in Annex A)              |                                            | Bears                      |
|                    | <i>Ailuropoda melanoleuca</i> (I)   |                                                                             |                                            | Giant panda                |
|                    | <i>Helarctos malayanus</i> (I)      |                                                                             |                                            | Sun bear                   |
|                    | <i>Melursus ursinus</i> (I)         |                                                                             |                                            | Sloth bear                 |



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|                   |                                                                                                                                                                                                                                               |                                 |                                               |                                                             |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|-----------------------------------------------|-------------------------------------------------------------|
|                   | <i>Tremarctos ornatus</i> (I)                                                                                                                                                                                                                 |                                 |                                               | Spectacled bear                                             |
|                   | <b><i>Ursus arctos</i> (I/II)</b><br>(Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II) |                                 |                                               | Brown bear                                                  |
|                   | <i>Ursus thibetanus</i> (I)                                                                                                                                                                                                                   |                                 |                                               | Asian black bear                                            |
| <b>Viverridae</b> |                                                                                                                                                                                                                                               |                                 |                                               | <b>Binturong, civets, linsangs, otter-civet, palm civet</b> |
|                   |                                                                                                                                                                                                                                               |                                 | <i>Arctictis binturong</i> (III India)        | Binturong                                                   |
|                   |                                                                                                                                                                                                                                               |                                 | <i>Civettictis civetta</i> (III Botswana)     | African civet                                               |
|                   |                                                                                                                                                                                                                                               | <i>Cynogale bennettii</i> (II)  |                                               | Otter civet                                                 |
|                   |                                                                                                                                                                                                                                               | <i>Hemigalus derbyanus</i> (II) |                                               | Banded palm civet                                           |
|                   |                                                                                                                                                                                                                                               |                                 | <i>Paguma larvata</i> (III India)             | Masked palm civet                                           |
|                   |                                                                                                                                                                                                                                               |                                 | <i>Paradoxurus hermaphroditus</i> (III India) | Asian palm civet                                            |
|                   |                                                                                                                                                                                                                                               |                                 | <i>Paradoxurus jerdoni</i> (III India)        | Jerdon's palm civet                                         |

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|                       |                                             |                                                                                                                                        |                                                   |                                                            |
|-----------------------|---------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|------------------------------------------------------------|
|                       |                                             | <i>Prionodon<br/>linsang</i> (II)                                                                                                      |                                                   | Banded<br>linsang                                          |
|                       | <i>Prionodon<br/>pardicolor</i> (I)         |                                                                                                                                        |                                                   | Spotted<br>linsang                                         |
|                       |                                             |                                                                                                                                        | <i>Viverra<br/>civettina</i> (III<br>India)       | Malabar large-<br>spotted civet                            |
|                       |                                             |                                                                                                                                        | <i>Viverra<br/>zibetha</i> (III<br>India)         | Large Indian<br>civet                                      |
|                       |                                             |                                                                                                                                        | <i>Viverricula<br/>indica</i> (III<br>India)      | Small Indian<br>civet                                      |
| CETACEA               |                                             |                                                                                                                                        |                                                   | <b>Cetaceans<br/>(dolphins,<br/>porpoises,<br/>whales)</b> |
|                       | <b>CETACEA<br/>spp. (I/II) <sup>c</sup></b> |                                                                                                                                        |                                                   | Cetaceans                                                  |
| CHIROPTERA            |                                             |                                                                                                                                        |                                                   |                                                            |
| <b>Phyllostomidae</b> |                                             |                                                                                                                                        |                                                   | <b>Broad-nosed<br/>bats</b>                                |
|                       |                                             |                                                                                                                                        | <i>Platyrrhinus<br/>lineatus</i> (III<br>Uruguay) | White-lined<br>bat                                         |
| <b>Pteropodidae</b>   |                                             |                                                                                                                                        |                                                   | <b>Fruit bats,<br/>flying foxes</b>                        |
|                       |                                             | <i>Acerodon</i><br>spp. (II)<br>(Except for<br>the species<br>included in<br>Annex A)                                                  |                                                   | Flying foxes                                               |
|                       | <i>Acerodon<br/>jubatus</i> (I)             |                                                                                                                                        |                                                   | Golden-<br>capped fruit<br>bat                             |
|                       |                                             | <i>Pteropus</i> spp.<br>(II) (Except<br>for the species<br>included in<br>Annex A<br>and except<br>for <i>Pteropus<br/>brunneus</i> .) |                                                   | Flying foxes                                               |

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|                    |                                   |                                                                                                                                       |                                        |                                |
|--------------------|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|--------------------------------|
|                    | <i>Pteropus insularis</i> (I)     |                                                                                                                                       |                                        | Ruck flying fox                |
|                    | <i>Pteropus livingstonii</i> (II) |                                                                                                                                       |                                        | Comoro flying fox              |
|                    | <i>Pteropus loochoensis</i> (I)   |                                                                                                                                       |                                        | Japanese flying fox            |
|                    | <i>Pteropus mariannus</i> (I)     |                                                                                                                                       |                                        | Marianas flying fox            |
|                    | <i>Pteropus molossinus</i> (I)    |                                                                                                                                       |                                        | Caroline flying fox            |
|                    | <i>Pteropus pelewensis</i> (I)    |                                                                                                                                       |                                        | Pelew flying fox               |
|                    | <i>Pteropus pilosus</i> (I)       |                                                                                                                                       |                                        | Large Pelew flying fox         |
|                    | <i>Pteropus rodricensis</i> (II)  |                                                                                                                                       |                                        | Rodrigues flying fox           |
|                    | <i>Pteropus samoensis</i> (I)     |                                                                                                                                       |                                        | Samoa flying fox               |
|                    | <i>Pteropus tonganus</i> (I)      |                                                                                                                                       |                                        | Pacific flying fox             |
|                    | <i>Pteropus ualanus</i> (I)       |                                                                                                                                       |                                        | Kosrae flying fox              |
|                    | <i>Pteropus voeltzkowi</i> (II)   |                                                                                                                                       |                                        | Pemba flying fox               |
|                    | <i>Pteropus yapensis</i> (I)      |                                                                                                                                       |                                        | Yap flying fox                 |
| CINGULATA          |                                   |                                                                                                                                       |                                        |                                |
| <b>Dasypodidae</b> |                                   |                                                                                                                                       |                                        | <b>Armadillos</b>              |
|                    |                                   |                                                                                                                                       | <i>Cabassous tatouay</i> (III Uruguay) | Greater naked-tailed armadillo |
|                    |                                   | <i>Chaetophractus nationi</i> (II)<br>(A zero annual export quota has been established. All specimens shall be deemed to be specimens |                                        | Andean hairy armadillo         |

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|                      |                                     |                                                                                      |  |                                             |
|----------------------|-------------------------------------|--------------------------------------------------------------------------------------|--|---------------------------------------------|
|                      |                                     | of species included in Annex A and the trade in them shall be regulated accordingly) |  |                                             |
|                      | <i>Priodontes maximus</i> (I)       |                                                                                      |  | Giant armadillo                             |
| DASYUROMORPHIA       |                                     |                                                                                      |  |                                             |
| <b>Dasyuridae</b>    |                                     |                                                                                      |  | <b>Dunnarts, marsupial mice, planigales</b> |
|                      | <i>Sminthopsis longicaudata</i> (I) |                                                                                      |  | Long-tailed dunnart                         |
|                      | <i>Sminthopsis psammophila</i> (I)  |                                                                                      |  | Sandhill dunnart                            |
| DIPROTODONTIA        |                                     |                                                                                      |  |                                             |
| <b>Macropodidae</b>  |                                     |                                                                                      |  | <b>Kangaroos, wallabies</b>                 |
|                      |                                     | <i>Dendrolagus inustus</i> (II)                                                      |  | Grizzled tree-kangaroo                      |
|                      |                                     | <i>Dendrolagus ursinus</i> (II)                                                      |  | Ursine tree-kangaroo                        |
|                      | <i>Lagorchestes hirsutus</i> (I)    |                                                                                      |  | Rufous hare-wallaby                         |
|                      | <i>Lagostrophus fasciatus</i> (I)   |                                                                                      |  | Banded hare-wallaby                         |
|                      | <i>Onychogalea fraenata</i> (I)     |                                                                                      |  | Bridled nail-tail wallaby                   |
| <b>Phalangeridae</b> |                                     |                                                                                      |  | <b>Cuscus</b>                               |
|                      |                                     | <i>Phalanger intercastellanus</i> (II)                                               |  | Eastern common cuscus                       |
|                      |                                     | <i>Phalanger mimicus</i> (II)                                                        |  | Southern common cuscus                      |
|                      |                                     | <i>Phalanger orientalis</i> (II)                                                     |  | Northern common cuscus                      |

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|                       |                                             |                                   |  |                                   |
|-----------------------|---------------------------------------------|-----------------------------------|--|-----------------------------------|
|                       |                                             | <i>Spilocuscus kraemeri</i> (II)  |  | Admiralty Island cuscus           |
|                       |                                             | <i>Spilocuscus maculatus</i> (II) |  | Common spotted cuscus             |
|                       |                                             | <i>Spilocuscus papuensis</i> (II) |  | Waigeou cuscus                    |
| <b>Potoroidae</b>     |                                             |                                   |  | <b>Rat-kangaroos</b>              |
|                       | <i>Bettongia</i> spp. (I)                   |                                   |  | Bettongs                          |
| <b>Vombatidae</b>     |                                             |                                   |  | <b>Wombats</b>                    |
|                       | <i>Lasiiorhinus krefftii</i> (I)            |                                   |  | Northern hairy-nosed wombat       |
| LAGOMORPHA            |                                             |                                   |  |                                   |
| <b>Leporidae</b>      |                                             |                                   |  | <b>Hares, rabbits</b>             |
|                       | <i>Caprolagus hispidus</i> (I)              |                                   |  | Hispid hare                       |
|                       | <i>Romerolagus diazi</i> (I)                |                                   |  | Volcano rabbit                    |
| MONOTREMATA           |                                             |                                   |  |                                   |
| <b>Tachyglossidae</b> |                                             |                                   |  | <b>Echidnas, spiny anteaters</b>  |
|                       |                                             | <i>Zaglossus</i> spp. (II)        |  | Long-beaked echidnas              |
| PERAMELEMORPHIA       |                                             |                                   |  |                                   |
| <b>Peramelidae</b>    |                                             |                                   |  |                                   |
|                       | <i>Perameles bougainville</i> (I)           |                                   |  | Western barred bandicoot          |
| <b>Thylacomyidae</b>  |                                             |                                   |  |                                   |
|                       | <i>Macrotis lagotis</i> (I)                 |                                   |  | Greater bilby                     |
| PERISSODACTYLA        |                                             |                                   |  |                                   |
| <b>Equidae</b>        |                                             |                                   |  | <b>Horses, wild asses, zebras</b> |
|                       | <i>Equus africanus</i> (I)<br>(Excludes the |                                   |  | African ass                       |

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|                       |                                                                                                                                                                                                                              |                                                                                            |  |                            |
|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|--|----------------------------|
|                       | domesticated form referenced as <i>Equus asinus</i> , which is not subject to this Regulation)                                                                                                                               |                                                                                            |  |                            |
|                       | <i>Equus grevyi</i> (I)                                                                                                                                                                                                      |                                                                                            |  | Grévy's zebra              |
|                       | <i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> [F <sup>201</sup> , <i>Equus hemionus luteus</i> ] and <i>Equus hemionus khur</i> are listed in Appendix I) |                                                                                            |  | Asiatic wild ass           |
|                       | <i>Equus kiang</i> (II)                                                                                                                                                                                                      |                                                                                            |  | Kiang                      |
|                       | <i>Equus przewalskii</i> (I)                                                                                                                                                                                                 |                                                                                            |  | Przewalski's horse         |
|                       |                                                                                                                                                                                                                              | <i>Equus zebra hartmannae</i> (II)                                                         |  | Hartmann's mountain zebra  |
|                       |                                                                                                                                                                                                                              | <i>Equus zebra zebra</i> (II)                                                              |  | Cape mountain zebra        |
| <b>Rhinocerotidae</b> |                                                                                                                                                                                                                              |                                                                                            |  | <b>Rhinoceroses</b>        |
|                       | Rhinocerotidae spp. (I) (Except for the subspecies included in Annex B)                                                                                                                                                      |                                                                                            |  | Rhinoceroses               |
|                       |                                                                                                                                                                                                                              | [F <sup>202</sup> <i>Ceratotherium simum simum</i> (II) (Only the populations of Eswatini, |  | Southern white rhinoceros] |

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legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|           |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |        |
|-----------|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--------|
|           |  | <p>Namibia and South Africa; all other populations are included in Annex A. For the populations of Eswatini and South Africa, for the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. For the population of Namibia, for the exclusive purpose of allowing international trade in live animals for in-situ conservation and only within the natural and historical range of <i>Ceratotherium simum</i> in Africa. All other specimens of species included in Annex A and the trade in them shall be regulated accordingly.</p> |  |        |
| Tapiridae |  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  | Tapirs |

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|                        |                                                                 |                                                                     |  |                           |
|------------------------|-----------------------------------------------------------------|---------------------------------------------------------------------|--|---------------------------|
|                        | Tapiridae spp. (I) (Except for the species included in Annex B) |                                                                     |  | Tapirs                    |
|                        |                                                                 | <i>Tapirus terrestris</i> (II)                                      |  | South American tapir      |
| PHOLIDOTA              |                                                                 |                                                                     |  |                           |
| <b>Manidae</b>         |                                                                 |                                                                     |  | <b>Pangolins</b>          |
|                        |                                                                 | <i>Manis</i> spp. (II) (Except for the species included in Annex A) |  | Pangolins                 |
|                        | <i>Manis crassicaudata</i> (I)                                  |                                                                     |  | Indian pangolin           |
|                        | <i>Manis culionensis</i> (I)                                    |                                                                     |  | Philippine pangolin       |
|                        | <i>Manis gigantea</i> (I)                                       |                                                                     |  | Giant pangolin            |
|                        | <i>Manis javanica</i> (I)                                       |                                                                     |  | Sunda pangolin            |
|                        | <i>Manis pentadactyla</i> (I)                                   |                                                                     |  | Chinese pangolin          |
|                        | <i>Manis temminckii</i> (I)                                     |                                                                     |  | Ground pangolin           |
|                        | <i>Manis tetradactyla</i> (I)                                   |                                                                     |  | Long-tailed pangolin      |
|                        | <i>Manis tricuspis</i> (I)                                      |                                                                     |  | Tree pangolin             |
| PILOSA                 |                                                                 |                                                                     |  |                           |
| <b>Bradypodidae</b>    |                                                                 |                                                                     |  | <b>Three-toed sloths</b>  |
|                        |                                                                 | <i>Bradypus pygmaeus</i> (II)                                       |  | Pygmy tree-toed sloth     |
|                        |                                                                 | <i>Bradypus variegatus</i> (II)                                     |  | Brown-throated sloth      |
| <b>Myrmecophagidae</b> |                                                                 |                                                                     |  | <b>American anteaters</b> |



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|                 |                                       |                                                                    |                                          |                                               |
|-----------------|---------------------------------------|--------------------------------------------------------------------|------------------------------------------|-----------------------------------------------|
|                 |                                       | <i>Myrmecophaga tridactyla</i> (II)                                |                                          | Giant anteater                                |
|                 |                                       |                                                                    | <i>Tamandua mexicana</i> (III Guatemala) | Northern tamandua                             |
| PRIMATES        |                                       |                                                                    |                                          | <b>Primates (apes and monkeys)</b>            |
|                 |                                       | PRIMATES spp. (II)<br>(Except for the species included in Annex A) |                                          | Primates                                      |
| <b>Atelidae</b> |                                       |                                                                    |                                          | <b>Howlers, spider monkeys</b>                |
|                 | F203<br>...                           |                                                                    |                                          | F203<br>...                                   |
|                 | <i>Alouatta palliata</i> (I)          |                                                                    |                                          | Mantled howler                                |
|                 | <i>Alouatta pigra</i> (I)             |                                                                    |                                          | Guatemalan black howler                       |
|                 | <i>Ateles geoffroyi frontatus</i> (I) |                                                                    |                                          | Black-browed spider monkey                    |
|                 | <i>Ateles geoffroyi ornatus</i> (I)   |                                                                    |                                          | Red spider monkey                             |
|                 | <i>Brachyteles arachnoides</i> (I)    |                                                                    |                                          | Southern muriqui                              |
|                 | <i>Brachyteles hypoxanthus</i> (I)    |                                                                    |                                          | Northern muriqui                              |
|                 | <i>Oreonax flavicauda</i> (I)         |                                                                    |                                          | Yellow-tailed woolly monkey                   |
| <b>Cebidae</b>  |                                       |                                                                    |                                          | <b>Marmosets, tamarins, New-world monkeys</b> |
|                 | <i>Callimico goeldii</i> (I)          |                                                                    |                                          | Goeldi's marmoset                             |

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|                        |                                   |  |  |                                  |
|------------------------|-----------------------------------|--|--|----------------------------------|
|                        | <i>Callithrix aurita</i> (I)      |  |  | Buffy-tufted marmoset            |
|                        | <i>Callithrix flaviceps</i> (I)   |  |  | Buffy-headed marmoset            |
|                        | <i>Leontopithecus</i> spp. (I)    |  |  | Lion tamarins                    |
|                        | <i>Saguinus bicolor</i> (I)       |  |  | Pied tamarin                     |
|                        | <i>Saguinus geoffroyi</i> (I)     |  |  | Geoffroy's tamarin               |
|                        | <i>Saguinus leucopus</i> (I)      |  |  | White-footed tamarin             |
|                        | <i>Saguinus martinsi</i> (I)      |  |  | Martins' bare-face tamarin       |
|                        | <i>Saguinus oedipus</i> (I)       |  |  | Cottontop tamarin                |
|                        | <i>Saimiri oerstedii</i> (I)      |  |  | Central American squirrel monkey |
| <b>Cercopithecidae</b> |                                   |  |  | <b>Old-world monkeys</b>         |
|                        | <i>Cercocebus galeritus</i> (I)   |  |  | Tana River mangabey              |
|                        | <i>Cercopithecus diana</i> (I)    |  |  | Diana monkey                     |
|                        | <i>Cercopithecus roloway</i> (I)  |  |  | Roloway monkey                   |
|                        | <i>Cercopithecus solatus</i> (II) |  |  | Sun-tailed monkey                |
|                        | <i>Colobus satanas</i> (II)       |  |  | Black colobus                    |
|                        | <i>Macaca silenus</i> (I)         |  |  | Lion-tailed macaque              |
|                        | <i>Macaca sylvanus</i> (I)        |  |  | Barbary macaque                  |
|                        | <i>Mandrillus leucophaeus</i> (I) |  |  | Drill                            |
|                        | <i>Mandrillus sphinx</i> (I)      |  |  | Mandrill                         |

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|  |                                       |  |  |                             |
|--|---------------------------------------|--|--|-----------------------------|
|  | <i>Nasalis larvatus</i> (I)           |  |  | Proboscis monkey            |
|  | <i>Piliocolobus foai</i> (II)         |  |  | Central African red colobus |
|  | <i>Piliocolobus gordonorum</i> (II)   |  |  | Uzungwa red colobus         |
|  | <i>Piliocolobus kirkii</i> (I)        |  |  | Zanzibar red colobus        |
|  | <i>Piliocolobus pennantii</i> (II)    |  |  | Pennant's red colobus       |
|  | <i>Piliocolobus preussi</i> (II)      |  |  | Preuss's red colobus        |
|  | <i>Piliocolobus rufomitratu</i> (I)   |  |  | Tana River red colobus      |
|  | <i>Piliocolobus tephrosceles</i> (II) |  |  | Ugandan red colobus         |
|  | <i>Piliocolobus tholloni</i> (II)     |  |  | Thollon's red colobus       |
|  | <i>Presbytis potenziani</i> (I)       |  |  | Mentawai langur             |
|  | <i>Pygathrix</i> spp. (I)             |  |  | Douc langurs                |
|  | <i>Rhinopithecus</i> spp. (I)         |  |  | Snub-nosed monkeys          |
|  | <i>Semnopithecus ajax</i> (I)         |  |  | Kashmir grey langur         |
|  | <i>Semnopithecus dussumieri</i> (I)   |  |  | Southern Plains grey langur |
|  | <i>Semnopithecus entellus</i> (I)     |  |  | Northern Plains grey langur |
|  | <i>Semnopithecus hector</i> (I)       |  |  | Tarai grey langur           |
|  | <i>Semnopithecus hypoleucos</i> (I)   |  |  | Black-footed grey langur    |
|  | <i>Semnopithecus priam</i> (I)        |  |  | Tufted grey langur          |

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|                       |                                          |  |  |                                          |
|-----------------------|------------------------------------------|--|--|------------------------------------------|
|                       | <i>Semnopithecus schistaceus</i> (I)     |  |  | Nepal grey langur                        |
|                       | <i>Simias concolor</i> (I)               |  |  | Simakobou                                |
|                       | <i>Trachypithecus delacouri</i> (II)     |  |  | Delacour's langur                        |
|                       | <i>Trachypithecus francoisi</i> (II)     |  |  | François's langur                        |
|                       | <i>Trachypithecus geei</i> (I)           |  |  | Gee's golden langur                      |
|                       | <i>Trachypithecus hatinhensis</i> (II)   |  |  | Hatinh langur                            |
|                       | <i>Trachypithecus johnii</i> (II)        |  |  | Nilgiri langur                           |
|                       | <i>Trachypithecus laotum</i> (II)        |  |  | Laotian langur                           |
|                       | <i>Trachypithecus pileatus</i> (I)       |  |  | Capped langur                            |
|                       | <i>Trachypithecus poliocephalus</i> (II) |  |  | White-headed langur                      |
|                       | <i>Trachypithecus shortridgei</i> (I)    |  |  | Shortridge's langur                      |
| <b>Cheirogaleidae</b> |                                          |  |  | <b>Dwarf lemurs and mouse-lemurs</b>     |
|                       | Cheirogaleidae spp. (I)                  |  |  | Dwarf lemurs and mouse lemurs            |
| <b>Daubentoniidae</b> |                                          |  |  | <b>Aye-aye</b>                           |
|                       | <i>Daubentonia madagascariensis</i> (I)  |  |  | Aye-aye                                  |
| <b>Hominidae</b>      |                                          |  |  | <b>Chimpanzees, gorillas, orang-utan</b> |
|                       | <i>Gorilla beringei</i> (I)              |  |  | Eastern gorilla                          |
|                       | <i>Gorilla gorilla</i> (I)               |  |  | Western gorilla                          |
|                       | <i>Pan</i> spp. (I)                      |  |  | Chimpanzee and bonobo                    |

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|                      |                                                      |  |  |                                         |
|----------------------|------------------------------------------------------|--|--|-----------------------------------------|
|                      | <i>Pongo abelii</i><br>(I)                           |  |  | Sumatran orangutan                      |
|                      | <i>Pongo pygmaeus</i> (I)                            |  |  | Bornean orangutan                       |
|                      | [ <sup>F204</sup> <i>Pongo tapanuliensis</i><br>(I)] |  |  | Tapanuli orangutan]                     |
| <b>Hylobatidae</b>   |                                                      |  |  | <b>Gibbons</b>                          |
|                      | Hylobatidae spp. (I)                                 |  |  | Gibbons                                 |
| <b>Indriidae</b>     |                                                      |  |  | <b>Indri, sifakas and woolly lemurs</b> |
|                      | Indriidae spp. (I)                                   |  |  | Indri, sifakas and woolly lemurs        |
| <b>Lemuridae</b>     |                                                      |  |  | <b>Large lemurs</b>                     |
|                      | Lemuridae spp. (I)                                   |  |  | Large lemurs                            |
| <b>Lepilemuridae</b> |                                                      |  |  | <b>Sportive lemurs</b>                  |
|                      | Lepilemuridae spp. (I)                               |  |  | Sportive lemurs                         |
| <b>Lorisidae</b>     |                                                      |  |  | <b>Lorises</b>                          |
|                      | <i>Nycticebus</i> spp. (I)                           |  |  | Slow lorises                            |
| <b>Pitheciidae</b>   |                                                      |  |  | <b>Uacaris, titis, sakis</b>            |
|                      | <i>Cacajao</i> spp. (I)                              |  |  | Uacaris                                 |
|                      | <i>Callicebus barbarabrownae</i><br>(II)             |  |  | Barbara Brown's Titi                    |
|                      | <i>Callicebus melanochir</i><br>(II)                 |  |  | Coastal Black-handed Titi               |
|                      | <i>Callicebus nigrifrons</i> (II)                    |  |  | Black-fronted Titi                      |
|                      | <i>Callicebus personatus</i><br>(II)                 |  |  | Atlantic titi                           |

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|                       |                                                                                                                                              |                                                                                                                                                                       |                                            |                               |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------|
|                       | <i>Chiropotes albinasus</i> (I)                                                                                                              |                                                                                                                                                                       |                                            | White-nosed saki              |
| <b>Tarsiidae</b>      |                                                                                                                                              |                                                                                                                                                                       |                                            | <b>Tarsiers</b>               |
|                       | <i>Tarsius</i> spp. (II)                                                                                                                     |                                                                                                                                                                       |                                            | Tarsiers                      |
| PROBOSCIDEA           |                                                                                                                                              |                                                                                                                                                                       |                                            |                               |
| <b>Elephantidae</b>   |                                                                                                                                              |                                                                                                                                                                       |                                            | <b>Elephants</b>              |
|                       | <i>Elephas maximus</i> (I)                                                                                                                   |                                                                                                                                                                       |                                            | Asian elephant                |
|                       | <i>Loxodonta africana</i> (I)<br>(Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B) | <i>Loxodonta africana</i> (II)<br>(Only the populations of Botswana, Namibia, South Africa and Zimbabwe <sup>a</sup> ; all other populations are included in Annex A) |                                            | African elephant              |
| RODENTIA              |                                                                                                                                              |                                                                                                                                                                       |                                            |                               |
| <b>Chinchillidae</b>  |                                                                                                                                              |                                                                                                                                                                       |                                            | <b>Chinchillas</b>            |
|                       | <i>Chinchilla</i> spp. (I)<br>(Specimens of the domesticated form are not subject to this Regulation)                                        |                                                                                                                                                                       |                                            | Chinchillas                   |
| <b>Cuniculidae</b>    |                                                                                                                                              |                                                                                                                                                                       |                                            | <b>Pacas</b>                  |
|                       |                                                                                                                                              |                                                                                                                                                                       | <i>Cuniculus paca</i> (III Honduras)       | Lowland paca                  |
| <b>Dasyproctidae</b>  |                                                                                                                                              |                                                                                                                                                                       |                                            | <b>Agoutis</b>                |
|                       |                                                                                                                                              |                                                                                                                                                                       | <i>Dasyprocta punctata</i> (III Honduras)  | Central American agouti       |
| <b>Erethizontidae</b> |                                                                                                                                              |                                                                                                                                                                       |                                            | <b>New-world porcupines</b>   |
|                       |                                                                                                                                              |                                                                                                                                                                       | <i>Sphiggurus mexicanus</i> (III Honduras) | Mexican hairy dwarf porcupine |

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|                     |                                |                                  |                                          |                                         |
|---------------------|--------------------------------|----------------------------------|------------------------------------------|-----------------------------------------|
|                     |                                |                                  | <i>Sphiggurus spinosus</i> (III Uruguay) | Paraguayan hairy dwarf porcupine        |
| <b>Hystriidae</b>   |                                |                                  |                                          | <b>Old-world porcupines</b>             |
|                     | <i>Hystrix cristata</i>        |                                  |                                          | Crested porcupine                       |
| <b>Muridae</b>      |                                |                                  |                                          | <b>Mice, rats</b>                       |
|                     |                                | <i>Leporillus conditor</i> (II)  |                                          | Greater stick-nest rat                  |
|                     |                                | <i>Pseudomys fieldi</i> (II)     |                                          | Shark Bay mouse                         |
|                     |                                | <i>Xeromys myoides</i> (II)      |                                          | False water rat                         |
|                     |                                | <i>Zyzomys pedunculatus</i> (II) |                                          | Central Australian rock rat             |
| <b>Sciuridae</b>    |                                |                                  |                                          | <b>Ground squirrels, tree squirrels</b> |
|                     |                                | <i>Cynomys mexicanus</i> (II)    |                                          | Mexican prairie dog                     |
|                     |                                |                                  | <i>Marmota caudata</i> (III India)       | Long-tailed marmot                      |
|                     |                                |                                  | <i>Marmota himalayana</i> (III India)    | Himalayan marmot                        |
|                     |                                | <i>Ratufa</i> spp. (II)          |                                          | Giant squirrels                         |
| <b>SCANDENTIA</b>   |                                |                                  |                                          |                                         |
|                     |                                | SCANDENTIA spp. (II)             |                                          | <b>Treeshrews</b>                       |
| <b>SIRENIA</b>      |                                |                                  |                                          |                                         |
| <b>Dugongidae</b>   |                                |                                  |                                          | <b>Dugong</b>                           |
|                     | <i>Dugong dugon</i> (I)        |                                  |                                          | Dugong                                  |
| <b>Trichechidae</b> |                                |                                  |                                          | <b>Manatees</b>                         |
|                     | <i>Trichechus inunguis</i> (I) |                                  |                                          |                                         |

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|                     |                                    |                                           |  |                                 |
|---------------------|------------------------------------|-------------------------------------------|--|---------------------------------|
|                     | <i>Trichechus manatus</i> (I)      |                                           |  |                                 |
|                     | <i>Trichechus senegalensis</i> (I) |                                           |  |                                 |
| <b>AVES</b>         |                                    |                                           |  | <b>Birds</b>                    |
| <b>ANSERIFORMES</b> |                                    |                                           |  |                                 |
| <b>Anatidae</b>     |                                    |                                           |  | <b>Ducks, geese, swans etc.</b> |
|                     | <i>Anas aucklandica</i> (I)        |                                           |  | Auckland Islands teal           |
|                     |                                    | <i>Anas bernieri</i> (II)                 |  | Madagascar teal                 |
|                     | <i>Anas chlorotis</i> (I)          |                                           |  | Brown teal                      |
|                     |                                    | <i>Anas formosa</i> (II)                  |  | Baikal teal                     |
|                     | <i>Anas laysanensis</i> (I)        |                                           |  | Laysan duck                     |
|                     | <i>Anas nesiotis</i> (I)           |                                           |  | Campbell Island teal            |
|                     | <i>Anas querquedula</i>            |                                           |  | Garganey                        |
|                     | <i>Asarcornis scutulata</i> (I)    |                                           |  | White-winged duck               |
|                     | <i>Aythya innotata</i>             |                                           |  | Madagascar pochard              |
|                     | <i>Aythya nyroca</i>               |                                           |  | Ferruginous duck                |
|                     |                                    | <i>Branta canadensis leucopareia</i> (II) |  | Aleutian cackling goose]        |
|                     | <i>Branta ruficollis</i> (II)      |                                           |  | Red-breasted goose              |
|                     | <i>Branta sandvicensis</i> (I)     |                                           |  | Nene                            |
|                     |                                    | <i>Coscoroba coscoroba</i> (II)           |  | Coscoroba swan                  |



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|                     |                                        |                                                                       |                                              |                              |
|---------------------|----------------------------------------|-----------------------------------------------------------------------|----------------------------------------------|------------------------------|
|                     |                                        | <i>Cygnus melancoryphus</i> (II)                                      |                                              | Black-necked swan            |
|                     |                                        | <i>Dendrocygna arborea</i> (II)                                       |                                              | West Indian whistling-duck   |
|                     |                                        |                                                                       | <i>Dendrocygna autumnalis</i> (III Honduras) | Black-bellied whistling-duck |
|                     |                                        |                                                                       | <i>Dendrocygna bicolor</i> (III Honduras)    | Fulvous whistling-duck       |
|                     | <i>Mergus octosetaceus</i>             |                                                                       |                                              | Brazilian merganser          |
|                     | <b><i>Oxyura leucocephala</i> (II)</b> |                                                                       |                                              | White-headed duck            |
|                     | <i>Rhodonessa caryophyllacea</i> (I)   |                                                                       |                                              | Pink-headed duck             |
|                     |                                        | <i>Sarkidiornis melanotos</i> (II)                                    |                                              | Comb duck                    |
|                     | <i>Tadorna cristata</i>                |                                                                       |                                              | Crested shelduck             |
| APODIFORMES         |                                        |                                                                       |                                              |                              |
| <b>Trochilidae</b>  |                                        |                                                                       |                                              | <b>Hummingbirds</b>          |
|                     |                                        | Trochilidae spp. (II)<br>(Except for the species included in Annex A) |                                              | Hummingbirds                 |
|                     | <i>Glaucis dohrnii</i> (I)             |                                                                       |                                              | Hook-billed hermit           |
| CHARADRIIFORMES     |                                        |                                                                       |                                              |                              |
| <b>Burhinidae</b>   |                                        |                                                                       |                                              | <b>Thick-knees</b>           |
|                     |                                        |                                                                       | <i>Burhinus bistriatus</i> (III Guatemala)   | Double-striped thick-knee    |
| <b>Laridae</b>      |                                        |                                                                       |                                              | <b>Gulls, terns</b>          |
|                     | <i>Larus relictus</i> (I)              |                                                                       |                                              | Relict gull                  |
| <b>Scolopacidae</b> |                                        |                                                                       |                                              | <b>Curlews, greenshanks</b>  |

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|                         |                                      |                                                                            |  |                                     |
|-------------------------|--------------------------------------|----------------------------------------------------------------------------|--|-------------------------------------|
|                         | <i>Numenius borealis</i> (I)         |                                                                            |  | Eskimo curlew                       |
|                         | <i>Numenius tenuirostris</i> (I)     |                                                                            |  | Slender-billed curlew               |
|                         | <i>Tringa guttifer</i> (I)           |                                                                            |  | Nordmann's greenshank               |
| <b>CICONIIFORMES</b>    |                                      |                                                                            |  |                                     |
| <b>Ardeidae</b>         |                                      |                                                                            |  | <b>Egrets, herons</b>               |
|                         | <i>Ardea alba</i>                    |                                                                            |  | Great egret                         |
|                         | <i>Bubulcus ibis</i>                 |                                                                            |  | Cattle egret                        |
|                         | <i>Egretta garzetta</i>              |                                                                            |  | Little egret                        |
| <b>Balaenicipitidae</b> |                                      |                                                                            |  | <b>Shoebill, whale-headed stork</b> |
|                         |                                      | <i>Balaeniceps rex</i> (II)                                                |  | Shoebill                            |
| <b>Ciconiidae</b>       |                                      |                                                                            |  | <b>Storks</b>                       |
|                         | <i>Ciconia boyciana</i> (I)          |                                                                            |  | Oriental stork                      |
|                         | <b><i>Ciconia nigra</i> (II)</b>     |                                                                            |  | Black stork                         |
|                         | <i>Ciconia stormi</i>                |                                                                            |  | Storm's stork                       |
|                         | <i>Jabiru mycteria</i> (I)           |                                                                            |  | Jabiru                              |
|                         | <i>Leptoptilos dubius</i>            |                                                                            |  | Greater adjutant stork              |
|                         | <i>Mycteria cinerea</i> (I)          |                                                                            |  | Milky stork                         |
| <b>Phoenicopteridae</b> |                                      |                                                                            |  | <b>Flamingos</b>                    |
|                         |                                      | Phoenicopteridae spp. (II)<br>(Except for the species included in Annex A) |  | Flamingos                           |
|                         | <b><i>Phoenicopus ruber</i> (II)</b> |                                                                            |  | Greater flamingo                    |

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|--------------------------|------------------------------------------------|-------------------------------------------------------|--------------------------------|
| <b>Threskiornithidae</b> |                                                |                                                       | <b>Ibises,<br/>spoonbills</b>  |
|                          |                                                | <i>Eudocimus<br/>ruber</i> (II)                       | Scarlet ibis                   |
|                          | <i>Geronticus<br/>calvus</i> (II)              |                                                       | Bald ibis                      |
|                          | <i>Geronticus<br/>eremita</i> (I)              |                                                       | Waldrapp                       |
|                          | <i>Nipponia<br/>nippon</i> (I)                 |                                                       | Crested ibis                   |
|                          | <b><i>Platalea<br/>leucorodia</i><br/>(II)</b> |                                                       | Eurasian<br>spoonbill          |
|                          | <i>Pseudibis<br/>gigantea</i>                  |                                                       | Giant ibis                     |
| COLUMBIFORMES            |                                                |                                                       |                                |
| <b>Columbidae</b>        |                                                |                                                       | <b>Doves,<br/>pigeons</b>      |
|                          | <i>Caloenas<br/>nicobarica</i> (I)             |                                                       | Nicobar<br>pigeon              |
|                          | <i>Claravis<br/>godefrida</i>                  |                                                       | Purple-winged<br>ground-dove   |
|                          | <b><i>Columba livia</i></b>                    |                                                       | Rock pigeon                    |
|                          | <i>Ducula<br/>mindorensis</i><br>(I)           |                                                       | Mindoro zone-<br>tailed pigeon |
|                          |                                                | <i>Gallicolumba<br/>luzonica</i> (II)                 | Luzon<br>bleeding-heart        |
|                          |                                                | <i>Goura</i> spp.<br>(II)                             | Crowned-<br>pigeons            |
|                          | <i>Leptotila<br/>wellsi</i>                    |                                                       | Grenada dove                   |
|                          |                                                | <i>Nesoenas<br/>mayeri</i> (III<br>Mauritius)         | Pink pigeon                    |
|                          | <b><i>Streptopelia<br/>turtur</i></b>          |                                                       | European<br>turtle-dove        |
| CORACIIFORMES            |                                                |                                                       |                                |
| <b>Bucerotidae</b>       |                                                |                                                       | <b>Hornbills</b>               |
|                          |                                                | <i>Aceros</i> spp.<br>(II) (Except<br>for the species | Hornbills                      |

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|                     |                                     |                                                                          |  |                                                |
|---------------------|-------------------------------------|--------------------------------------------------------------------------|--|------------------------------------------------|
|                     |                                     | included in Annex A)                                                     |  |                                                |
|                     | <i>Aceros nipalensis</i> (I)        |                                                                          |  | Rufous-necked hornbill                         |
|                     |                                     | <i>Anorrhinus</i> spp. (II)                                              |  | Hornbills                                      |
|                     |                                     | <i>Anthracos</i> spp. (II)                                               |  | Hornbills                                      |
|                     |                                     | <i>Berenicornis</i> spp. (II)                                            |  | Hornbills                                      |
|                     |                                     | <i>Buceros</i> spp. (II) (Except for the species included in Annex A)    |  | Hornbills                                      |
|                     | <i>Buceros bicornis</i> (I)         |                                                                          |  | Great hornbill                                 |
|                     |                                     | <i>Penelopides</i> spp. (II)                                             |  | Hornbills                                      |
|                     | <i>Rhinoplax vigil</i> (I)          |                                                                          |  | Helmeted hornbill                              |
|                     |                                     | <i>Rhyticeros</i> spp. (II) (Except for the species included in Annex A) |  | Hornbills                                      |
|                     | <i>Rhyticeros subruficollis</i> (I) |                                                                          |  | Plain-pouched hornbill                         |
| CUCULIFORMES        |                                     |                                                                          |  |                                                |
| <b>Musophagidae</b> |                                     |                                                                          |  | <b>Turacos</b>                                 |
|                     |                                     | <i>Tauraco</i> spp. (II) (Except for the species included in Annex A)    |  | Turacos                                        |
|                     | <i>Tauraco bannermani</i> (II)      |                                                                          |  | Bannerman's turaco                             |
| FALCONIFORMES       |                                     |                                                                          |  | <b>Diurnal birds of prey (eagles, falcons,</b> |

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|                     |                                |                                                                                                                                                                                                                                                                               |  |                         |
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|                     |                                |                                                                                                                                                                                                                                                                               |  | <b>hawks, vultures)</b> |
|                     |                                | FALCONIFORMES<br>spp. (II)<br>(Except for the species included in Annex A; except for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation; and except for <i>Caracara lutosa</i> ) |  | Diurnal birds of prey   |
| <b>Accipitridae</b> |                                |                                                                                                                                                                                                                                                                               |  | <b>Hawks, eagles</b>    |
|                     | <i>Accipiter brevipes</i> (II) |                                                                                                                                                                                                                                                                               |  | Levant sparrowhawk      |
|                     | <i>Accipiter gentilis</i> (II) |                                                                                                                                                                                                                                                                               |  | Northern goshawk        |
|                     | <i>Accipiter nisus</i> (II)    |                                                                                                                                                                                                                                                                               |  | Eurasian sparrowhawk    |
|                     | <i>Aegypius monachus</i> (II)  |                                                                                                                                                                                                                                                                               |  | Cinereous vulture       |
|                     | <i>Aquila adalberti</i> (I)    |                                                                                                                                                                                                                                                                               |  | Adalbert's eagle        |
|                     | <i>Aquila chrysaetos</i> (II)  |                                                                                                                                                                                                                                                                               |  | Golden eagle            |
|                     | <i>Aquila clanga</i> (II)      |                                                                                                                                                                                                                                                                               |  | Greater spotted eagle   |
|                     | <i>Aquila heliaca</i> (I)      |                                                                                                                                                                                                                                                                               |  | Imperial eagle          |
|                     | <i>Aquila pomarina</i> (II)    |                                                                                                                                                                                                                                                                               |  | Lesser spotted eagle    |

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|--|-----------------------------------------------------------------------------------------------------------------------------------|--|--|--------------------------|
|  | <b><i>Buteo buteo</i></b><br><b>(II)</b>                                                                                          |  |  | Common buzzard           |
|  | <b><i>Buteo lagopus</i></b><br><b>(II)</b>                                                                                        |  |  | Rough-legged buzzard     |
|  | <b><i>Buteo rufinus</i></b><br><b>(II)</b>                                                                                        |  |  | Long-legged buzzard      |
|  | [ <sup>F207</sup> <i>Chondrohierax wilsonii</i> (I)]                                                                              |  |  | Cuban hook-billed kite   |
|  | <b><i>Circus gallicus</i></b> (II)                                                                                                |  |  | Short-toed snake-eagle   |
|  | <b><i>Circus aeruginosus</i></b><br><b>(II)</b>                                                                                   |  |  | Western marsh-harrier    |
|  | <b><i>Circus cyaneus</i></b> (II)                                                                                                 |  |  | Northern harrier         |
|  | <b><i>Circus macrourus</i></b><br><b>(II)</b>                                                                                     |  |  | Pallid harrier           |
|  | <b><i>Circus pygargus</i></b> (II)                                                                                                |  |  | Montagu's harrier        |
|  | <b><i>Elanus caeruleus</i></b> (II)                                                                                               |  |  | Black-winged kite        |
|  | <i>Eutriorchis astur</i> (II)                                                                                                     |  |  | Madagascar serpent-eagle |
|  | <b><i>Gypaetus barbatus</i></b> (II)                                                                                              |  |  | Lammergeier              |
|  | <b><i>Gyps fulvus</i></b><br><b>(II)</b>                                                                                          |  |  | Eurasian griffon         |
|  | <i>Haliaeetus</i> spp. (I/II) ( <i>Haliaeetus albicilla</i> is listed in Appendix I; the other species are listed in Appendix II) |  |  | Sea-eagles               |
|  | <i>Harpia harpyja</i> (I)                                                                                                         |  |  | Harpy eagle              |
|  | <b><i>Hieraaetus fasciatus</i></b> (II)                                                                                           |  |  | Bonelli's eagle          |

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|                    |                                                                                                            |  |                                         |                           |
|--------------------|------------------------------------------------------------------------------------------------------------|--|-----------------------------------------|---------------------------|
|                    | <b><i>Hieraaetus pennatus</i> (II)</b>                                                                     |  |                                         | Booted eagle              |
|                    | <i>Leucopternis occidentalis</i> (II)                                                                      |  |                                         | Grey-backed hawk          |
|                    | <b><i>Milvus migrans</i> (II)</b> (Except for <i>Milvus migrans lineatus</i> which is included in Annex B) |  |                                         | Black kite                |
|                    | <b><i>Milvus milvus</i> (II)</b>                                                                           |  |                                         | Red kite                  |
|                    | <b><i>Neophron percnopterus</i> (II)</b>                                                                   |  |                                         | Egyptian vulture          |
|                    | <b><i>Pernis apivorus</i> (II)</b>                                                                         |  |                                         | European honey-buzzard    |
|                    | <i>Pithecophaga jefferyi</i> (I)                                                                           |  |                                         | Great Philippine eagle    |
| <b>Cathartidae</b> |                                                                                                            |  |                                         | <b>New world vultures</b> |
|                    | <i>Gymnogyps californianus</i> (I)                                                                         |  |                                         | California condor         |
|                    |                                                                                                            |  | <i>Sarcoramphus papa</i> (III Honduras) | King vulture              |
|                    | <i>Vultur gryphus</i> (I)                                                                                  |  |                                         | Andean condor             |
| <b>Falconidae</b>  |                                                                                                            |  |                                         | <b>Falcons</b>            |
|                    | <i>Falco araeus</i> (I)                                                                                    |  |                                         | Seychelles kestrel        |
|                    | <b><i>Falco biarmicus</i> (II)</b>                                                                         |  |                                         | Lanner falcon             |
|                    | <b><i>Falco cherrug</i> (II)</b>                                                                           |  |                                         | Saker falcon              |
|                    | <b><i>Falco columbarius</i> (II)</b>                                                                       |  |                                         | Merlin                    |

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|                    |                                                                  |                        |                                       |                         |
|--------------------|------------------------------------------------------------------|------------------------|---------------------------------------|-------------------------|
|                    | <b><i>Falco eleonorae</i> (II)</b>                               |                        |                                       | Eleonora's falcon       |
|                    | <i>Falco jugger</i> (I)                                          |                        |                                       | Laggar falcon           |
|                    | <b><i>Falco naumanni</i> (II)</b>                                |                        |                                       | Lesser kestrel          |
|                    | <i>Falco newtoni</i> (I) (Only the population of the Seychelles) |                        |                                       | Newton's kestrel        |
|                    | F208<br>...                                                      |                        |                                       | F208<br>...             |
|                    | <i>Falco peregrinus</i> (I)                                      |                        |                                       | Peregrine falcon        |
|                    | <i>Falco punctatus</i> (I)                                       |                        |                                       | Mauritius kestrel       |
|                    | <i>Falco rusticolus</i> (I)                                      |                        |                                       | Gyr falcon              |
|                    | <b><i>Falco subbuteo</i> (II)</b>                                |                        |                                       | Eurasian hobby          |
|                    | <b><i>Falco tinnunculus</i> (II)</b>                             |                        |                                       | Common kestrel          |
|                    | <b><i>Falco vespertinus</i> (II)</b>                             |                        |                                       | Red-footed falcon       |
| <b>Pandionidae</b> |                                                                  |                        |                                       | <b>Ospreys</b>          |
|                    | <b><i>Pandion haliaetus</i> (II)</b>                             |                        |                                       | Osprey                  |
| <b>GALLIFORMES</b> |                                                                  |                        |                                       |                         |
| <b>Cracidae</b>    |                                                                  |                        |                                       |                         |
|                    | <i>Crax alberti</i> (III Colombia)                               |                        |                                       | Blue-knobbed curassow   |
|                    | <i>Crax blumenbachii</i> (I)                                     |                        |                                       | Red-billed curassow     |
|                    |                                                                  |                        | <i>Crax daubentoni</i> (III Colombia) | Yellow-knobbed curassow |
|                    |                                                                  | <i>Crax fasciolata</i> |                                       | Bare-faced Curassow     |



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|                     |                                         |                              |                                                       |                                                             |
|---------------------|-----------------------------------------|------------------------------|-------------------------------------------------------|-------------------------------------------------------------|
|                     |                                         |                              | <i>Crax globulosa</i> (III Colombia)                  | Wattled curassow                                            |
|                     |                                         |                              | <i>Crax rubra</i> (III Colombia/ Guatemala/ Honduras) | Great curassow                                              |
|                     | <i>Mitu mitu</i> (I)                    |                              |                                                       | Alagoas curassow                                            |
|                     | <i>Oreophasis derbianus</i> (I)         |                              |                                                       | Horned guan                                                 |
|                     |                                         |                              | <i>Ortalis vetula</i> (III Guatemala/ Honduras)       | Plain chachalaca                                            |
|                     |                                         |                              | <i>Pauxi pauxi</i> (III Colombia)                     | Helmeted curassow                                           |
|                     | <i>Penelope albipennis</i> (I)          |                              |                                                       | White-winged guan                                           |
|                     |                                         |                              | <i>Penelope purpurascens</i> (III Honduras)           | Crested guan                                                |
|                     |                                         |                              | <i>Penelopina nigra</i> (III Guatemala)               | Highland guan                                               |
|                     | <i>Pipile jacutinga</i> (I)             |                              |                                                       | Black-fronted piping guan                                   |
|                     | <i>Pipile pipile</i> (I)                |                              |                                                       | Trinidad piping guan                                        |
| <b>Megapodiidae</b> |                                         |                              |                                                       | <b>Megapodes, scrubfowl</b>                                 |
|                     | <i>Macrocephalon maleo</i> (I)          |                              |                                                       | Maleo                                                       |
| <b>Phasianidae</b>  |                                         |                              |                                                       | <b>Grouse, guineafowl, partridges, pheasants, tragopans</b> |
|                     |                                         | <i>Argusianus argus</i> (II) |                                                       | Great argus                                                 |
|                     | <i>Catreus wallichii</i> (I)            |                              |                                                       | Cheer pheasant                                              |
|                     | <i>Colinus virginianus ridgwayi</i> (I) |                              |                                                       | Masked bobwhite                                             |

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|  |                                      |                                       |                                            |                            |
|--|--------------------------------------|---------------------------------------|--------------------------------------------|----------------------------|
|  | <i>Crossoptilon crossoptilon</i> (I) |                                       |                                            | White eared-pheasant       |
|  | <i>Crossoptilon mantchuricum</i> (I) |                                       |                                            | Brown eared-pheasant       |
|  |                                      | <i>Gallus sonneratii</i> (II)         |                                            | Grey junglefowl            |
|  |                                      | <i>Ithaginis cruentus</i> (II)        |                                            | Blood pheasant             |
|  | <i>Lophophorus impejanus</i> (I)     |                                       |                                            | Himalayan monal            |
|  | <i>Lophophorus lhuysii</i> (I)       |                                       |                                            | Chinese monal              |
|  | <i>Lophophorus sclateri</i> (I)      |                                       |                                            | Sclater's monal            |
|  | <i>Lophura edwardsi</i> (I)          |                                       |                                            | Edwards' pheasant          |
|  |                                      | <i>Lophura hatinhensis</i>            |                                            | Vietnamese fireback        |
|  |                                      |                                       | <i>Lophura leucomelanos</i> (III Pakistan) | Kalij pheasant             |
|  | <i>Lophura swinhoii</i> (I)          |                                       |                                            | Swinhoe's pheasant         |
|  |                                      |                                       | <i>Meleagris ocellata</i> (III Guatemala)  | Ocellated turkey           |
|  | <i>Odontophorus strophium</i>        |                                       |                                            | Gorgeted wood-quail        |
|  | <i>Ophrysia superciliosa</i>         |                                       |                                            | Himalayan quail            |
|  |                                      |                                       | <i>Pavo cristatus</i> (III Pakistan)       | Indian peafowl             |
|  |                                      | <i>Pavo muticus</i> (II)              |                                            | Green peafowl              |
|  |                                      | <i>Polyplectron bicalcaratum</i> (II) |                                            | Grey peacock-pheasant      |
|  |                                      | <i>Polyplectron germaini</i> (II)     |                                            | Germain's peacock-pheasant |

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|                |                                    |                                          |                                           |                            |
|----------------|------------------------------------|------------------------------------------|-------------------------------------------|----------------------------|
|                |                                    | <i>Polyplectron malacense</i> (II)       |                                           | Malayan peacock-pheasant   |
|                | <i>Polyplectron napoleonis</i> (I) |                                          |                                           | Palawan peacock-pheasant   |
|                |                                    | <i>Polyplectron schleiermacheri</i> (II) |                                           | Bornean peacock-pheasant   |
|                |                                    |                                          | <i>Pucrasia macrolopha</i> (III Pakistan) | Koklass pheasant           |
|                | <i>Rheinardia ocellata</i> (I)     |                                          |                                           | Crested argus              |
|                | <i>Syrmaticus ellioti</i> (I)      |                                          |                                           | Elliot's pheasant          |
|                | <i>Syrmaticus humiae</i> (I)       |                                          |                                           | Hume's pheasant            |
|                | <i>Syrmaticus mikado</i> (I)       |                                          |                                           | Mikado pheasant            |
|                |                                    | <i>Syrmaticus reevesii</i> (II)          |                                           | Reeves's pheasant          |
|                | <i>Tetraogallus caspius</i> (I)    |                                          |                                           | Caspian snowcock           |
|                | <i>Tetraogallus tibetanus</i> (I)  |                                          |                                           | Tibetan snowcock           |
|                | <i>Tragopan blythii</i> (I)        |                                          |                                           | Blyth's tragopan           |
|                | <i>Tragopan caboti</i> (I)         |                                          |                                           | Cabot's tragopan           |
|                | <i>Tragopan melanocephalus</i> (I) |                                          |                                           | Western tragopan           |
|                |                                    |                                          | <i>Tragopan satyra</i> (III Nepal)        | Satyr tragopan             |
|                |                                    | <i>Tympanuchus cupido attwateri</i> (II) |                                           | Attwater's prairie-chicken |
| GRUIFORMES     |                                    |                                          |                                           |                            |
| <b>Gruidae</b> |                                    |                                          |                                           | <b>Cranes</b>              |
|                |                                    | Gruidae spp. (II) (Except                |                                           | Cranes                     |

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|                 |                                                 | for the species<br>included in<br>Annex A)                      |  |                             |
|-----------------|-------------------------------------------------|-----------------------------------------------------------------|--|-----------------------------|
|                 | <i>[F209 Antigone canadensis nesiototes (I)</i> |                                                                 |  | Cuban sandhill crane]       |
|                 | <i>[F209 Antigone canadensis pulla (I)</i>      |                                                                 |  | Mississippi sandhill crane] |
|                 | <i>[F209 Antigone vipio (I)</i>                 |                                                                 |  | White-necked crane]         |
|                 | <i>Balearica pavonina (I)</i>                   |                                                                 |  | Black crowned crane         |
|                 | <i>Grus americana (I)</i>                       |                                                                 |  | Whooping crane              |
|                 | <b>F210</b><br>...                              |                                                                 |  | <b>F210</b><br>...          |
|                 | <b>Grus grus (II)</b>                           |                                                                 |  | Common crane                |
|                 | <i>Grus japonensis (I)</i>                      |                                                                 |  | Red-crowned crane           |
|                 | <b>F210</b><br>...                              |                                                                 |  | <b>F210</b><br>...          |
|                 | <i>Grus monacha (I)</i>                         |                                                                 |  | Hooded crane                |
|                 | <i>Grus nigricollis (I)</i>                     |                                                                 |  | Black-necked crane          |
|                 | <i>[F211 Leucogeranus leucogeranus (I)</i>      |                                                                 |  | Siberian crane]             |
|                 | <b>F210</b><br>...                              |                                                                 |  | <b>F210</b><br>...          |
| <b>Otididae</b> |                                                 |                                                                 |  | <b>Bustards</b>             |
|                 |                                                 | Otididae spp. (II) (Except for the species included in Annex A) |  | Bustards                    |
|                 | <i>Ardeotis nigriceps (I)</i>                   |                                                                 |  | Indian bustard              |
|                 | <i>Chlamydotis macqueenii (I)</i>               |                                                                 |  | Macqueen's bustard          |

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|                                    |                                                       |  |                                                |                            |
|------------------------------------|-------------------------------------------------------|--|------------------------------------------------|----------------------------|
|                                    | <i>Chlamydotis undulata</i> (I)                       |  |                                                | Houbara bustard            |
|                                    | <i>Houbaropsis bengalensis</i> (I)                    |  |                                                | Bengal florican            |
|                                    | <b><i>Otis tarda</i> (II)</b>                         |  |                                                | Great bustard              |
|                                    | <i>Sypheotides indicus</i> (II)                       |  |                                                | Lesser florican            |
|                                    | <b><i>Tetrax tetrax</i> (II)</b>                      |  |                                                | Little bustard             |
| <b>Rallidae</b>                    |                                                       |  |                                                | <b>Coots, rails</b>        |
|                                    | [ <sup>F212</sup> <i>Hypotaenidia sylvestris</i> (I)] |  |                                                | Lord Howe rail             |
| <b>Rhynochetidae</b>               |                                                       |  |                                                | <b>Kagu</b>                |
|                                    | <i>Rhynochetos jubatus</i> (I)                        |  |                                                | Kagu                       |
| PASSERIFORMES                      |                                                       |  |                                                |                            |
| [ <sup>F213</sup> <b>Alaudidae</b> |                                                       |  |                                                | Larks                      |
|                                    |                                                       |  | <i>Alauda arvensis</i> (III Ukraine)           | Eurasian lark              |
|                                    |                                                       |  | <i>Galerida cristata</i> (III Ukraine)         | Crested lark               |
|                                    |                                                       |  | <i>Lullula arborea</i> (III Ukraine)           | Wood lark                  |
|                                    |                                                       |  | <i>Melanocorypha calandra</i> (III Ukraine)    | Calandra lark]             |
| <b>Atrichornithidae</b>            |                                                       |  |                                                | <b>Scrub-birds</b>         |
|                                    | <i>Atrichornis clamosus</i> (I)                       |  |                                                | Noisy scrub-bird           |
| <b>Cotingidae</b>                  |                                                       |  |                                                | <b>Cotingas</b>            |
|                                    |                                                       |  | <i>Cephalopterus ornatus</i> (III Colombia)    | Amazonian umbrella bird    |
|                                    |                                                       |  | <i>Cephalopterus penduliger</i> (III Colombia) | Long-wattled umbrella bird |

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|                                       |                                   |                                    |                                                             |                               |
|---------------------------------------|-----------------------------------|------------------------------------|-------------------------------------------------------------|-------------------------------|
|                                       | <i>Cotinga maculata</i> (I)       |                                    |                                                             | Banded cotinga                |
|                                       |                                   | <i>Rupicola</i> spp. (II)          |                                                             | Cocks-of-the-rock             |
|                                       | <i>Xipholena atropurpurea</i> (I) |                                    |                                                             | White-winged cotinga          |
| <b>Emberizidae</b>                    |                                   |                                    |                                                             | <b>Cardinals, tanagers</b>    |
|                                       |                                   |                                    | [ <sup>F214</sup> <i>Emberiza citrinella</i> (III Ukraine)] | Yellowhammer                  |
|                                       |                                   |                                    | <i>Emberiza hortulana</i> (III Ukraine)                     | Ortolan Bunting]              |
|                                       |                                   | <i>Gubernatrix cristata</i> (II)   |                                                             | Yellow cardinal               |
|                                       |                                   |                                    | [ <sup>F215</sup> <i>Melopyrrha nigra</i> (III Cuba)]       | Cuban bullfinch ]             |
|                                       |                                   | <i>Paroaria capitata</i> (II)      |                                                             | Yellow-billed cardinal        |
|                                       |                                   | <i>Paroaria coronata</i> (II)      |                                                             | Red-crested cardinal          |
|                                       |                                   | <i>Tangara fastuosa</i> (II)       |                                                             | Seven-coloured tanager        |
|                                       |                                   |                                    | [ <sup>F216</sup> <i>Tiaris canorus</i> (III Cuba)]         | Cuban grassquit]              |
| <b>Estrildidae</b>                    |                                   |                                    |                                                             | <b>Mannikins, waxbills</b>    |
|                                       |                                   | <i>Amandava formosa</i> (II)       |                                                             | Green avadavat                |
|                                       |                                   | <i>Lonchura fuscata</i>            |                                                             | Timor sparrow                 |
|                                       |                                   | <i>Lonchura oryzivora</i> (II)     |                                                             | Java sparrow                  |
|                                       |                                   | <i>Poephila cincta cincta</i> (II) |                                                             | Southern black-throated finch |
| [ <sup>F217</sup> <b>Fringillidae</b> |                                   |                                    |                                                             | <b>Finches</b>                |

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|                     |                                      |                                             |                                            |                             |
|---------------------|--------------------------------------|---------------------------------------------|--------------------------------------------|-----------------------------|
|                     |                                      |                                             | <i>Carduelis cannabina</i> (III Ukraine)   | Common linnet               |
|                     |                                      |                                             | <i>Carduelis carduelis</i> (III Ukraine)   | European goldfinch          |
|                     | <i>Carduelis cucullata</i> (I)       |                                             |                                            | Red siskin                  |
|                     |                                      |                                             | <i>Carduelis flammea</i> (III Ukraine)     | Common redpoll              |
|                     |                                      |                                             | <i>Carduelis hornemanni</i> (III Ukraine)  | Arctic redpoll              |
|                     |                                      |                                             | <i>Carduelis spinus</i> (III Ukraine)      | Eurasian siskin             |
|                     |                                      | <i>Carduelis yarrellii</i> (II)             |                                            | Yellow-faced siskin         |
|                     |                                      |                                             | <i>Carpodacus erythrinus</i> (III Ukraine) | Common rosefinch            |
|                     |                                      |                                             | <i>Loxia curvirostra</i> (III Ukraine)     | Red crossbill               |
|                     |                                      |                                             | <i>Pyrrhula pyrrhula</i> (III Ukraine)     | Eurasian bullfinch          |
|                     |                                      |                                             | <i>Serinus serinus</i> (III Ukraine)       | European serin]             |
| <b>Hirundinidae</b> |                                      |                                             |                                            | <b>Martins</b>              |
|                     | <i>Pseudochelidon sirintarae</i> (I) |                                             |                                            | White-eyed river-martin     |
| <b>Icteridae</b>    |                                      |                                             |                                            | <b>New-world blackbirds</b> |
|                     | <i>Xanthopsar flavus</i> (I)         |                                             |                                            | Saffron-cowled blackbird    |
| <b>Meliphagidae</b> |                                      |                                             |                                            | <b>Honey-eaters</b>         |
|                     |                                      | <i>Lichenostomus melanops cassidix</i> (II) |                                            | Helmeted honeyeater         |

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|                                     |                                                 |                                                    |                                            |                                       |
|-------------------------------------|-------------------------------------------------|----------------------------------------------------|--------------------------------------------|---------------------------------------|
| <sup>F218</sup> <b>Muscicapidae</b> |                                                 |                                                    |                                            | Old-world flycatchers, babblers, etc. |
|                                     | <i>Acrocephalus rodericanus</i> (III Mauritius) |                                                    |                                            | Rodrigues brush-warbler               |
|                                     |                                                 | <sup>F219</sup> <i>Copysychus malabaricus</i> (II) |                                            | White-rumped shama]                   |
|                                     |                                                 | <i>Cyornis ruckii</i> (II)                         |                                            | Rueck's blue-flycatcher               |
|                                     |                                                 | <i>Dasyornis broadbenti litoralis</i> (II)         |                                            | Western rufous bristlebird            |
|                                     |                                                 | <i>Dasyornis longirostris</i> (II)                 |                                            | Western bristlebird                   |
|                                     |                                                 |                                                    | <i>Erithacus rubecula</i> (III Ukraine)    | European robin                        |
|                                     |                                                 |                                                    | <i>Ficedula parva</i> (III Ukraine)        | Red-breasted flycatcher               |
|                                     |                                                 | <i>Garrulax canorus</i> (II)                       |                                            | Chinese Hwamei                        |
|                                     |                                                 | <i>Garrulax taewanus</i> (II)                      |                                            | Taiwan Hwamei                         |
|                                     |                                                 |                                                    | <i>Hippolais icterina</i> (III Ukraine)    | Icterine warbler                      |
|                                     |                                                 | <i>Leiothrix argentauris</i> (II)                  |                                            | Silver-eared mesia                    |
|                                     |                                                 | <i>Leiothrix lutea</i> (II)                        |                                            | Red-billed leiothrix                  |
|                                     |                                                 | <i>Liocichla omeiensis</i> (II)                    |                                            | Omei Shan liocichla                   |
|                                     |                                                 |                                                    | <i>Luscinia luscinia</i> (III Ukraine)     | Thrush nightingale                    |
|                                     |                                                 |                                                    | <i>Luscinia megarhynchos</i> (III Ukraine) | Common nightingale                    |



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|                                |                                      |                           |                                                 |                               |
|--------------------------------|--------------------------------------|---------------------------|-------------------------------------------------|-------------------------------|
|                                |                                      |                           | <i>Luscinia svecica</i> (III Ukraine)           | Bluethroat                    |
|                                |                                      |                           | <i>Monticola saxatilis</i> (III Ukraine)        | Common rock thrush            |
|                                | <i>Picathartes gymnocephalus</i> (I) |                           |                                                 | White-necked rockfowl         |
|                                | <i>Picathartes oreas</i> (I)         |                           |                                                 | Grey-necked rockfowl          |
|                                |                                      |                           | <i>Sylvia atricapilla</i> (III Ukraine)         | Eurasian blackcap             |
|                                |                                      |                           | <i>Sylvia borin</i> (III Ukraine)               | Garden warbler                |
|                                |                                      |                           | <i>Sylvia curruca</i> (III Ukraine)             | Lesser whitethroat            |
|                                |                                      |                           | <i>Sylvia nisoria</i> (III Ukraine)             | Barred warbler                |
|                                |                                      |                           | <i>Terpsiphone bourbonensis</i> (III Mauritius) | Mascarene paradise-flycatcher |
|                                |                                      |                           | <i>Turdus merula</i> (III Ukraine)              | Eurasian blackbird            |
|                                |                                      |                           | <i>Turdus philomelos</i> (III Ukraine)          | Song thrush                   |
| <b>Oriolidae</b>               |                                      |                           |                                                 | Old world orioles             |
|                                |                                      |                           | <i>Oriolus oriolus</i> (III Ukraine)            | Eurasian golden oriole]       |
| <b>Paradisaeidae</b>           |                                      |                           |                                                 | <b>Birds of paradise</b>      |
|                                |                                      | Paradisaeidae spp. (II)   |                                                 | Birds of paradise             |
| <b>[<sup>F220</sup>Paridae</b> |                                      |                           |                                                 | Tits                          |
|                                |                                      |                           | <i>Parus ater</i> (III Ukraine)                 | Coal tit]                     |
| <b>Pittidae</b>                |                                      |                           |                                                 | <b>Pittas</b>                 |
|                                |                                      | <i>Pitta guajana</i> (II) |                                                 | Banded pitta                  |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|                                        |                                                                                                                          |                                                                                                              |                                              |                         |
|----------------------------------------|--------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------|-------------------------|
|                                        | <i>Pitta gurneyi</i> (I)                                                                                                 |                                                                                                              |                                              | Gurney's pitta          |
|                                        | <i>Pitta kochi</i> (I)                                                                                                   |                                                                                                              |                                              | Whiskered pitta         |
|                                        |                                                                                                                          | <i>Pitta nympha</i> (II)                                                                                     |                                              | Fairy pitta             |
| <b>Pycnonotidae</b>                    |                                                                                                                          |                                                                                                              |                                              | <b>Bulbuls</b>          |
|                                        | [ <sup>F221</sup> <i>Pycnonotus zeylanicus</i> (I)<br>(This inclusion has effect at the beginning of 25th November 2023) | <i>Pycnonotus zeylanicus</i> (II)<br>(This inclusion ceases to have effect at the end of 24th November 2023) |                                              | Straw-headed bulbul]    |
| <b>Sturnidae</b>                       |                                                                                                                          |                                                                                                              |                                              | <b>Mynas</b>            |
|                                        |                                                                                                                          | <i>Gracula religiosa</i> (II)                                                                                |                                              | Hill myna               |
|                                        | <i>Leucopsar rothschildi</i> (I)                                                                                         |                                                                                                              |                                              | Bali myna               |
| [ <sup>F222</sup> <b>Troglodytidae</b> |                                                                                                                          |                                                                                                              |                                              | Wrens                   |
|                                        |                                                                                                                          |                                                                                                              | <i>Troglodytes troglodytes</i> (III Ukraine) | Eurasian wren]          |
| <b>Zosteropidae</b>                    |                                                                                                                          |                                                                                                              |                                              | <b>White-eyes</b>       |
|                                        | <i>Zosterops albogularis</i> (I)                                                                                         |                                                                                                              |                                              | White-chested white-eye |
| <b>PELECANIFORMES</b>                  |                                                                                                                          |                                                                                                              |                                              |                         |
| <b>Fregatidae</b>                      |                                                                                                                          |                                                                                                              |                                              | <b>Frigatebirds</b>     |
|                                        | <i>Fregata andrewsi</i> (I)                                                                                              |                                                                                                              |                                              | Christmas frigatebird   |
| <b>Pelecanidae</b>                     |                                                                                                                          |                                                                                                              |                                              | <b>Pelicans</b>         |
|                                        | <i>Pelecanus crispus</i> (I)                                                                                             |                                                                                                              |                                              | Dalmatian pelican       |
| <b>Sulidae</b>                         |                                                                                                                          |                                                                                                              |                                              | <b>Boobies</b>          |
|                                        | <i>Papasula abbotti</i> (I)                                                                                              |                                                                                                              |                                              | Abbott's booby          |
| <b>PICIFORMES</b>                      |                                                                                                                          |                                                                                                              |                                              |                         |
| <b>Capitonidae</b>                     |                                                                                                                          |                                                                                                              |                                              | <b>Barbets</b>          |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|                      |                                         |                                                  |                                                 |                            |
|----------------------|-----------------------------------------|--------------------------------------------------|-------------------------------------------------|----------------------------|
|                      |                                         |                                                  | <i>Semnornis ramphastinus</i> (III Colombia)    | Toucan barbet              |
| <b>Picidae</b>       |                                         |                                                  |                                                 | <b>Woodpeckers</b>         |
|                      | <i>Dryocopus javensis richardsi</i> (I) |                                                  |                                                 | Tristram's woodpecker      |
| <b>Ramphastidae</b>  |                                         |                                                  |                                                 | <b>Toucans</b>             |
|                      |                                         |                                                  | <i>Bailloni bailloni</i> (III Argentina)        | Saffron toucanet           |
|                      |                                         | <i>Pteroglossus aracari</i> (II)                 |                                                 | Black-necked aracari       |
|                      |                                         |                                                  | <i>Pteroglossus castanotis</i> (III Argentina)  | Chestnut-eared aracari     |
|                      |                                         | <i>Pteroglossus viridis</i> (II)                 |                                                 | Green aracari              |
|                      |                                         |                                                  | <i>Ramphastos dicolorus</i> (III Argentina)     | Red-breasted toucan        |
|                      |                                         | <i>Ramphastos sulfuratus</i> (II)                |                                                 | Keel-billed toucan         |
|                      |                                         | <i>Ramphastos toco</i> (II)                      |                                                 | Toco toucan                |
|                      |                                         | <i>Ramphastos tucanus</i> (II)                   |                                                 | Red-billed toucan          |
|                      |                                         | <i>Ramphastos vitellinus</i> (II)                |                                                 | Channel-billed toucan      |
|                      |                                         |                                                  | <i>Selenidera maculirostris</i> (III Argentina) | Spot-billed toucanet       |
| PODICIPEDIFORMES     |                                         |                                                  |                                                 |                            |
| <b>Podicipedidae</b> |                                         |                                                  |                                                 | <b>Grebes</b>              |
|                      | <i>Podilymbus gigas</i> (I)             |                                                  |                                                 | Atitlan Grebe              |
| PROCELLARIIFORMES    |                                         |                                                  |                                                 |                            |
| <b>Diomedidae</b>    |                                         |                                                  |                                                 | <b>Albatrosses</b>         |
|                      |                                         | <i>[<sup>F223</sup>Phoebastria albatrus</i> (II) |                                                 | Short-tailed albatross]    |
| PSITTACIFORMES       |                                         |                                                  |                                                 |                            |
|                      |                                         |                                                  |                                                 | <b>Cockatoos, lorries,</b> |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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|                   |                                                                                                       |                                                                                                                                                                                                                                                                                                                                        |  | <b>macaws,<br/>parakeets,<br/>parrots etc.</b> |
|                   |                                                                                                       | PSITTACIFORMES<br>spp. (II)<br>(Except for<br>the species<br>included in<br>Annex A and<br>excluding<br><i>Agapornis<br/>roseicollis</i> ,<br><i>Melopsittacus<br/>undulatus</i> ,<br><i>Nymphicus<br/>hollandicus</i><br>and <i>Psittacula<br/>krameri</i> ,<br>which are not<br>included in<br>the Annexes<br>to this<br>Regulation) |  | <b>Parrots, etc.</b>                           |
| <b>Cacatuidae</b> |                                                                                                       |                                                                                                                                                                                                                                                                                                                                        |  | <b>Cockatoos</b>                               |
|                   | <i>Cacatua<br/>goffiniana</i> (I)                                                                     |                                                                                                                                                                                                                                                                                                                                        |  | Tanimbar<br>cockatoo                           |
|                   | <i>Cacatua<br/>haematuropygia</i><br>(I)                                                              |                                                                                                                                                                                                                                                                                                                                        |  | Philippine<br>cockatoo                         |
|                   | <i>Cacatua<br/>moluccensis</i><br>(I)                                                                 |                                                                                                                                                                                                                                                                                                                                        |  | Salmon-<br>crested<br>cockatoo                 |
|                   | <i>Cacatua<br/>sulphurea</i> (I)                                                                      |                                                                                                                                                                                                                                                                                                                                        |  | Yellow-<br>crested<br>cockatoo                 |
|                   | <i>Probosciger<br/>aterrimus</i> (I)                                                                  |                                                                                                                                                                                                                                                                                                                                        |  | Palm cockatoo                                  |
| <b>Loriidae</b>   |                                                                                                       |                                                                                                                                                                                                                                                                                                                                        |  | <b>Lories,<br/>lorikeets</b>                   |
|                   | <i>Eos histrio</i> (I)                                                                                |                                                                                                                                                                                                                                                                                                                                        |  | Red and blue<br>lory                           |
|                   | <i>Vini</i> spp. (I/<br>II) ( <i>Vini<br/>ultramarina</i><br>is listed in<br>Appendix<br>I, the other |                                                                                                                                                                                                                                                                                                                                        |  | Blue lorikeets                                 |

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|                    |                                    |  |  |                                            |
|--------------------|------------------------------------|--|--|--------------------------------------------|
|                    | species are listed in Appendix II) |  |  |                                            |
| <b>Psittacidae</b> |                                    |  |  | <b>Amazons, macaws, parakeets, parrots</b> |
|                    | <i>Amazona arausiaca</i> (I)       |  |  | Red-necked parrot                          |
|                    | <i>Amazona auropalliata</i> (I)    |  |  | Yellow-naped parrot                        |
|                    | <i>Amazona barbadensis</i> (I)     |  |  | Yellow-shouldered parrot                   |
|                    | <i>Amazona brasiliensis</i> (I)    |  |  | Red-tailed parrot                          |
|                    | <i>Amazona finschi</i> (I)         |  |  | Lilac-crowned parrot                       |
|                    | <i>Amazona guildingii</i> (I)      |  |  | St Vincent parrot                          |
|                    | <i>Amazona imperialis</i> (I)      |  |  | Imperial parrot                            |
|                    | <i>Amazona leucocephala</i> (I)    |  |  | Cuban parrot                               |
|                    | <i>Amazona oratrix</i> (I)         |  |  | Yellow-headed parrot                       |
|                    | <i>Amazona pretrei</i> (I)         |  |  | Red-spectacled parrot                      |
|                    | <i>Amazona rhodocorytha</i> (I)    |  |  | Red-browed parrot                          |
|                    | <i>Amazona tucumana</i> (I)        |  |  | Tucuman parrot                             |
|                    | <i>Amazona versicolor</i> (I)      |  |  | Saint Lucia parrot                         |
|                    | <i>Amazona vinacea</i> (I)         |  |  | Vinaceous parrot                           |
|                    | <i>Amazona viridigenalis</i> (I)   |  |  | Green-cheeked parrot                       |

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|  | <i>Amazona vittata</i> (I)                                   |  |  | Puerto Rican parrot                    |
|  | <i>Anodorhynchus</i> spp. (I)                                |  |  | Blue macaws                            |
|  | <i>Ara ambiguus</i> (I)                                      |  |  | Great green macaw                      |
|  | <i>Ara glaucogularis</i> (I)                                 |  |  | Blue-throated macaw                    |
|  | <i>Ara macao</i> (I)                                         |  |  | Scarlet macaw                          |
|  | <i>Ara militaris</i> (I)                                     |  |  | Military macaw                         |
|  | <i>Ara rubrogenys</i> (I)                                    |  |  | Red-fronted macaw                      |
|  | <i>Cyanopsitta spixii</i> (I)                                |  |  | Spix's macaw                           |
|  | <i>Cyanoramphus cookii</i> (I)                               |  |  | Norfolk Island parakeet                |
|  | <i>Cyanoramphus forbesi</i> (I)                              |  |  | Chatham Island yellow-fronted parakeet |
|  | <i>Cyanoramphus novaezelandiae</i> (I)                       |  |  | Red-fronted parakeet                   |
|  | <i>Cyanoramphus saisseti</i> (I)                             |  |  | Red-crowned parakeet                   |
|  | <i>Cyclopsitta diophthalma coxeni</i> (I)                    |  |  | Coxen's double-eyed fig parrot         |
|  | <i>Eunymphicus cornutus</i> (I)                              |  |  | Horned parakeet                        |
|  | <i>Guarouba guarouba</i> (I)                                 |  |  | Golden parakeet                        |
|  | <i>Neophema chrysogaster</i> (I)                             |  |  | Orange-bellied parrot                  |
|  | <i>Ognorhynchus icterotis</i> (I)                            |  |  | Yellow-eared parrot                    |
|  | <i><sup>1</sup><sup>F224</sup>Pezoporus flaviventris</i> (I) |  |  |                                        |

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|                |                                                                                                 |                                         |  |                          |
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|                | <i>Pezoporus occidentalis</i> (I)                                                               |                                         |  | Night parrot             |
|                | <i>Pezoporus wallicus</i> (I)                                                                   |                                         |  | Ground parrot            |
|                | <i>Pionopsitta pileata</i> (I)                                                                  |                                         |  | Pileated parrot          |
|                | <i>Primolius couloni</i> (I)                                                                    |                                         |  | Blue-headed macaw        |
|                | <i>Primolius maracana</i> (I)                                                                   |                                         |  | Blue-winged macaw        |
|                | [ <sup>F225</sup> <i>Psephotellus chrysoterygius</i> (I)]                                       |                                         |  | Golden-shouldered parrot |
|                | [ <sup>F226</sup> <i>Psephotellus dissimilis</i> (I)]                                           |                                         |  | Hooded parrot            |
|                | [ <sup>F227</sup> <i>Psephotellus pulcherrimus</i> (I)]                                         |                                         |  | Paradise parrot          |
|                | <i>Psittacula echo</i> (I)                                                                      |                                         |  | Mauritius parakeet       |
|                | <i>Psittacus erithacus</i> (I)                                                                  |                                         |  | African grey parrot      |
|                | <i>Pyrrhura cruentata</i> (I)                                                                   |                                         |  | Blue-throated parakeet   |
|                | <i>Rhynchopsitta</i> spp. (I)                                                                   |                                         |  | Thick-billed parrots     |
|                | <i>Strigops habroptilus</i> (I)                                                                 |                                         |  | Kakapo                   |
| RHEIFORMES     |                                                                                                 |                                         |  |                          |
| <b>Rheidae</b> |                                                                                                 |                                         |  | <b>Rheas</b>             |
|                | <i>Pterocnemia pennata</i> (I) (Except <i>Pterocnemia pennata</i> which is included in Annex B) |                                         |  | Lesser rhea              |
|                |                                                                                                 | <i>Pterocnemia pennata pennata</i> (II) |  | Lesser rhea              |

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|                     |                                                                                              | <i>Rhea americana</i> (II)                                                                                          |  | Greater rhea       |
| SPHENISCIFORMES     |                                                                                              |                                                                                                                     |  |                    |
| <b>Spheniscidae</b> |                                                                                              |                                                                                                                     |  | <b>Penguins</b>    |
|                     |                                                                                              | <i>Spheniscus demersus</i> (II)                                                                                     |  | Jackass penguin    |
|                     | <i>Spheniscus humboldti</i> (I)                                                              |                                                                                                                     |  | Humboldt penguin   |
| STRIGIFORMES        |                                                                                              |                                                                                                                     |  | <b>Owls</b>        |
|                     |                                                                                              | STRIGIFORMES spp. (II)<br>(Except for the species included in Annex A and except for <i>Sceloglaux albifacies</i> ) |  | Owls               |
| <b>Strigidae</b>    |                                                                                              |                                                                                                                     |  | <b>Owls</b>        |
|                     | <i>Aegolius funereus</i> (II)                                                                |                                                                                                                     |  | Boreal owl         |
|                     | <i>Asio flammeus</i> (II)                                                                    |                                                                                                                     |  | Short-eared owl    |
|                     | <i>Asio otus</i> (II)                                                                        |                                                                                                                     |  | Long-eared owl     |
|                     | <i>Athene noctua</i> (II)                                                                    |                                                                                                                     |  | Little owl         |
|                     | <i>Bubo bubo</i> (II) (Except for <i>Bubo bubo bengalensis</i> which is included in Annex B) |                                                                                                                     |  | Eurasian eagle-owl |
|                     | <i>Glaucidium passerinum</i> (II)                                                            |                                                                                                                     |  | Eurasian pygmy-owl |
|                     | <i>Heteroglaux blewitti</i> (I)                                                              |                                                                                                                     |  | Forest owlet       |
|                     | <i>Mimizuku gurneyi</i> (I)                                                                  |                                                                                                                     |  | Lesser eagle-owl   |
|                     | <i>Ninox natalis</i> (I)                                                                     |                                                                                                                     |  | Christmas hawk-owl |



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|                         | <b><i>Nyctea scandiaca</i> (II)</b>                                                                                                                                                                                                                       |  |  | Snowy owl          |
|                         | <i>Otus ireneae</i> (II)                                                                                                                                                                                                                                  |  |  | Sokoke scops-owl   |
|                         | <b><i>Otus scops</i> (II)</b>                                                                                                                                                                                                                             |  |  | Eurasian scops-owl |
|                         | <b><i>Strix aluco</i> (II)</b>                                                                                                                                                                                                                            |  |  | Tawny owl          |
|                         | <b><i>Strix nebulosa</i> (II)</b>                                                                                                                                                                                                                         |  |  | Great grey owl     |
|                         | <b><i>Strix uralensis</i> (II)</b><br>(Except for <i>Strix uralensis davidi</i> which is included in Annex B)                                                                                                                                             |  |  | Ural owl           |
|                         | <b><i>Surnia ulula</i> (II)</b>                                                                                                                                                                                                                           |  |  | Northern hawk owl  |
| <b>Tytonidae</b>        |                                                                                                                                                                                                                                                           |  |  | <b>Barn owls</b>   |
|                         | <b><i>Tyto alba</i> (II)</b>                                                                                                                                                                                                                              |  |  | Barn owl           |
|                         | <i>Tyto soumagnei</i> (I)                                                                                                                                                                                                                                 |  |  | Soumagne's owl     |
| <b>STRUTHIONIFORMES</b> |                                                                                                                                                                                                                                                           |  |  |                    |
| <b>Struthionidae</b>    |                                                                                                                                                                                                                                                           |  |  | <b>Ostrich</b>     |
|                         | <i>Struthio camelus</i> (I)<br>(Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes) |  |  | Ostrich            |

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|                      | to this Regulation)                                                                                                                                                                                                                                   |                                                                      |  |                                        |
| TINAMIFORMES         |                                                                                                                                                                                                                                                       |                                                                      |  |                                        |
| <b>Tinamidae</b>     |                                                                                                                                                                                                                                                       |                                                                      |  | <b>Tinamous</b>                        |
|                      | <i>Tinamus solitarius</i> (I)                                                                                                                                                                                                                         |                                                                      |  | Solitary tinamou                       |
| TROGONIFORMES        |                                                                                                                                                                                                                                                       |                                                                      |  |                                        |
| <b>Trogonidae</b>    |                                                                                                                                                                                                                                                       |                                                                      |  | <b>Quetzals</b>                        |
|                      | <i>Pharomachrus mocinno</i> (I)                                                                                                                                                                                                                       |                                                                      |  | Resplendent quetzal                    |
| <b>REPTILIA</b>      |                                                                                                                                                                                                                                                       |                                                                      |  | <b>Reptiles</b>                        |
| CROCODYLIA           |                                                                                                                                                                                                                                                       |                                                                      |  | <b>Alligators, caimans, crocodiles</b> |
|                      |                                                                                                                                                                                                                                                       | CROCODYLIA spp. (II)<br>(Except for the species included in Annex A) |  | Alligators, caimans, crocodiles        |
| <b>Alligatoridae</b> |                                                                                                                                                                                                                                                       |                                                                      |  | <b>Alligators, caimans</b>             |
|                      | <i>Alligator sinensis</i> (I)                                                                                                                                                                                                                         |                                                                      |  | Chinese alligator                      |
|                      | <i>Caiman crocodilus apaporiensis</i> (I)                                                                                                                                                                                                             |                                                                      |  | Rio Apaporis spectacled caiman         |
|                      | [ <sup>F228</sup> <i>Caiman latirostris</i> (I)<br>(Except for the populations of Argentina and Brazil, which are included in Annex B. The population of Brazil is subject to a zero export quota for wild specimens traded for commercial purposes)] |                                                                      |  | Broad-snouted caiman]                  |

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|                     | <i>Melanosuchus niger</i> (I)<br>(Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group) |  |  | Black caiman       |
| <b>Crocodylidae</b> |                                                                                                                                                                                                                                                                                                                                |  |  | <b>Crocodiles</b>  |
|                     | <i>Crocodylus acutus</i> (I)<br>(Except for the population of the Integrated Management District of Mangroves of the Bay of Cispata, Tinajones, La Balsa and Surrounding Areas, Department of Córdoba, Colombia, and the population of Cuba, which are included in Annex B, and the population of Mexico, which is included in |  |  | American crocodile |

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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|  | Annex B and is subject to a zero export quota for wild specimens for commercial purposes)                                                                                                                                              |  |  |                                   |
|  | <i>Crocodylus cataphractus</i> (I)                                                                                                                                                                                                     |  |  | African slender-snouted crocodile |
|  | <i>Crocodylus intermedius</i> (I)                                                                                                                                                                                                      |  |  | Orinoco crocodile                 |
|  | <i>Crocodylus mindorensis</i> (I)                                                                                                                                                                                                      |  |  | Philippine crocodile              |
|  | <i>Crocodylus moreletii</i> (I)<br>(Except for the population of Belize, which is included in Annex B with a zero quota for wild specimens traded for commercial purposes, and the population of Mexico, which is included in Annex B) |  |  | Morelet's crocodile               |
|  | <i>Crocodylus niloticus</i> (I)<br>(Except for the populations of Botswana, Egypt [subject to a zero quota for wild specimens traded for commercial purposes], Ethiopia, Kenya, Madagascar,                                            |  |  | Nile crocodile                    |

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|  | Malawi,<br>Mozambique,<br>Namibia,<br>South Africa,<br>Uganda,<br>the United<br>Republic<br>of Tanzania<br>[subject to an<br>annual export<br>quota of no<br>more than<br>1600 wild<br>specimens<br>including<br>hunting<br>trophies,<br>in addition<br>to ranched<br>specimens],<br>Zambia and<br>Zimbabwe;<br>these<br>populations<br>are included in<br>Annex B)                                     |  |  |                         |
|  | <i>Crocodylus<br/>palustris</i> (I)                                                                                                                                                                                                                                                                                                                                                                     |  |  | Mugger<br>crocodile     |
|  | [ <sup>F229</sup> <i>Crocodylus<br/>porosus</i> (I)<br>(Except for the<br>populations<br>of Australia,<br>Indonesia,<br>Malaysia,<br>Papua New<br>Guinea and the<br>Philippines,<br>which are<br>included in<br>Annex B. For<br>Malaysia,<br>wild harvest<br>restricted<br>to the State<br>of Sarawak<br>and a zero<br>quota for wild<br>specimens for<br>the other States<br>of Malaysia<br>(Sabah and |  |  | Estuarine<br>crocodile] |

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|                       |                                                                                                                                                                                                                                          |  |                                                            |                                                  |
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|                       | Peninsular Malaysia), with no change in the zero quota unless approved by the CITES Parties. For the Philippines, population of the Palawan Islands only and with a zero export quota for wild specimens traded for commercial purposes) |  |                                                            |                                                  |
|                       | <i>Crocodylus rhombifer</i> (I)                                                                                                                                                                                                          |  |                                                            | Cuban crocodile                                  |
|                       | <i>Crocodylus siamensis</i> (I)                                                                                                                                                                                                          |  |                                                            | Siamese crocodile                                |
|                       | <i>Osteolaemus tetraspis</i> (I)                                                                                                                                                                                                         |  |                                                            | West African dwarf crocodile                     |
|                       | <i>Tomistoma schlegelii</i> (I)                                                                                                                                                                                                          |  |                                                            | False gharial                                    |
| <b>Gavialidae</b>     |                                                                                                                                                                                                                                          |  |                                                            | <b>Gavial or gharial</b>                         |
|                       | <i>Gavialis gangeticus</i> (I)                                                                                                                                                                                                           |  |                                                            | Gharial                                          |
| RHYNCHOCEPHALIA       |                                                                                                                                                                                                                                          |  |                                                            |                                                  |
| <b>Sphenodontidae</b> |                                                                                                                                                                                                                                          |  |                                                            | <b>Tuataras</b>                                  |
|                       | <i>Sphenodon</i> spp. (I)                                                                                                                                                                                                                |  |                                                            | Tuataras                                         |
| SAURIA                |                                                                                                                                                                                                                                          |  |                                                            |                                                  |
| <b>Agamidae</b>       |                                                                                                                                                                                                                                          |  |                                                            | <b>Spiny-tailed lizards (Agamas, mastigures)</b> |
|                       |                                                                                                                                                                                                                                          |  | <sup>F230</sup> <i>Calotes ceylonensis</i> (III Sri Lanka) | Painted-lip lizard                               |

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|  |                                  |                                                                                               |                                                            |                                        |
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|  |                                  |                                                                                               | <i>Calotes desilvai</i> (III Sri Lanka)                    | Desilva's whistling lizard             |
|  |                                  |                                                                                               | <i>Calotes liocephalus</i> (III Sri Lanka)                 | Spineless forest lizard                |
|  |                                  |                                                                                               | <i>Calotes liolepis</i> (III Sri Lanka)                    | Whistling lizard                       |
|  |                                  |                                                                                               | <i>Calotes manamendrai</i> (III Sri Lanka)                 | Manamendra-Arachchi's whistling lizard |
|  |                                  |                                                                                               | <i>Calotes nigrilabris</i> (III Sri Lanka)                 | Black-lipped lizard                    |
|  |                                  |                                                                                               | <i>Calotes pethiyagodai</i> (III Sri Lanka)                | Pethiyagoda's crestless lizard]        |
|  |                                  | <i>Ceratophora aspera</i> (II)<br>(Zero quota for wild specimens for commercial purposes)     |                                                            | Rough-nosed horned lizard              |
|  | <i>Ceratophora erdeleni</i> (I)  |                                                                                               |                                                            | Erdelen's horned lizard                |
|  | <i>Ceratophora karu</i> (I)      |                                                                                               |                                                            | Karu's (horned) lizard                 |
|  |                                  | <i>Ceratophora stoddartii</i> (II)<br>(Zero quota for wild specimens for commercial purposes) |                                                            | Rhino — horned lizard                  |
|  | <i>Ceratophora tennentii</i> (I) |                                                                                               |                                                            | Tennent's leaf – nosed lizard          |
|  | <i>Cophotis ceylanica</i> (I)    |                                                                                               |                                                            | Pygmy lizards                          |
|  | <i>Cophotis dumbara</i> (I)      |                                                                                               |                                                            | Knuckles pygmy lizard                  |
|  |                                  |                                                                                               | [ <sup>F231</sup> <i>Ctenophorus</i> spp. (III Australia)] | Comb-bearing dragons]                  |

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|                 |                              |                                                                                                                                                                                                                                                                     |                                                               |                           |
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|                 |                              |                                                                                                                                                                                                                                                                     | [ <sup>F231</sup> Intellagama spp. (III Australia)]           | Australian water dragons] |
|                 |                              | <i>Lyriocephalus scutatus</i> (II)<br>(Zero quota for wild specimens for commercial purposes)                                                                                                                                                                       |                                                               | Hump-nosed lizard         |
|                 |                              | [ <sup>F232</sup> <i>Physignathus cocincinus</i> (II)                                                                                                                                                                                                               |                                                               | Chinese water dragon]     |
|                 |                              | <i>Saara</i> spp. (II)                                                                                                                                                                                                                                              |                                                               |                           |
|                 |                              |                                                                                                                                                                                                                                                                     | [ <sup>F233</sup> <i>Tympanocryptus</i> spp. (III Australia)] | Earless dragons]          |
|                 |                              | <i>Uromastix</i> spp. (II)                                                                                                                                                                                                                                          |                                                               | Spiny-tailed lizards      |
| <b>Anguidae</b> |                              |                                                                                                                                                                                                                                                                     |                                                               | <b>Alligator lizards</b>  |
|                 |                              | <i>Abronia</i> spp. (II) (except for the species included in Annex A. A zero export quota has been established for wild specimens for <i>Abronia aurita</i> , <i>A. gaiophasma</i> , <i>A. montecristoi</i> , <i>A. salvadorensis</i> and <i>A. vasconcelosii</i> ) |                                                               | Alligator lizards         |
|                 | <i>Abronia anzueto</i> (I)   |                                                                                                                                                                                                                                                                     |                                                               |                           |
|                 | <i>Abronia campbelli</i> (I) |                                                                                                                                                                                                                                                                     |                                                               |                           |
|                 | <i>Abronia fimbriata</i> (I) |                                                                                                                                                                                                                                                                     |                                                               |                           |



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|                       | <i>Abronia frosti</i><br>(I)                |                                                                                        |  |                          |
|                       | <i>Abronia meledona</i> (I)                 |                                                                                        |  |                          |
| <b>Chamaeleonidae</b> |                                             |                                                                                        |  | <b>Chameleons</b>        |
|                       |                                             | <i>Archaius</i> spp.<br>(II)                                                           |  |                          |
|                       |                                             | <i>Bradypodion</i><br>spp. (II)                                                        |  | Dwarf<br>chameleons      |
|                       |                                             | <i>Brookesia</i><br>spp. (II)<br>(Except for<br>the species<br>included in<br>Annex A) |  | Dwarf<br>chameleons      |
|                       | <i>Brookesia perarmata</i> (I)              |                                                                                        |  | Dwarf spiny<br>chameleon |
|                       |                                             | <i>Calumma</i><br>spp. (II)                                                            |  | Madagascar<br>chameleons |
|                       |                                             | <i>Chamaeleo</i><br>spp. (II)<br>(Except for<br>the species<br>included in<br>Annex A) |  | Chameleons               |
|                       | <b><i>Chamaeleo chamaeleon</i><br/>(II)</b> |                                                                                        |  | European<br>chameleon    |
|                       |                                             | <i>Furcifer</i> spp.<br>(II)                                                           |  | Madagascar<br>chameleons |
|                       |                                             | <i>Kinyongia</i><br>spp. (II)                                                          |  | Dwarf<br>chameleons      |
|                       |                                             | <i>Nadzikambia</i><br>spp. (II)                                                        |  | Dwarf<br>chameleons      |
|                       |                                             | <i>Palleon</i> spp.<br>(II)                                                            |  |                          |
|                       |                                             | <i>Rhampholeon</i><br>spp. (II)                                                        |  | Pygmy<br>chamaeleons     |
|                       |                                             | <i>Rieppeleon</i><br>spp. (II)                                                         |  | Pygmy<br>chamaeleons     |
|                       |                                             | <i>Trioceros</i> spp.<br>(II)                                                          |  |                          |

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| <b>Cordylidae</b>    |  |                                                                                                         |                                                 | <b>Spiny-tailed lizards</b> |
|----------------------|--|---------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------|
|                      |  | <i>Cordylus</i> spp. (II)                                                                               |                                                 | Girdled lizards             |
|                      |  | <i>Hemicordylus</i> spp. (II)                                                                           |                                                 |                             |
|                      |  | <i>Karusaurus</i> spp. (II)                                                                             |                                                 |                             |
|                      |  | <i>Namazonurus</i> spp. (II)                                                                            |                                                 |                             |
|                      |  | <i>Ninurta</i> spp. (II)                                                                                |                                                 |                             |
|                      |  | <i>Ouroborus</i> spp. (II)                                                                              |                                                 |                             |
|                      |  | <i>Pseudocordylus</i> spp. (II)                                                                         |                                                 |                             |
|                      |  | <i>Smaug</i> spp. (II)                                                                                  |                                                 |                             |
| <b>Eublepharidae</b> |  |                                                                                                         |                                                 | <b>Eyelid geckos</b>        |
|                      |  | <i>Goniurosaurus</i> spp. (II) (except the species native to Japan and the species included in Annex C) |                                                 | Tiger geckos                |
|                      |  |                                                                                                         | <i>Goniurosaurus kuroiwa</i> (III Japan) #18    | Kuroiwa's ground gecko      |
|                      |  |                                                                                                         | <i>Goniurosaurus orientalis</i> (III Japan) #18 | Japanese cave gecko         |
|                      |  |                                                                                                         | <i>Goniurosaurus sengokui</i> (III Japan) #18   | Sengoku's gecko             |
|                      |  |                                                                                                         | <i>Goniurosaurus splendens</i> (III Japan) #18  | Banded ground gecko         |
|                      |  |                                                                                                         | <i>Goniurosaurus toyamai</i> (III Japan) #18    | Toyama's ground gecko       |

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|                   |                                   |                                                         |                                                                |                                           |
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|                   |                                   |                                                         | <i>Goniurosaurus yamashinae</i> (III Japan) #18                | Yamashina's ground gecko]                 |
| <b>Gekkonidae</b> |                                   |                                                         |                                                                | <b>Geckos</b>                             |
|                   |                                   |                                                         |                                                                |                                           |
|                   |                                   |                                                         | [ <sup>F235</sup> <i>Ailuronyx</i> spp. (III Seychelles)       | Seychelles bronze geckoes]                |
|                   |                                   |                                                         | [ <sup>F236</sup> <i>Carphodactylus laevis</i> (III Australia) | Chameleon gecko]                          |
|                   | <i>Cnemaspis psychedelica</i> (I) |                                                         |                                                                | Psychedelic rock gecko                    |
|                   |                                   | [ <sup>F237</sup> <i>Cyrtodactylus jeyporensis</i> (II) |                                                                | Jeypore Indian gecko]                     |
|                   |                                   |                                                         | <i>Dactylocnemis</i> spp. (III New Zealand)                    |                                           |
|                   |                                   | <i>Gekko gekko</i> (II)                                 |                                                                | Tokay gecko                               |
|                   | <i>Gonatodes daudini</i> (I)      |                                                         |                                                                | Grenadines clawed gecko                   |
|                   |                                   |                                                         | <i>Hoplodactylus</i> spp. (III New Zealand)                    | Sticky-toed geckos                        |
|                   | <i>Lygodactylus williamsi</i> (I) |                                                         |                                                                | Turquoise dwarf gecko                     |
|                   |                                   |                                                         | <i>Mokopirirakau</i> spp. (III New Zealand)                    |                                           |
|                   |                                   | <i>Nactus serpensinsula</i> (II)                        |                                                                | Serpent Island gecko                      |
|                   |                                   | <i>Naultinus</i> spp. (II)                              |                                                                | New Zealand tree geckos                   |
|                   |                                   |                                                         | [ <sup>F238</sup> <i>Nephrurus</i> spp. (III Australia)        | Knob-tailed geckos                        |
|                   |                                   |                                                         | <i>Orraya occultus</i> (III Australia)                         | McIlwraith leaf-tailed gecko, long-necked |

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|  |                                |                                                                           |                                                          | northern leaf-tailed gecko]          |
|  |                                | <i>Paroedura androyensis</i> (II)                                         |                                                          | Grandidier's Madagascar ground gecko |
|  |                                | <i>Paroedura masobe</i> (II)                                              |                                                          | Masobe gecko                         |
|  |                                | <i>Phelsuma</i> spp. (II)<br>(Except for the species included in Annex A) |                                                          | Day geckos                           |
|  | <i>Phelsuma guentheri</i> (II) |                                                                           |                                                          | Round Island day gecko               |
|  |                                |                                                                           | [ <sup>F239</sup> <i>Phyllurus</i> spp. (III Australia)  | Australian leaf-tailed geckos]       |
|  |                                | <i>Rhoptropella</i> spp. (II)                                             |                                                          |                                      |
|  |                                |                                                                           | [ <sup>F240</sup> <i>Saltuarius</i> spp. (III Australia) | Leaf-tailed geckos]                  |
|  |                                |                                                                           | <i>Sphaerodactylus armasi</i> (III Cuba)                 |                                      |
|  |                                |                                                                           | <i>Sphaerodactylus celicara</i> (III Cuba)               |                                      |
|  |                                |                                                                           | <i>Sphaerodactylus dimorphicus</i> (III Cuba)            |                                      |
|  |                                |                                                                           | <i>Sphaerodactylus intermedius</i> (III Cuba)            |                                      |
|  |                                |                                                                           | <i>Sphaerodactylus nigropunctatus alayoi</i> (III Cuba)  |                                      |
|  |                                |                                                                           | <i>Sphaerodactylus nigropunctatus granti</i> (III Cuba)  |                                      |
|  |                                |                                                                           | <i>Sphaerodactylus nigropunctatus</i>                    |                                      |

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|  |  |                                           | <i>lissodesmus</i><br>(III Cuba)                                                  |                         |
|  |  |                                           | <i>Sphaerodactylus</i><br><i>nigropunctatus</i><br><i>ocujal</i> (III<br>Cuba)    |                         |
|  |  |                                           | <i>Sphaerodactylus</i><br><i>nigropunctatus</i><br><i>strategus</i> (III<br>Cuba) |                         |
|  |  |                                           | <i>Sphaerodactylus</i><br><i>notatus atactus</i><br>(III Cuba)                    |                         |
|  |  |                                           | <i>Sphaerodactylus</i><br><i>oliveri</i> (III<br>Cuba)                            |                         |
|  |  |                                           | <i>Sphaerodactylus</i><br><i>pimienta</i> (III<br>Cuba)                           |                         |
|  |  |                                           | <i>Sphaerodactylus</i><br><i>ruibali</i> (III<br>Cuba)                            |                         |
|  |  |                                           | <i>Sphaerodactylus</i><br><i>siboney</i> (III<br>Cuba)                            |                         |
|  |  |                                           | <i>Sphaerodactylus</i><br><i>torrei</i> (III<br>Cuba)                             |                         |
|  |  |                                           | <i>[<sup>F241</sup>Strophurus</i><br>spp. (III<br>Australia)                      | Phasmid<br>geckos       |
|  |  | <i>Tarentola</i><br><i>chazaliae</i> (II) |                                                                                   | Helmethead<br>gecko]    |
|  |  |                                           | <i>Toropuku</i><br>spp. (III New<br>Zealand)                                      |                         |
|  |  |                                           | <i>Tukutuku</i><br>spp. (III New<br>Zealand)                                      |                         |
|  |  |                                           | <i>[<sup>F242</sup>Underwood-</i><br><i>isaurus</i> spp.<br>(III Australia)       | Thick-tailed<br>geckos] |
|  |  | <i>Uroplatus</i><br>spp. (II)             |                                                                                   | Flat-tailed<br>geckos   |

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|                       |                                                         |                                                                                           | <i>[F243]Uvidicolus<br/>sphyrrurus</i> (III<br>Australia) | Border thick-<br>tailed geckos]               |
|                       |                                                         |                                                                                           | <i>Woodworthia</i><br>spp. (III New<br>Zealand)           |                                               |
| <b>Helodermatidae</b> |                                                         |                                                                                           |                                                           | <b>Gila monster<br/>and beaded<br/>lizard</b> |
|                       |                                                         | <i>Heloderma</i><br>spp. (II)<br>(Except for<br>the subspecies<br>included in<br>Annex A) |                                                           | Gila monster<br>and beaded<br>lizard          |
|                       | <i>Heloderma<br/>horridum<br/>charlesbogerti</i><br>(I) |                                                                                           |                                                           | Guatemalan<br>beaded lizard                   |
| <b>Iguanidae</b>      |                                                         |                                                                                           |                                                           | <b>Iguanas</b>                                |
|                       |                                                         | <i>Amblyrhynchus<br/>cristatus</i> (II)                                                   |                                                           | Galapagos<br>marine iguana                    |
|                       | <i>Brachylophus</i><br>spp. (I)                         |                                                                                           |                                                           | Fiji iguanas                                  |
|                       |                                                         | <i>Conolophus</i><br>spp. (II)                                                            |                                                           | Galapagos<br>land iguanas                     |
|                       |                                                         | <i>Ctenosaura</i><br>spp. (II)                                                            |                                                           | Spiny-tailed<br>iguanas                       |
|                       | <i>Cyclura</i> spp.<br>(I)                              |                                                                                           |                                                           | Ground<br>iguanas                             |
|                       |                                                         | <i>Iguana</i> spp.<br>(II)                                                                |                                                           | Iguanas                                       |
|                       |                                                         | F244<br>...                                                                               |                                                           | F244<br>...                                   |
|                       |                                                         | F244<br>...                                                                               |                                                           | F244<br>...                                   |
|                       |                                                         | F244<br>...                                                                               |                                                           | F244<br>...                                   |
|                       |                                                         | F244<br>...                                                                               |                                                           | F244<br>...                                   |
|                       | <i>Sauromalus<br/>varius</i> (I)                        |                                                                                           |                                                           | San Esteban<br>Island<br>chuckwalla           |

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| <b>Lacertidae</b>                        |                                  |                                                                                                            |                                         | <b>Lizards</b>                |
|                                          | <i>Gallotia simonyi</i> (I)      |                                                                                                            |                                         | Hierro giant lizard           |
|                                          | <i>Podarcis lilfordi</i> (II)    |                                                                                                            |                                         | Lilford's wall lizard         |
|                                          | <i>Podarcis pityusensis</i> (II) |                                                                                                            |                                         | Ibiza wall lizard             |
| <b>Lanthanotidae</b>                     |                                  |                                                                                                            |                                         | <b>Earless Monitor Lizard</b> |
|                                          |                                  | Lanthanotidae spp. (II) (A zero export quota has been established for wild specimens for commercial trade) |                                         |                               |
| [ <sup>F245</sup> <b>Phrynosomatidae</b> |                                  |                                                                                                            |                                         |                               |
|                                          |                                  | <i>Phrynosoma</i> spp. (II)                                                                                |                                         | Horned lizards]               |
| <b>Polychrotidae</b>                     |                                  |                                                                                                            |                                         | <b>Anoles</b>                 |
|                                          |                                  |                                                                                                            | <i>Anolis agueroi</i> (III Cuba)        |                               |
|                                          |                                  |                                                                                                            | <i>Anolis baracoae</i> (III Cuba)       |                               |
|                                          |                                  |                                                                                                            | <i>Anolis barbatus</i> (III Cuba)       |                               |
|                                          |                                  |                                                                                                            | <i>Anolis chamaeleonides</i> (III Cuba) |                               |
|                                          |                                  |                                                                                                            | <i>Anolis equestris</i> (III Cuba)      |                               |
|                                          |                                  |                                                                                                            | <i>Anolis guamuhaya</i> (III Cuba)      |                               |
|                                          |                                  |                                                                                                            | <i>Anolis luteogularis</i> (III Cuba)   |                               |

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|                  |                                 |                                     | <i>Anolis pigmaequestris</i><br>(III Cuba)             |                                     |
|                  |                                 |                                     | <i>Anolis porcus</i><br>(III Cuba)                     |                                     |
| <b>Scincidae</b> |                                 |                                     |                                                        | <b>Skinks</b>                       |
|                  |                                 | <i>Corucia zebrata</i> (II)         |                                                        | Prehensile-tailed skink             |
|                  |                                 |                                     | [ <sup>F246</sup> <i>Egernia</i> spp. (III Australia)] | Rock or spiny-tailed skinks         |
|                  | <i>Tiliqua adelaidensis</i> (I) |                                     |                                                        | Adelaide pygmy blue-tongue skink    |
|                  |                                 |                                     | <i>Tiliqua multifasciata</i><br>(III Australia)        | Centralian blue-tongued skink       |
|                  |                                 |                                     | <i>Tiliqua nigrolutea</i> (III Australia)              | Blotched blue-tongued skink         |
|                  |                                 |                                     | <i>Tiliqua occipitalis</i> (III Australia)             | Western blue-tongued skink          |
|                  |                                 |                                     | <i>Tiliqua rugosa</i><br>(III Australia)               | Shingleback lizard                  |
|                  |                                 |                                     | <i>Tiliqua scincoides intermedia</i> (III Australia)   | Northern blue-tongued skink         |
|                  |                                 |                                     | <i>Tiliqua scincoides scincoides</i> (III Australia)   | Eastern blue-tongued lizard]        |
| <b>Teiidae</b>   |                                 |                                     |                                                        | <b>Caiman lizards, tegu lizards</b> |
|                  |                                 | <i>Crocodylurus amazonicus</i> (II) |                                                        | Dragon lizard                       |
|                  |                                 | <i>Dracaena</i> spp. (II)           |                                                        | Caiman lizards                      |
|                  |                                 | <i>Salvator</i> spp. (II)           |                                                        |                                     |



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|                     |                                         |                                                                       |  |                                 |
|---------------------|-----------------------------------------|-----------------------------------------------------------------------|--|---------------------------------|
|                     |                                         | <i>Tupinambis</i> spp.(II)                                            |  | Tegus                           |
| <b>Varanidae</b>    |                                         |                                                                       |  | <b>Monitor lizards</b>          |
|                     |                                         | <i>Varanus</i> spp. (II) (Except for the species included in Annex A) |  | Monitor lizards                 |
|                     | <i>Varanus bengalensis</i> (I)          |                                                                       |  | Indian monitor                  |
|                     | <i>Varanus flavescens</i> (I)           |                                                                       |  | Yellow monitor                  |
|                     | <i>Varanus griseus</i> (I)              |                                                                       |  | Desert monitor                  |
|                     | <i>Varanus komodoensis</i> (I)          |                                                                       |  | Komodo dragon                   |
|                     | <i>Varanus nebulosus</i> (I)            |                                                                       |  | Clouded monitor                 |
|                     | <i>Varanus olivaceus</i> (II)           |                                                                       |  | Gray's monitor                  |
| <b>Xenosauridae</b> |                                         |                                                                       |  | <b>Chinese crocodile lizard</b> |
|                     | <i>Shinisaurus crocodilurus</i> (I)     |                                                                       |  | Chinese crocodile lizard        |
| <b>SERPENTES</b>    |                                         |                                                                       |  | <b>Snakes</b>                   |
| <b>Boidae</b>       |                                         |                                                                       |  | <b>Boas</b>                     |
|                     |                                         | Boidae spp. (II) (Except for the species included in Annex A)         |  | Boas                            |
|                     | <i>Acrantophis</i> spp. (I)             |                                                                       |  | Madagascar ground boas          |
|                     | <i>Boa constrictor occidentalis</i> (I) |                                                                       |  | Argentine boa constrictor       |

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|                    |                                      |                                                                       |                                        |                                                  |
|--------------------|--------------------------------------|-----------------------------------------------------------------------|----------------------------------------|--------------------------------------------------|
|                    |                                      | <i>Epicrates inornatus</i> (II)                                       |                                        | Puerto Rican boa]                                |
|                    | <i>Chilabothrus monensis</i> (I)]    |                                                                       |                                        | Virgin Island tree boa                           |
|                    | <i>Chilabothrus subflavus</i> (I)]   |                                                                       |                                        | Jamaican boa                                     |
|                    | <b><i>Eryx jaculus</i> (II)</b>      |                                                                       |                                        | Spotted sand boa                                 |
|                    | <i>Sanzinia madagascariensis</i> (I) |                                                                       |                                        | Madagascar tree boa                              |
| <b>Bolyeriidae</b> |                                      |                                                                       |                                        | <b>Round Island boas</b>                         |
|                    |                                      | Bolyeriidae spp. (II)<br>(Except for the species included in Annex A) |                                        | Round Island boas                                |
|                    | <i>Bolyeria multocarinata</i> (I)    |                                                                       |                                        | Round Island boa                                 |
|                    | <i>Casarea dussumieri</i> (I)        |                                                                       |                                        | Round Island keel-scaled boa                     |
| <b>Colubridae</b>  |                                      |                                                                       |                                        | <b>Typical snakes, water snakes, whip snakes</b> |
|                    |                                      |                                                                       | <i>Atretium schistosum</i> (III India) | Olive keel-back                                  |
|                    |                                      |                                                                       | <i>Cerberus rynchops</i> (III India)   | Dog-faced water snake                            |
|                    |                                      | <i>Clelia clelia</i> (II)                                             |                                        | Mussurana                                        |
|                    |                                      | <i>Cyclagras gigas</i> (II)                                           |                                        | False cobra                                      |
|                    |                                      | <i>Elachistodon westermanni</i> (II)                                  |                                        | Indian egg-eating snake                          |
|                    |                                      | <i>Ptyas mucosus</i> (II)                                             |                                        | Common rat snake                                 |

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|                 |  |                                       |                                                  |                                      |
|-----------------|--|---------------------------------------|--------------------------------------------------|--------------------------------------|
|                 |  |                                       | <i>Xenochrophis piscator</i> (III India)         | Checkered keel-back                  |
|                 |  |                                       | <i>Xenochrophis schnurrenbergeri</i> (III India) |                                      |
|                 |  |                                       | <i>Xenochrophis tytleri</i> (III India)          |                                      |
| <b>Elapidae</b> |  |                                       |                                                  | <b>Cobras, coral snakes</b>          |
|                 |  | <i>Hoplocephalus bungaroides</i> (II) |                                                  | Broad-headed snake                   |
|                 |  |                                       | <i>Micrurus diastema</i> (III Honduras)          | Atlantic coral snake                 |
|                 |  |                                       | <i>Micrurus nigrocinctus</i> (III Honduras)      | Central American coral snake         |
|                 |  |                                       | <i>Micrurus ruatanus</i> (III Honduras)          |                                      |
|                 |  | <i>Naja atra</i> (II)                 |                                                  | Chinese spitting cobra               |
|                 |  | <i>Naja kaouthia</i> (II)             |                                                  | Monocellate cobra                    |
|                 |  | <i>Naja mandalayensis</i> (II)        |                                                  | Burmese spitting cobra               |
|                 |  | <i>Naja naja</i> (II)                 |                                                  | Indian cobra                         |
|                 |  | <i>Naja oxiana</i> (II)               |                                                  | Central Asian cobra                  |
|                 |  | <i>Naja philippinensis</i> (II)       |                                                  | North Philippine spitting cobra      |
|                 |  | <i>Naja sagittifera</i> (II)          |                                                  | Andaman cobra                        |
|                 |  | <i>Naja samarensis</i> (II)           |                                                  | South-east Philippine spitting cobra |
|                 |  | <i>Naja siamensis</i> (II)            |                                                  | Indochinese spitting cobra           |

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|                       |                                              |                                                                                      |                                                           |                                 |
|-----------------------|----------------------------------------------|--------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------|
|                       |                                              | <i>Naja sputatrix</i> (II)                                                           |                                                           | South Indonesian spitting cobra |
|                       |                                              | <i>Naja sumatrana</i> (II)                                                           |                                                           | Golden spitting cobra           |
|                       |                                              | <i>Ophiophagus hannah</i> (II)                                                       |                                                           | King cobra                      |
| <b>Loxocemidae</b>    |                                              |                                                                                      |                                                           | <b>Mexican dwarf boa</b>        |
|                       |                                              | Loxocemidae spp. (II)                                                                |                                                           | Mexican dwarf boa               |
| <b>Pythonidae</b>     |                                              |                                                                                      |                                                           | <b>Pythons</b>                  |
|                       |                                              | Pythonidae spp. (II) (Except for the [ <sup>F250</sup> species] included in Annex A) |                                                           | Pythons                         |
|                       | [ <sup>F251</sup> <i>Python molorus</i> (I)] |                                                                                      |                                                           | Indian python                   |
| <b>Tropidophiidae</b> |                                              |                                                                                      |                                                           | <b>Wood boas</b>                |
|                       |                                              | Tropidophiidae spp. (II)                                                             |                                                           | Wood boas                       |
| <b>Viperidae</b>      |                                              |                                                                                      |                                                           | <b>Vipers</b>                   |
|                       |                                              | <i>Atheris desaixi</i> (II)                                                          |                                                           | Mt. Kenya bush viper            |
|                       |                                              | <i>Bitis worthingtoni</i> (II)                                                       |                                                           | Kenya horned viper              |
|                       |                                              |                                                                                      | <i>Crotalus durissus</i> (III Honduras)                   | Neotropical rattlesnake         |
|                       |                                              | <i>Crotalus durissus unicolor</i>                                                    |                                                           | Aruba rattlesnake               |
|                       |                                              |                                                                                      | [ <sup>F252</sup> <i>Daboia palaestinae</i> (III Israel)] | <i>Palestinian viper</i>        |
|                       |                                              |                                                                                      |                                                           |                                 |
|                       |                                              |                                                                                      | <i>Daboia russelii</i> (III India)                        | Russell's viper                 |

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|                         |                                                                                                                                                                                             | <i>Montivipera wagneri</i> (II)                                                                                     |  | Wagner's viper                          |
|                         |                                                                                                                                                                                             | <i>Protothrops mangshanensis</i> (II)                                                                               |  | Mangshan pit-viper]                     |
|                         |                                                                                                                                                                                             | <i>Pseudocerastes urarachnoides</i> (II)                                                                            |  | Spider-tailed horned viper              |
|                         |                                                                                                                                                                                             | F254<br>...                                                                                                         |  | F254<br>...                             |
|                         | <i>Vipera latifii</i>                                                                                                                                                                       |                                                                                                                     |  | Latifi's viper                          |
|                         | <i>Vipera ursinii</i> (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation) |                                                                                                                     |  | Orsini's viper                          |
|                         |                                                                                                                                                                                             | F254<br>...                                                                                                         |  | F254<br>...                             |
| TESTUDINES              |                                                                                                                                                                                             |                                                                                                                     |  |                                         |
| <b>Carettochelyidae</b> |                                                                                                                                                                                             |                                                                                                                     |  | <b>Pig-nosed turtles</b>                |
|                         |                                                                                                                                                                                             | <i>Carettochelys insculpta</i> (II)                                                                                 |  | Pig-nosed turtle                        |
| <b>Chelidae</b>         |                                                                                                                                                                                             |                                                                                                                     |  | <b>Austro-American sideneck turtles</b> |
|                         |                                                                                                                                                                                             | <i>Chelodina mccordi</i> (II) (A zero annual export quota has been established for specimens removed from the wild) |  | Roti snake-necked turtle                |

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|                       |                                 | <i>[<sup>F255</sup>Chelus fimbriata (II)</i>    |                                                       | Matamata turtle                        |
|                       |                                 | <i>Chelus orinocensis (II)</i>                  |                                                       | Orinoco matamata turtle]               |
|                       | <i>Pseudemydura umbrina (I)</i> |                                                 |                                                       | Western swamp turtle                   |
| <b>Cheloniidae</b>    |                                 |                                                 |                                                       | <b>Sea turtles</b>                     |
|                       | Cheloniidae spp. (I)            |                                                 |                                                       | Sea turtles                            |
| <b>Chelydridae</b>    |                                 |                                                 |                                                       | <b>Snapping turtles</b>                |
|                       |                                 | <i>[<sup>F256</sup>Chelydra serpentina (II)</i> | ...                                                   | Common snapping turtle                 |
|                       |                                 | <i>Machrochelys temminckii (II)</i>             | ...                                                   | Alligator snapping turtle ]            |
| <b>Dermatemydidae</b> |                                 |                                                 |                                                       | <b>Central American river turtle</b>   |
|                       |                                 | <i>Dermatemys mawii (II)</i>                    |                                                       | Central American river turtle          |
| <b>Dermochelyidae</b> |                                 |                                                 |                                                       | <b>Leatherback turtle</b>              |
|                       | <i>Dermochelys coriacea (I)</i> |                                                 |                                                       | Leatherback turtle                     |
| <b>Emydidae</b>       |                                 |                                                 |                                                       | <b>Box turtles, freshwater turtles</b> |
|                       |                                 | <i>Chrysemys picta (Only live specimens)</i>    |                                                       | Painted turtle                         |
|                       |                                 | <i>Clemmys guttata (II)</i>                     |                                                       | Spotted turtle                         |
|                       |                                 | <i>Emydoidea blandingii (II)</i>                |                                                       | Blanding's turtle                      |
|                       |                                 |                                                 | <i>[<sup>F257</sup>Emys orbicularis (III Ukraine)</i> | European Pond Turtle]                  |
|                       |                                 | <i>Glyptemys insculpta (II)</i>                 |                                                       | Wood turtle                            |

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|--------------------|-----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|-------------------------|
|                    | <i>Glyptemys muhlenbergii</i> (I) |                                                                                                                                                          |                                                      | Bog turtle              |
|                    |                                   |                                                                                                                                                          | <i>Graptemys</i> spp. (III United States of America) | Map turtles             |
|                    |                                   | <i>Gr<sup>F258</sup>aptemys barbouri</i> (II)                                                                                                            |                                                      | Barbours map turtle     |
|                    |                                   | <i>Graptemys ernsti</i> (II)                                                                                                                             |                                                      | Escambia map turtle     |
|                    |                                   | <i>Graptemys gibbonsi</i> (II)                                                                                                                           |                                                      | Pascagoula map turtle   |
|                    |                                   | <i>Graptemys pearlensis</i> (II)                                                                                                                         |                                                      | Pearl river map turtle  |
|                    |                                   | <i>Graptemys pulchra</i> (II)                                                                                                                            |                                                      | Alabama map turtle]     |
|                    |                                   | <i>Malaclemys terrapin</i> (II)                                                                                                                          |                                                      | Diamondback terrapin    |
|                    |                                   | <i>Terrapene</i> spp. (II) (Except for the species included in Annex A)                                                                                  |                                                      | Box turtles             |
|                    | <i>Terrapene coahuila</i> (I)     |                                                                                                                                                          |                                                      | Aquatic box turtle      |
| <b>Geoemydidae</b> |                                   |                                                                                                                                                          |                                                      |                         |
|                    | <i>Batagur affinis</i> (I)        |                                                                                                                                                          |                                                      | Southern river terrapin |
|                    | <i>Batagur baska</i> (I)          |                                                                                                                                                          |                                                      | Batagur                 |
|                    |                                   | <i>Batagur borneoensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes) |                                                      |                         |

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|--|-------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------|
|  |                                                 | <i>Batagur dhongoka</i> (II)                                                                                                                                                                                                                                                                                                                                                                       |  |                          |
|  | [ <sup>F259</sup> <i>Batagur kachuga</i> (I)]   | ...                                                                                                                                                                                                                                                                                                                                                                                                |  | Red-crowned roof turtle] |
|  |                                                 | <i>Batagur trivittata</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes)                                                                                                                                                                                                                                            |  |                          |
|  |                                                 | <i>Cuora</i> spp. (II) (Except the species included in Annex A, a zero annual export quota has been established for <i>Cuora aurocapitata</i> , <i>C. flavomarginata</i> , <sup>F260</sup> ... <i>C. mccordi</i> , <i>C. mouhotii</i> , <i>C. pani</i> , <i>C. trifasciata</i> , <i>C. yunnanensis</i> and <i>C. zhoui</i> for specimens removed from the wild and traded for commercial purposes) |  | Asian box turtles        |
|  | <i>Cuora bourreti</i> (I)                       |                                                                                                                                                                                                                                                                                                                                                                                                    |  | Bourret's box turtle     |
|  | [ <sup>F261</sup> <i>Cuora galbinfrons</i> (I)] |                                                                                                                                                                                                                                                                                                                                                                                                    |  | Indochinese box turtle]  |
|  | <i>Cuora picturata</i> (I)                      |                                                                                                                                                                                                                                                                                                                                                                                                    |  | Indochinese box turtle   |



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|--|-------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------------|
|  |                                           | <i>Cyclemys</i><br>spp. (II)                                                                                                                                                                     |  | Asian leaf<br>turtles                    |
|  | <i>Geoclemys</i><br><i>hamiltonii</i> (I) |                                                                                                                                                                                                  |  | Black pond<br>turtle                     |
|  |                                           | <i>Geoemyda</i><br><i>japonica</i> (II)                                                                                                                                                          |  | Ryukyu black-<br>breasted leaf<br>turtle |
|  |                                           | <i>Geoemyda</i><br><i>spengleri</i> (II)                                                                                                                                                         |  | Black-<br>breasted leaf<br>turtle        |
|  |                                           | <i>Hardella</i><br><i>thurjii</i> (II)                                                                                                                                                           |  | Crowned river<br>turtle                  |
|  |                                           | <i>Heosemys</i><br><i>annandalii</i><br>(II) (A zero<br>annual export<br>quota has been<br>established<br>for specimens<br>removed from<br>the wild and<br>traded for<br>commercial<br>purposes) |  | Yellow-<br>headed temple<br>turtle       |
|  |                                           | <i>Heosemys</i><br><i>depressa</i><br>(II) (A zero<br>annual export<br>quota has been<br>established<br>for specimens<br>removed from<br>the wild and<br>traded for<br>commercial<br>purposes)   |  | Arakan forest<br>turtle                  |
|  |                                           | <i>Heosemys</i><br><i>grandis</i> (II)                                                                                                                                                           |  | Giant Asian<br>turtle                    |
|  |                                           | <i>Heosemys</i><br><i>spinosa</i> (II)                                                                                                                                                           |  | Spiny turtle                             |
|  |                                           | <i>Leucocephalon</i><br><i>yuwonoii</i> (II)                                                                                                                                                     |  | Sulawesi<br>forest turtle                |
|  |                                           | <i>[<sup>F262</sup>Malayemys</i><br><i>khoratensis</i> (II)<br>I                                                                                                                                 |  |                                          |

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|--|-------------------------------------|------------------------------------|------------------------------------------|------------------------------|
|  |                                     | <i>Malayemys macrocephala</i> (II) |                                          | Snail-eating turtle          |
|  |                                     | <i>Malayemys subtrijuga</i> (II)   |                                          | Ricefield turtle             |
|  | <i>Mauremys annamensis</i> (I)      |                                    |                                          | Annam pond turtle            |
|  |                                     |                                    | <i>Mauremys iversoni</i> (III China)     | Fujian pond turtle           |
|  |                                     |                                    |                                          |                              |
|  |                                     | <i>Mauremys japonica</i> (II)      |                                          | Japanese pond turtle         |
|  |                                     |                                    | <i>Mauremys megalcephala</i> (III China) | Big-headed pond turtle       |
|  |                                     | <i>Mauremys mutica</i> (II)        |                                          | Yellow pond turtle           |
|  |                                     | <i>Mauremys nigricans</i> (II)     |                                          | Red-necked pond turtle       |
|  |                                     |                                    | <i>Mauremys pritchardi</i> (III China)   | Pritchard's pond turtle      |
|  |                                     |                                    | <i>Mauremys reevesii</i> (III China)     | Reeves's turtle              |
|  |                                     |                                    | <i>Mauremys sinensis</i> (III China)     | Chinese stripe-necked turtle |
|  | <i>Melanochelys tricarinata</i> (I) |                                    |                                          | Three-keeled land tortoise   |
|  |                                     | <i>Melanochelys trijuga</i> (II)   |                                          | Indian black turtle          |
|  | <i>Morenia ocellata</i> (I)         |                                    |                                          | Burmese swamp turtle         |
|  |                                     | <i>Morenia petersi</i> (II)        |                                          | Indian eyed turtle           |
|  |                                     | <i>Notochelys platynota</i> (II)   |                                          | Malayan flat-shelled turtle  |
|  |                                     |                                    | <i>Ocadia glyphistoma</i> (III China)    | Notch-mouthed                |

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|                   |                            |                                                                                                                                                         |                                          |                                      |
|-------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|--------------------------------------|
|                   |                            |                                                                                                                                                         |                                          | stripe-necked turtle                 |
|                   |                            |                                                                                                                                                         | <i>Ocadia philippeni</i> (III China)     | Philippen's stripe-necked turtle     |
|                   |                            | <i>Orlitia borneensis</i> (II) (A zero annual export quota has been established for specimens removed from the wild and traded for commercial purposes) |                                          | Malayan giant turtle                 |
|                   |                            | <i>Pangshura</i> spp. (II) (Except for the species included in Annex A)                                                                                 |                                          | Roofed turtles                       |
|                   | <i>Pangshura tecta</i> (I) |                                                                                                                                                         |                                          | Indian roofed turtle                 |
|                   |                            | [ <sup>F263</sup> <i>Rhinoclemmys</i> spp. (II)                                                                                                         |                                          | Neotropical wood turtles]            |
|                   |                            | <i>Sacalia bealei</i> (II)                                                                                                                              |                                          | Beal's eyed turtle                   |
|                   |                            |                                                                                                                                                         | <i>Sacalia pseudocellata</i> (III China) | Chinese false-eyed turtle            |
|                   |                            | <i>Sacalia quadriocellata</i> (II)                                                                                                                      |                                          | Four-eyed turtle                     |
|                   |                            | <i>Siebenrockiella crassicollis</i> (II)                                                                                                                |                                          | Black marsh turtle                   |
|                   |                            | <i>Siebenrockiella leytenensis</i> (II)                                                                                                                 |                                          | Philippine pond turtle               |
|                   |                            | <i>Vijayachelys silvatica</i> (II)                                                                                                                      |                                          | Cochin forest cane turtle            |
| [ <sup>F264</sup> | <b>Kinosternidae</b>       |                                                                                                                                                         |                                          | <b>American mud and musk turtles</b> |

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|                       |                              |                                                                                                            |  |                                       |
|-----------------------|------------------------------|------------------------------------------------------------------------------------------------------------|--|---------------------------------------|
|                       |                              | <i>Claudius angustatus</i> (II)                                                                            |  | Narrow-bridged musk turtle            |
|                       |                              | <i>Kinosternon</i> spp. (II)<br>(Except for the species included in Annex A)                               |  | Mud turtles                           |
|                       | <i>Kinosternon cora</i> (I)  |                                                                                                            |  | Cora mud turtle                       |
|                       | <i>Kinosternon vogti</i> (I) |                                                                                                            |  | Vallarta mud turtle                   |
|                       |                              | <i>Staurotypus salvinii</i> (II)                                                                           |  | Giant musk turtle                     |
|                       |                              | <i>Staurotypus triporcatus</i> (II)                                                                        |  | Mexican musk turtle                   |
|                       |                              | <i>Sternotherus</i> spp. (II)                                                                              |  | Musk turtles]                         |
| <b>Platysternidae</b> |                              |                                                                                                            |  | <b>Big-headed turtles</b>             |
|                       | Platysternidae spp. (I)      |                                                                                                            |  | Big-headed turtles                    |
| <b>Podocnemididae</b> |                              |                                                                                                            |  | <b>Afro-American sideneck turtles</b> |
|                       |                              | <i>Erymnochelys madagascariensis</i> (II)                                                                  |  | Madagascar sideneck turtle            |
|                       |                              | <i>Peltocephalus dumerilianus</i> (II)                                                                     |  | Big-headed sideneck turtle            |
|                       |                              | <i>Podocnemis</i> spp. (II)                                                                                |  | Sideneck turtles                      |
| <b>Testudinidae</b>   |                              |                                                                                                            |  | <b>Tortoises</b>                      |
|                       |                              | Testudinidae spp. (II)<br>(Except for the species included in Annex A; a zero annual export quota has been |  | Tortoises                             |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|  |                                              |                                                                                                                                                           |  |                                        |
|--|----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------------------------------------|
|  |                                              | established for<br><i>Centrochelys<br/>sulcata</i> for<br>specimens<br>removed from<br>the wild and<br>traded for<br>primarily<br>commercial<br>purposes) |  |                                        |
|  | <i>Astrochelys<br/>radiata</i> (I)           |                                                                                                                                                           |  | Radiated<br>tortoise                   |
|  | <i>Astrochelys<br/>yniphora</i> (I)          |                                                                                                                                                           |  | Angonoka                               |
|  | <i>Chelonoidis<br/>niger</i> (I)             |                                                                                                                                                           |  | Galapagos<br>giant tortoise            |
|  | <i>Geochelone<br/>elegans</i> (I)            |                                                                                                                                                           |  | Star tortoise                          |
|  | <i>Geochelone<br/>platynota</i> (I)          |                                                                                                                                                           |  | Burmese star<br>tortoise               |
|  | <i>Gopherus<br/>flavomarginatus</i><br>(I)   |                                                                                                                                                           |  | Bolson<br>tortoise                     |
|  | <i>Malacochersus<br/>tornieri</i> (I)        |                                                                                                                                                           |  | Pancake<br>tortoise                    |
|  | <i>Psammobates<br/>geometricus</i><br>(I)    |                                                                                                                                                           |  | Geometric<br>tortoise                  |
|  | <i>Pyxis<br/>arachnoides</i><br>(I)          |                                                                                                                                                           |  | Madagascar<br>spider tortoise          |
|  | <i>Pyxis<br/>planicauda</i> (I)              |                                                                                                                                                           |  | Madagascar<br>flat-shelled<br>tortoise |
|  | <b><i>Testudo<br/>graeca</i> (II)</b>        |                                                                                                                                                           |  | Spur-thighed<br>tortoise               |
|  | <b><i>Testudo<br/>hermanni</i> (II)</b>      |                                                                                                                                                           |  | Hermann's<br>tortoise                  |
|  | <i>Testudo<br/>kleinmanni</i> (I)            |                                                                                                                                                           |  | Egyptian<br>tortoise                   |
|  | <b><i>Testudo<br/>marginata</i><br/>(II)</b> |                                                                                                                                                           |  | Marginated<br>tortoise                 |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

| <b>Trionychidae</b> |                                   |                                                                      |     | <b>Softshell turtles, terrapins</b> |
|---------------------|-----------------------------------|----------------------------------------------------------------------|-----|-------------------------------------|
|                     |                                   | <i>Amyda cartilaginea</i> (II)                                       |     | Southeast Asian soft-shelled turtle |
|                     |                                   | <i>Apalone</i> spp. (II)                                             | ... | Softshell turtles]                  |
|                     | <i>Apalone spinifera atra</i> (I) |                                                                      |     | Cuatro Cienagas soft-shell turtle   |
|                     |                                   | <i>Chitra</i> spp. (II) (Except for the species included in Annex A) |     | Narrow-headed softshell turtles     |
|                     | <i>Chitra chitra</i> (I)          |                                                                      |     | Asian narrow-headed softshell       |
|                     | <i>Chitra vandijki</i> (I)        |                                                                      |     | Burmese narrow-headed softshell     |
|                     |                                   | <i>Cyclanorbis elegans</i> (II)                                      |     | Nubian flapshell turtle             |
|                     |                                   | <i>Cyclanorbis senegalensis</i> (II)                                 |     | Senegal flapshell turtle            |
|                     |                                   | <i>Cycloderma aubryi</i> (II)                                        |     | Aubry's flapshell turtle            |
|                     |                                   | <i>Cycloderma frenatum</i> (II)                                      |     | Zambezi flapshell turtle            |
|                     |                                   | <i>Dogania subplana</i> (II)                                         |     | Malayan soft-shelled turtle         |
|                     |                                   | <i>Lissemys ceylonensis</i> (II)                                     |     | Sri Lankan flapshell turtle         |
|                     |                                   | <i>Lissemys punctata</i> (II)                                        |     | Indo-Gangetic flapshell turtle      |
|                     |                                   | <i>Lissemys scutata</i> (II)                                         |     | Burmese flapshell turtle            |
|                     |                                   | <i>Nilssonina formosa</i> (II)                                       |     | Burmese peacock softshell           |

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|                     |                                              |                                    |  |                                |
|---------------------|----------------------------------------------|------------------------------------|--|--------------------------------|
|                     | <i>Nilssonia gangetica</i> (I)               |                                    |  | Indian soft-shell turtle       |
|                     | <i>Nilssonia hurum</i> (I)                   |                                    |  | Peacock soft-shell turtle      |
|                     | <i>[<sup>F266</sup>Nilssonia leithii</i> (I) | ...                                |  | Leith's softshell turtle I     |
|                     | <i>Nilssonia nigricans</i> (I)               |                                    |  | Black soft-shell turtle        |
|                     |                                              | <i>Palea steindachneri</i> (II)    |  | Wattle-necked softshell turtle |
|                     |                                              | <i>Pelochelys</i> spp. (II)        |  | Giant softshell turtles        |
|                     |                                              | <i>Pelodiscus axenaria</i> (II)    |  | Hunan softshell turtle         |
|                     |                                              | <i>Pelodiscus maackii</i> (II)     |  | Amur softshell turtle          |
|                     |                                              | <i>Pelodiscus parviformis</i> (II) |  | Chinese softshell turtle       |
|                     |                                              | <i>Rafetus euphraticus</i> (II)    |  | Euphrates softshell turtle     |
|                     |                                              | <i>Rafetus swinhoei</i> (II)       |  | Yangtze softshell turtle       |
|                     |                                              | <i>Trionyx triunguis</i> (II)      |  | Nile softshell turtle          |
| <b>AMPHIBIA</b>     |                                              |                                    |  | <b>Amphibians</b>              |
| ANURA               |                                              |                                    |  | Frogs and toads                |
| <b>Aromobatidae</b> |                                              |                                    |  | <b>Cryptic forest frogs</b>    |
|                     |                                              | <i>Allobates femoralis</i> (II)    |  | Brilliant-thighed poison frog  |
|                     |                                              | <i>Allobates hodli</i> (II)        |  |                                |
|                     |                                              | <i>Allobates myersi</i> (II)       |  | Myers' poison frog             |
|                     |                                              | <i>Allobates zaparo</i> (II)       |  | Sanguine poison frog           |

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|                                        |                                                    |                                    |                                           |                             |
|----------------------------------------|----------------------------------------------------|------------------------------------|-------------------------------------------|-----------------------------|
|                                        |                                                    | <i>Anomaloglossus rufulus</i> (II) |                                           | Chimanta poison frog        |
| <b>Bufonidae</b>                       |                                                    |                                    |                                           | <b>Toads</b>                |
|                                        | <i>Altiphrynoides</i> spp. (I)                     |                                    |                                           | Malcolm's Ethiopian toad    |
|                                        | F267<br>...                                        |                                    |                                           |                             |
|                                        | F267<br>...                                        |                                    |                                           | F267<br>...                 |
|                                        | <i>Atelopus zeteki</i> (I)                         |                                    |                                           | Golden frog                 |
|                                        | <i>Incilius periglenes</i> (I)                     |                                    |                                           | Golden toad                 |
|                                        | <i>Nectophrynoides</i> spp. (I)                    |                                    |                                           | African viviparous toads    |
|                                        | <i>Nimbaphrynoides</i> spp. (I)                    |                                    |                                           | Nimba toads                 |
|                                        | [ <sup>F268</sup> <i>Sclerophrys channingi</i> (I) |                                    |                                           |                             |
|                                        | <i>Sclerophrys superciliaris</i> (I)               |                                    |                                           | Cameroon toad]              |
| <b>Calyptocephalellidae</b>            |                                                    |                                    |                                           |                             |
|                                        |                                                    |                                    | <i>Calyptocephalella gayi</i> (III Chile) | Chilean helmeted water toad |
| [ <sup>F269</sup> <b>Centrolenidae</b> |                                                    |                                    |                                           | <b>Glass frogs</b>          |
|                                        |                                                    | <i>Centrolenidae</i> spp. (II)     |                                           | Glass frogs]                |
| <b>Conrauidae</b>                      |                                                    |                                    |                                           | <b>Frogs</b>                |
|                                        |                                                    | <i>Conraua goliath</i>             |                                           | Goliath frog                |
| <b>Dendrobatidae</b>                   |                                                    |                                    |                                           | <b>Poison frogs</b>         |
|                                        |                                                    | <i>Adelphobates</i> spp. (II)      |                                           |                             |
|                                        |                                                    | <i>Ameerega</i> spp. (II)          |                                           |                             |
|                                        |                                                    | <i>Andinobates</i> spp. (II)       |                                           |                             |



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|                       |  |                                                                                                                                                                    |  |                         |
|-----------------------|--|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-------------------------|
|                       |  | <i>Dendrobates</i><br>spp. (II)                                                                                                                                    |  | Poison-arrow<br>frogs   |
|                       |  | <i>Epipedobates</i><br>spp. (II)                                                                                                                                   |  | Poison-arrow<br>frogs   |
|                       |  | <i>Excidobates</i><br>spp. (II)                                                                                                                                    |  |                         |
|                       |  | <i>Hyloxalus</i><br><i>azureiventris</i><br>(II)                                                                                                                   |  | Sky-blue<br>poison frog |
|                       |  | <i>Minyobates</i><br>spp. (II)                                                                                                                                     |  | Demonic<br>poison frogs |
|                       |  | <i>Oophaga</i> spp.<br>(II)                                                                                                                                        |  |                         |
|                       |  | [ <sup>F270</sup> <i>Paruwrobates</i><br><i>andinus</i> (II)]                                                                                                      |  |                         |
|                       |  | <i>Paruwrobates</i><br><i>erythromos</i><br>(II)]                                                                                                                  |  |                         |
|                       |  | <i>Phyllobates</i><br>spp. (II)                                                                                                                                    |  | Poison-arrow<br>frogs   |
|                       |  | <i>Ranitomeya</i><br>spp. (II)                                                                                                                                     |  |                         |
| <b>Dicroglossidae</b> |  |                                                                                                                                                                    |  | <b>Frogs</b>            |
|                       |  | <i>Euphlyctis</i><br><i>hexadactylus</i><br>(II)                                                                                                                   |  | Six-fingered<br>frog    |
|                       |  | <i>Hoplobatrachus</i><br><i>tigerinus</i> (II)                                                                                                                     |  | Tiger frog              |
| <b>Hylidae</b>        |  |                                                                                                                                                                    |  | <b>Tree frogs</b>       |
|                       |  | [ <sup>F271</sup> <i>Agalychnis</i><br><i>annae</i> (II)]                                                                                                          |  | Blue-sided leaf<br>frog |
|                       |  | <i>Agalychnis</i><br><i>callidryas</i> (II)                                                                                                                        |  | Red-eyed tree<br>frog   |
|                       |  | <i>Agalychnis</i><br><i>lemur</i> (II)<br>(A zero annual<br>export quota<br>has been<br>established<br>for specimens<br>removed from<br>the wild and<br>traded for |  | Lemur tree<br>frog      |

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|                       |  |                                                                                                                |  |                                |
|-----------------------|--|----------------------------------------------------------------------------------------------------------------|--|--------------------------------|
|                       |  | commercial purposes)                                                                                           |  |                                |
|                       |  | <i>Agalychnis moreleti</i> (II)                                                                                |  | Morelet's tree frog            |
|                       |  | <i>Agalychnis saltator</i> (II)                                                                                |  | Parachuting red-eyed leaf frog |
|                       |  | <i>Agalychnis spurrelli</i> (II)                                                                               |  | Gliding tree frog              |
|                       |  | <i>Agalychnis terranova</i> (II)                                                                               |  | Colombian red-eyed tree frog]  |
| <b>Mantellidae</b>    |  |                                                                                                                |  | <b>Mantella frogs</b>          |
|                       |  | <i>Mantella</i> spp. (II)                                                                                      |  | Mantella frogs                 |
| <b>Microhylidae</b>   |  |                                                                                                                |  | <b>Tomato frogs</b>            |
|                       |  | <i>Dyscophus antongilii</i> (II)                                                                               |  | Tomato frog                    |
|                       |  | <i>Dyscophus guineti</i> (II)                                                                                  |  | False tomato frog              |
|                       |  | <i>Dyscophus insularis</i> (II)                                                                                |  | Antsouhy tomato frog           |
|                       |  | <i>Scaphiophryne boribory</i> (II)                                                                             |  | Green marbled burrowing frog   |
|                       |  | <i>Scaphiophryne gottlebei</i> (II)                                                                            |  | Red rain frog                  |
|                       |  | <i>Scaphiophryne marmorata</i> (II)                                                                            |  | Green marbled burrowing frog   |
|                       |  | <i>Scaphiophryne spinosa</i> (II)                                                                              |  | Green marbled burrowing frog   |
| <b>Myobatrachidae</b> |  |                                                                                                                |  | <b>Gastric brooding frogs</b>  |
|                       |  | <i>Rheobatrachus</i> spp. (II)<br>(Except for <i>Rheobatrachus silus</i> and <i>Rheobatrachus vitellinus</i> ) |  | Gastric brooding frog          |
| <b>Telmatobiidae</b>  |  |                                                                                                                |  | <b>Water frogs</b>             |

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|                         |                               |                                                                                                                                     |                                                                    |                              |
|-------------------------|-------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|------------------------------|
|                         | <i>Telmatobius culeus</i> (I) |                                                                                                                                     |                                                                    | Titicaca water frog          |
| CAUDATA                 |                               |                                                                                                                                     |                                                                    |                              |
| <b>Ambystomatidae</b>   |                               |                                                                                                                                     |                                                                    | <b>Axolotls</b>              |
|                         |                               | <i>Ambystoma dumerilii</i> (II)                                                                                                     |                                                                    | Lake Patzcuaro salamander    |
|                         |                               | <i>Ambystoma mexicanum</i> (II)                                                                                                     |                                                                    | Axolotl                      |
| <b>Cryptobranchidae</b> |                               |                                                                                                                                     |                                                                    | <b>Giant salamanders</b>     |
|                         | <i>Andrias</i> spp. (I)       |                                                                                                                                     |                                                                    | Giant salamanders            |
|                         |                               |                                                                                                                                     | <i>Cryptobranchus alleganiensis</i> (III United States of America) | Hellbender                   |
| <b>Hynobiidae</b>       |                               |                                                                                                                                     |                                                                    | <b>Asiatic salamanders</b>   |
|                         |                               |                                                                                                                                     | <i>Hynobius amjiensis</i> (III China)                              |                              |
| <b>Salamandridae</b>    |                               |                                                                                                                                     |                                                                    | <b>Salamanders and newts</b> |
|                         |                               |                                                                                                                                     | [ <sup>F272</sup> <i>Echinotriton andersoni</i> (III Japan) #18]   | Anderson's crocodile newt]   |
|                         |                               | <i>Echinotriton chinhaiensis</i> (II)                                                                                               |                                                                    | Chinhai spiny newt           |
|                         |                               | <i>Echinotriton maxiquadratus</i> (II)                                                                                              |                                                                    | Mountain spiny newt          |
|                         |                               | [ <sup>F273</sup> <i>Laotriton laoensis</i> (II) (A zero export quota has been established for wild specimens for commercial trade) |                                                                    | Lao warty newt]              |

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|                          |                              |                                                                                                                      |                                        |                          |
|--------------------------|------------------------------|----------------------------------------------------------------------------------------------------------------------|----------------------------------------|--------------------------|
|                          | <i>Neurergus kaiseri</i> (I) |                                                                                                                      |                                        | Kaiser's spotted newt    |
|                          |                              | <i>Paramesotriton</i> spp. (II)                                                                                      |                                        | Asian warty newts        |
|                          |                              |                                                                                                                      | <i>Salamandra algira</i> (III Algeria) |                          |
|                          |                              | <i>Tylototriton</i> spp. (II)                                                                                        |                                        | Crocodile newts          |
| <b>ELASMOBRANCHII</b>    |                              |                                                                                                                      |                                        | <b>Sharks and rays</b>   |
| <b>CARCHARHINIFORMES</b> |                              |                                                                                                                      |                                        |                          |
| <b>Carcharhinidae</b>    |                              |                                                                                                                      |                                        | <b>Requiem sharks</b>    |
|                          |                              | <i><sup>F274</sup>Carcharhinidae</i> spp. (II)<br>(This inclusion has effect at the beginning of 25th November 2023) |                                        | Requiem sharks           |
|                          |                              | <i>Carcharhinus falciformis</i> (II)<br>(This inclusion ceases to have effect at the end of 24th November 2023)      |                                        | Silky shark              |
|                          |                              | <i>Carcharhinus longimanus</i> (II)<br>(This inclusion ceases to have effect at the end of 24th November 2023)       |                                        | Oceanic whitetip shark]  |
| <b>Sphyrnidae</b>        |                              |                                                                                                                      |                                        | <b>Hammerhead sharks</b> |
|                          |                              | <i><sup>F275</sup>Sphyrnidae</i> spp. (II)                                                                           |                                        | Hammerhead sharks]       |
| <b>LAMNIFORMES</b>       |                              |                                                                                                                      |                                        |                          |

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|                         |  |                                                          |                                                              |                             |
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| <b>Alopiidae</b>        |  |                                                          |                                                              | <b>Thresher sharks</b>      |
|                         |  | <i>Alopias</i> spp. (II)                                 |                                                              | Thresher sharks             |
| <b>Cetorhinidae</b>     |  |                                                          |                                                              | <b>Basking sharks</b>       |
|                         |  | <i>Cetorhinus maximus</i> (II)                           |                                                              | Basking shark               |
| <b>Lamnidae</b>         |  |                                                          |                                                              | <b>Mackerel sharks</b>      |
|                         |  | <i>Carcharodon carcharias</i> (II)                       |                                                              | Great white shark           |
|                         |  | <i>Isurus oxyrinchus</i> (II)                            |                                                              | Shortfin mako               |
|                         |  | <i>Isurus paucus</i> (II)                                |                                                              | Longfin mako                |
|                         |  | <i>Lamna nasus</i> (II)                                  |                                                              | Porbeagle                   |
| MYLIOBATIFORMES         |  |                                                          |                                                              |                             |
| <b>Myliobatidae</b>     |  |                                                          |                                                              |                             |
|                         |  | F276<br>...                                              |                                                              | F276<br>...                 |
|                         |  | <i>Mobula</i> spp. (II)                                  |                                                              | Devil rays                  |
| <b>Potamotrygonidae</b> |  |                                                          |                                                              | <b>Freshwater stingrays</b> |
|                         |  |                                                          | <i>Paratrygon aiereba</i> (III Colombia)                     |                             |
|                         |  |                                                          | <i>Potamotrygon</i> spp. (III Brazil) (population of Brazil) |                             |
|                         |  | [ <sup>F277</sup> <i>Potamotrygon albimaculata</i> (II)] |                                                              |                             |
|                         |  |                                                          | <i>Potamotrygon constellata</i> (III Colombia)               |                             |

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|                       |                    |                                    |                                               |                                      |
|-----------------------|--------------------|------------------------------------|-----------------------------------------------|--------------------------------------|
|                       |                    | <i>Potamotrygon henlei</i> (II)    |                                               | Bigtooth river stingray              |
|                       |                    | <i>Potamotrygon jabuti</i> (II)    |                                               |                                      |
|                       |                    | <i>Potamotrygon leopoldi</i> (II)  |                                               | Xingu river ray]                     |
|                       |                    |                                    | <i>Potamotrygon magdalenae</i> (III Colombia) |                                      |
|                       |                    | <i>Potamotrygon marquesi</i> (II)] |                                               |                                      |
|                       |                    |                                    | <i>Potamotrygon motoro</i> (III Colombia)     |                                      |
|                       |                    |                                    | <i>Potamotrygon orbignyi</i> (III Colombia)   |                                      |
|                       |                    |                                    | <i>Potamotrygon schroederi</i> (III Colombia) |                                      |
|                       |                    |                                    | <i>Potamotrygon scobina</i> (III Colombia)    |                                      |
|                       |                    | <i>Potamotrygon signata</i> (II)   |                                               | Parnaíba river stingray              |
|                       |                    | <i>Potamotrygon wallacei</i> (II)  |                                               | Cururu stingray, porcupine stingray] |
|                       |                    |                                    | <i>Potamotrygon yepezi</i> (III Colombia)     |                                      |
| ORECTOLOBIFORMES      |                    |                                    |                                               |                                      |
| <b>Rhincodontidae</b> |                    |                                    |                                               | <b>Whale sharks</b>                  |
|                       |                    | <i>Rhincodon typus</i> (II)        |                                               | Whale shark                          |
| PRISTIFORMES          |                    |                                    |                                               |                                      |
| <b>Pristidae</b>      |                    |                                    |                                               | <b>Sawfishes</b>                     |
|                       | Pristidae spp. (I) |                                    |                                               | Sawfishes                            |
| RHINOPRISTIFORMES     |                    |                                    |                                               |                                      |

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|                                       |                                   |                                                                            |  |                               |
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| <b>Glaucostegidae</b>                 |                                   |                                                                            |  | Giant guitarfishes            |
|                                       |                                   | <i>Glaucostegus</i> spp. (II)                                              |  | Giant guitarfish              |
| <b>Rhinidae</b>                       |                                   |                                                                            |  | <b>Wedgefishes</b>            |
|                                       |                                   | Rhinidae spp. (II)                                                         |  | Wedgefishes                   |
| [ <sup>F281</sup> <b>Rhinobatidae</b> |                                   |                                                                            |  | <b>Guitarfishes</b>           |
|                                       |                                   | <i>Rhinobatidae</i> spp. (II)                                              |  | Guitarfishes]                 |
| <b>ACTINOPTERI</b>                    |                                   |                                                                            |  | <b>Fish</b>                   |
| <b>ACIPENSERIFORMES</b>               |                                   |                                                                            |  |                               |
|                                       |                                   | ACIPENSERIFORMES spp. (II)<br>(Except for the species included in Annex A) |  | Sturgeons and paddlefish      |
| <b>Acipenseridae</b>                  |                                   |                                                                            |  | <b>Sturgeons</b>              |
|                                       | <i>Acipenser brevirostrum</i> (I) |                                                                            |  | Shortnose sturgeon            |
|                                       | <i>Acipenser sturio</i> (I)       |                                                                            |  | Common sturgeon               |
| <b>ANGUILLIFORMES</b>                 |                                   |                                                                            |  |                               |
| <b>Anguillidae</b>                    |                                   |                                                                            |  | <b>Freshwater eels</b>        |
|                                       |                                   | <i>Anguilla anguilla</i> (II)                                              |  | European eel                  |
| <b>CYPRINIFORMES</b>                  |                                   |                                                                            |  |                               |
| <b>Catostomidae</b>                   |                                   |                                                                            |  | <b>Cui-ui</b>                 |
|                                       | <i>Chasmistes cujus</i> (I)       |                                                                            |  | Cui-ui                        |
| <b>Cyprinidae</b>                     |                                   |                                                                            |  | <b>Blind carps, plaeesok</b>  |
|                                       |                                   | <i>Caecobarbus geertsii</i> (II)                                           |  | African blind barb fish       |
|                                       | <i>Probarbus jullieni</i> (I)     |                                                                            |  | Ikan temoleh                  |
| <b>OSTEOGLOSSIFORMES</b>              |                                   |                                                                            |  | <b>Arapaimas, bonytongues</b> |

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|                        |                                 |                                                                                                                    |                                                              |                              |
|------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|------------------------------|
| <b>Arapaimidae</b>     |                                 |                                                                                                                    |                                                              |                              |
|                        |                                 | <i>Arapaima gigas</i> (II)                                                                                         |                                                              | Arapaima                     |
| <b>Osteoglossidae</b>  |                                 |                                                                                                                    |                                                              | <b>Bonytongues</b>           |
|                        | <i>Scleropages formosus</i> (I) |                                                                                                                    |                                                              | Asian arowana                |
|                        | <i>Scleropages inscriptus</i>   |                                                                                                                    |                                                              |                              |
| <b>PERCIFORMES</b>     |                                 |                                                                                                                    |                                                              |                              |
| <b>Labridae</b>        |                                 |                                                                                                                    |                                                              | <b>Wrasses</b>               |
|                        |                                 | <i>Cheilinus undulatus</i> (II)                                                                                    |                                                              | Humphead wrasse              |
| <b>Pomacanthidae</b>   |                                 |                                                                                                                    |                                                              |                              |
|                        |                                 | <i>Holacanthus clarionensis</i> (II)                                                                               |                                                              | Clarion angelfish            |
|                        |                                 |                                                                                                                    | [ <sup>F282</sup> <i>Holacanthus limbaughi</i> (III France)] | Clipperton angelfish]        |
| <b>Sciaenidae</b>      |                                 |                                                                                                                    |                                                              | <b>Totoabas</b>              |
|                        | <i>Totoaba macdonaldi</i> (I)   |                                                                                                                    |                                                              | Totoaba                      |
| <b>SILURIFORMES</b>    |                                 |                                                                                                                    |                                                              |                              |
| <b>Pangasiidae</b>     |                                 |                                                                                                                    |                                                              | <b>Pangasid catfish</b>      |
|                        | <i>Pangasianodon gigas</i> (I)  |                                                                                                                    |                                                              | Giant catfish                |
| <b>Loricariidae</b>    |                                 |                                                                                                                    |                                                              | <b>Armoured catfishes</b>    |
|                        |                                 | [ <sup>F283</sup> <i>Hypancistrus zebra</i> (II) (A zero export quota for wild specimens for commercial purposes)] |                                                              | Zebra pleco]                 |
| <b>SYNGNATHIFORMES</b> |                                 |                                                                                                                    |                                                              |                              |
| <b>Syngnathidae</b>    |                                 |                                                                                                                    |                                                              | <b>Pipefishes, seahorses</b> |



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|------------------------------------------------------------------------|------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------|
|                                                                        |                              | <i>Hippocampus</i><br>spp. (II)                                                                                |                                          | Seahorses                        |
| <b>DIPNEUSTI</b>                                                       |                              |                                                                                                                |                                          | <b>Lungfishes</b>                |
| CERATODONTIFORMES                                                      |                              |                                                                                                                |                                          |                                  |
| <b>Neoceratodontidae</b>                                               |                              |                                                                                                                |                                          | <b>Australian lungfishes</b>     |
|                                                                        |                              | <i>Neoceratodus forsteri</i> (II)                                                                              |                                          | Australian lungfish              |
| <b>COELACANTHI</b>                                                     |                              |                                                                                                                |                                          | <b>Coelacanths</b>               |
| COELACANTHIFORMES                                                      |                              |                                                                                                                |                                          |                                  |
| <b>Latimeriidae</b>                                                    |                              |                                                                                                                |                                          | <b>Coelacanths</b>               |
|                                                                        | <i>Latimeria</i><br>spp. (I) |                                                                                                                |                                          | Coelacanths                      |
| ECHINODERMATA (STARFISH, BRITTLE STARS, SEA URCHINS AND SEA CUCUMBERS) |                              |                                                                                                                |                                          |                                  |
| <b>HOLOTHUROIDEA</b>                                                   |                              |                                                                                                                |                                          | <b>Sea cucumbers</b>             |
| ASPIDOCHIROTIDA                                                        |                              |                                                                                                                |                                          |                                  |
| <b>Stichopodidae</b>                                                   |                              |                                                                                                                |                                          | <b>Sea cucumbers</b>             |
|                                                                        |                              |                                                                                                                | <i>Isostichopus fuscus</i> (III Ecuador) | <b>Brown sea cucumber</b>        |
|                                                                        |                              | <i>I<sup>F284</sup>Thelenota</i><br>spp. (II)<br>(This inclusion has effect at the beginning of 25th May 2024) |                                          | Sea cucumbers]                   |
| HOLOTHURIIDA                                                           |                              |                                                                                                                |                                          |                                  |
| <b>Holothuriidae</b>                                                   |                              |                                                                                                                |                                          | <b>Teatfishes, sea cucumbers</b> |
|                                                                        |                              | <i>Holothuria fuscogilva</i> (II)<br>F285<br>...                                                               |                                          | Teatfish                         |
|                                                                        |                              | <i>Holothuria nobilis</i> (II)<br>F285<br>...                                                                  |                                          |                                  |

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|                         |  |                                                     |                                                        |                                         |
|-------------------------|--|-----------------------------------------------------|--------------------------------------------------------|-----------------------------------------|
|                         |  | <i>Holothuria whitmaei</i> (II)<br>F285<br>...      |                                                        |                                         |
| ARTHROPODA (ARTHROPODS) |  |                                                     |                                                        |                                         |
| <b>ARACHNIDA</b>        |  |                                                     |                                                        | <b>Spiders and scorpions</b>            |
| ARANEAE                 |  |                                                     |                                                        |                                         |
| <b>Theraphosidae</b>    |  |                                                     |                                                        | <b>Red-kneed tarantulas, tarantulas</b> |
|                         |  | F286<br>...                                         |                                                        |                                         |
|                         |  | <i>Aphonopelma pallidum</i> (II)                    |                                                        | Chihuahua rose-grey tarantula           |
|                         |  | <i>Brachypelma</i> spp. (II)                        |                                                        | Central American tarantulas             |
|                         |  |                                                     | [ <sup>F287</sup> <i>Caribena versicolor</i> (III EU)] | Antilles pinktoe tarantula]             |
|                         |  | <i>Poecilotheria</i> spp. (II)                      |                                                        | Ornamental spiders                      |
|                         |  | [ <sup>F288</sup> <i>Sericopelma angustum</i> (II)] |                                                        |                                         |
|                         |  | <i>Sericopelma embrithes</i> (II)                   |                                                        |                                         |
|                         |  | <i>Tlitocatl</i> spp. (II)]                         |                                                        |                                         |
| <b>SCORPIONES</b>       |  |                                                     |                                                        |                                         |
| <b>Scorpionidae</b>     |  |                                                     |                                                        | <b>Scorpions</b>                        |
|                         |  | <i>Pandinus camerounensis</i> (II)                  |                                                        |                                         |
|                         |  | <i>Pandinus dictator</i> (II)                       |                                                        |                                         |
|                         |  | <i>Pandinus gambiensis</i> (II)                     |                                                        | Giant Senegalese scorpion               |
|                         |  | <i>Pandinus imperator</i> (II)                      |                                                        | Emperor scorpion                        |

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|                     |                                      |                                    |                                                  |                                             |
|---------------------|--------------------------------------|------------------------------------|--------------------------------------------------|---------------------------------------------|
|                     |                                      | <i>Pandinus roeseli</i> (II)       |                                                  |                                             |
| <b>INSECTA</b>      |                                      |                                    |                                                  | <b>Insects</b>                              |
| <b>COLEOPTERA</b>   |                                      |                                    |                                                  | <b>Beetles</b>                              |
| <b>Lucanidae</b>    |                                      |                                    |                                                  | <b>Stag beetles</b>                         |
|                     |                                      |                                    | <i>Colophon</i> spp. (III South Africa)          | Cape stag beetles                           |
| <b>Scarabaeidae</b> |                                      |                                    |                                                  | <b>Scarab beetles</b>                       |
|                     |                                      | <i>Dynastes satanas</i> (II)       |                                                  | Satanas beetle                              |
| <b>LEPIDOPTERA</b>  |                                      |                                    |                                                  | <b>Butterflies</b>                          |
| <b>Nymphalidae</b>  |                                      |                                    |                                                  |                                             |
|                     |                                      |                                    | <i>Agrias amydon boliviensis</i> (III Bolivia)   |                                             |
|                     |                                      |                                    | <i>Morpho godartii lachaumei</i> (III Bolivia)   |                                             |
|                     |                                      |                                    | <i>Prepona præneste buckleyana</i> (III Bolivia) |                                             |
| <b>Papilionidae</b> |                                      |                                    |                                                  | <b>Birdwing and swallowtail butterflies</b> |
|                     | <i>Achillides chikae chikae</i> (I)  |                                    |                                                  | Luzon peacock swallowtail                   |
|                     | <i>Achillides chikae hermeli</i> (I) |                                    |                                                  | Mindoro peacock swallowtail                 |
|                     |                                      | <i>Atrophaneura jophon</i> (II)    |                                                  | Sri Lankan rose                             |
|                     |                                      | <i>Atrophaneura palu</i>           |                                                  | Palu swallowtail butterfly                  |
|                     |                                      | <i>Atrophaneura pandiyana</i> (II) |                                                  | Malabar rose                                |

## ANNEX

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|  |                                                      |                                                                                           |                                                                                  |                                                   |
|--|------------------------------------------------------|-------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------|
|  |                                                      | <i>Bhutanitis</i><br>spp. (II)                                                            |                                                                                  | Swallowtail<br>butterflies                        |
|  |                                                      | <i>Graphium</i><br><i>sandawanum</i>                                                      |                                                                                  | Apo<br>swallowtail<br>butterfly                   |
|  |                                                      | <i>Graphium</i><br><i>stresemanni</i>                                                     |                                                                                  | Seram<br>swallowtail                              |
|  |                                                      | <i>Ornithoptera</i><br>spp. (II)<br>(except for<br>the species<br>included in<br>Annex A) |                                                                                  | Birdwing<br>butterflies                           |
|  | <i>Ornithoptera</i><br><i>alexandrae</i> (I)         |                                                                                           |                                                                                  | Queen<br>Alexandra's<br>birdwing                  |
|  |                                                      | <i>Papilio</i><br><i>benguetanus</i>                                                      |                                                                                  |                                                   |
|  |                                                      | <i>Papilio</i><br><i>esperanza</i>                                                        |                                                                                  |                                                   |
|  | <i>Papilio</i><br><i>homerus</i> (I)                 |                                                                                           |                                                                                  | Homerus<br>swallowtail                            |
|  | <b><i>Papilio</i></b><br><b><i>hospiton</i> (II)</b> |                                                                                           |                                                                                  | Corsican<br>swallowtail                           |
|  |                                                      | <i>Papilio</i><br><i>morondavana</i>                                                      |                                                                                  | Madagascan<br>emperor<br>swallowtail              |
|  |                                                      | <i>Papilio</i><br><i>neumoegeni</i>                                                       |                                                                                  |                                                   |
|  |                                                      |                                                                                           | [ <sup>F289</sup> <i>Papilio</i><br><i>phorbanta</i><br>(III European<br>Union)] | <i>Small Réunion</i><br><i>swallowtail</i> ]      |
|  |                                                      |                                                                                           |                                                                                  |                                                   |
|  |                                                      | <i>Parides</i><br><i>ascanius</i>                                                         |                                                                                  | Fluminense<br>swallowtail<br>butterfly            |
|  |                                                      | <i>Parides</i><br><i>hahneli</i>                                                          |                                                                                  | Hahnel's<br>amazonian<br>swallowtail<br>butterfly |
|  | <i>Parides</i><br><i>burchellanus</i><br>(I)         |                                                                                           |                                                                                  | Riverside<br>swallowtail                          |

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|  |                                      |                               |  |                           |
|--|--------------------------------------|-------------------------------|--|---------------------------|
|  | <b><i>Parnassius apollo</i> (II)</b> |                               |  | Mountain apollo           |
|  |                                      | <i>Teinopalpus</i> spp. (II)  |  | Kaiser-I-Hind butterflies |
|  |                                      | <i>Trogonoptera</i> spp. (II) |  | Birdwing butterflies      |
|  |                                      | <i>Troides</i> spp. (II)      |  | Birdwing butterflies      |

#### ANNELIDA (SEGMENTED WORMS AND LEECHES)

|                     |  |                                |  |                          |
|---------------------|--|--------------------------------|--|--------------------------|
| <b>HIRUDINOIDEA</b> |  |                                |  | <b>Leeches</b>           |
| ARHYNCHOBDELLIDA    |  |                                |  |                          |
| <b>Hirudinidae</b>  |  |                                |  | <b>Leeches</b>           |
|                     |  | <i>Hirudo medicinalis</i> (II) |  | Northern medicinal leech |
|                     |  | <i>Hirudo verbana</i> (II)     |  | Southern medicinal leech |

#### MOLLUSCA (MOLLUSCS)

|                  |                                |                                   |  |                                               |
|------------------|--------------------------------|-----------------------------------|--|-----------------------------------------------|
| <b>BIVALVIA</b>  |                                |                                   |  | <b>Bivalve molluscs (clams, mussels etc.)</b> |
| MYTILOIDA        |                                |                                   |  |                                               |
| <b>Mytilidae</b> |                                |                                   |  | <b>Marine mussels</b>                         |
|                  |                                | <i>Lithophaga lithophaga</i> (II) |  | European date mussel                          |
| UNIONOIDA        |                                |                                   |  |                                               |
| <b>Unionidae</b> |                                |                                   |  | <b>Freshwater mussels, pearly mussels</b>     |
|                  | <i>Conradilla caelata</i> (I)  |                                   |  | Birdwing pearly mussel                        |
|                  |                                | <i>Cyprogenia aberti</i> (II)     |  | Western fanshell mussel                       |
|                  | <i>Dromus dromas</i> (I)       |                                   |  | Dromedary pearly mussel                       |
|                  | <i>Epioblasma curtisii</i> (I) |                                   |  | Curtis' pearly mussel                         |

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|  |                                             |                                          |  |                                  |
|--|---------------------------------------------|------------------------------------------|--|----------------------------------|
|  | <i>Epioblasma florentina</i> (I)            |                                          |  | Yellow-blossom pearly mussel     |
|  | <i>Epioblasma sampsonii</i> (I)             |                                          |  | Wabash riffleshell               |
|  | <i>Epioblasma sulcata perobliqua</i> (I)    |                                          |  | White catspaw mussel             |
|  | <i>Epioblasma torulosa gubernaculum</i> (I) |                                          |  | Green-blossom pearly mussel      |
|  |                                             | <i>Epioblasma torulosa rangiana</i> (II) |  | Northern riffleshell             |
|  | <i>Epioblasma torulosa torulosa</i> (I)     |                                          |  | Turbercled-blossom pearly mussel |
|  | <i>Epioblasma turgidula</i> (I)             |                                          |  | Turgid-blossom pearly mussel     |
|  | <i>Epioblasma walkeri</i> (I)               |                                          |  | Tan riffleshell                  |
|  | <i>Fusconaia cuneolus</i> (I)               |                                          |  | Fine-rayed pigtoe pearly mussel  |
|  | <i>Fusconaia edgariana</i> (I)              |                                          |  | Shiny pigtoe pearly mussel       |
|  | <i>Lampsilis higginsii</i> (I)              |                                          |  | Higgins' eye pearly mussel       |
|  | <i>Lampsilis orbiculata orbiculata</i> (I)  |                                          |  | Pink mucket pearly mussel        |
|  | <i>Lampsilis satur</i> (I)                  |                                          |  | Sandback pocketbook mussel       |
|  | <i>Lampsilis virescens</i> (I)              |                                          |  | Alabama lamp pearly mussel       |
|  | <i>Plethobasus cicatricosus</i> (I)         |                                          |  | White warty-back pearly mussel   |
|  | <i>Plethobasus cooperianus</i> (I)          |                                          |  | Orange-footed pimpleback mussel  |

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|                    |                                           |                              |  |                                       |
|--------------------|-------------------------------------------|------------------------------|--|---------------------------------------|
|                    |                                           | <i>Pleurobema clava</i> (II) |  | Clubshell pearly mussel               |
|                    | <i>Pleurobema plenum</i> (I)              |                              |  | Rough pigtoe pearly mussel            |
|                    | <i>Potamilus capax</i> (I)                |                              |  | Fat pocketbook pearly mussel          |
|                    | <i>Quadrula intermedia</i> (I)            |                              |  | Cumberland monkey-face pearly mussel  |
|                    | <i>Quadrula sparsa</i> (I)                |                              |  | Appalachian monkey-face pearly mussel |
|                    | <i>Toxolasma cylindrella</i> (I)          |                              |  | Pale lilliput pearly mussel           |
|                    | <i>Unio nickliniana</i> (I)               |                              |  | Nicklin's pearly mussel               |
|                    | <i>Unio tampicoensis tecomatensis</i> (I) |                              |  | Tampico pearly mussel                 |
|                    | <i>Villosa trabalis</i> (I)               |                              |  | Cumberland bean pearly mussel         |
| VENEROIDA          |                                           |                              |  |                                       |
| <b>Tridacnidae</b> |                                           |                              |  | <b>Giant clams</b>                    |
|                    |                                           | Tridacnidae spp. (II)        |  | Giant clams                           |
| <b>CEPHALOPODA</b> |                                           |                              |  |                                       |
| NAUTILIDA          |                                           |                              |  |                                       |
| <b>Nautilidae</b>  |                                           |                              |  | <b>Nautilus</b>                       |
|                    |                                           | Nautilidae spp. (II)         |  | Nautilus                              |
| <b>GASTROPODA</b>  |                                           |                              |  | <b>Slugs, snails and conches</b>      |
| MESOGASTROPODA     |                                           |                              |  |                                       |
| <b>Strombidae</b>  |                                           |                              |  | <b>Conches</b>                        |
|                    |                                           | <i>Strombus gigas</i> (II)   |  | Queen conch                           |
| STYLOMMATOPHORA    |                                           |                              |  |                                       |

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| <b>Achatinellidae</b>                               |                             |                                                                                               |                                        | <b>Agate snails, oahu tree snails</b> |
|                                                     | <i>Achatinella</i> spp. (I) |                                                                                               |                                        | Little agate shells                   |
| <b>Camaenidae</b>                                   |                             |                                                                                               |                                        | <b>Green tree snail</b>               |
|                                                     |                             | <i>Papustyla pulcherrima</i> (II)                                                             |                                        | Manus green tree snail                |
| <b>Cepolidae</b>                                    |                             |                                                                                               |                                        |                                       |
|                                                     | <i>Polymita</i> spp. (I)    |                                                                                               |                                        | Cuban landsnails                      |
| <b>CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)</b> |                             |                                                                                               |                                        |                                       |
| <b>ANTHOZOA</b>                                     |                             |                                                                                               |                                        | <b>Corals, sea anemones</b>           |
| <b>ANTIPATHARIA</b>                                 |                             |                                                                                               |                                        |                                       |
|                                                     |                             | ANTIPATHARIA spp. (II)                                                                        |                                        | Black corals                          |
| <b>GORGONACEAE</b>                                  |                             |                                                                                               |                                        |                                       |
| <b>Coralliidae</b>                                  |                             |                                                                                               |                                        | <b>Red and pink corals</b>            |
|                                                     |                             |                                                                                               | <i>Corallium elatius</i> (III China)   |                                       |
|                                                     |                             |                                                                                               | <i>Corallium japonicum</i> (III China) |                                       |
|                                                     |                             |                                                                                               | <i>Corallium konjoi</i> (III China)    |                                       |
|                                                     |                             |                                                                                               | <i>Corallium secundum</i> (III China)  |                                       |
| <b>HELIOPORACEA</b>                                 |                             |                                                                                               |                                        |                                       |
| <b>Helioporidae</b>                                 |                             |                                                                                               |                                        | <b>Blue coral</b>                     |
|                                                     |                             | Helioporidae spp. (II)<br>(Includes only the species <i>Heliopora coerulea</i> ) <sup>e</sup> |                                        | Blue coral                            |



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|---------------------------|---------------------------------|-------------------------------------------------|-------------------------------------------------------|-------------------------------------------------------------|
| SCLERACTINIA              |                                 |                                                 |                                                       |                                                             |
|                           |                                 | SCLERACTINIA<br>spp. (II) <sup>e</sup>          |                                                       | Stony corals                                                |
| STOLONIFERA               |                                 |                                                 |                                                       |                                                             |
| <b>Tubiporidae</b>        |                                 |                                                 |                                                       | <b>Organpipe<br/>corals</b>                                 |
|                           |                                 | Tubiporidae<br>spp. (II) <sup>e</sup>           |                                                       | Organpipe<br>corals                                         |
| <b>HYDROZOA</b>           |                                 |                                                 |                                                       | <b>Sea ferns,<br/>fire corals,<br/>stinging<br/>medusas</b> |
| MILLEPORINA               |                                 |                                                 |                                                       |                                                             |
| <b>Milleporidae</b>       |                                 |                                                 |                                                       | <b>Wello fire<br/>corals</b>                                |
|                           |                                 | Milleporidae<br>spp. (II) <sup>e</sup>          |                                                       | Wello fire<br>corals                                        |
| STYLASTERINA              |                                 |                                                 |                                                       |                                                             |
| <b>Stylasteridae</b>      |                                 |                                                 |                                                       | <b>Lace corals</b>                                          |
|                           |                                 | Stylasteridae<br>spp. (II) <sup>e</sup>         |                                                       | Lace corals                                                 |
| <b>FLORA</b>              |                                 |                                                 |                                                       |                                                             |
| AGAVACEAE                 |                                 |                                                 |                                                       | <b>Agaves</b>                                               |
|                           | <i>Agave<br/>parviflora</i> (I) |                                                 |                                                       | Santa Cruz<br>striped agave                                 |
|                           |                                 | <i>Agave<br/>victoriae-<br/>reginae</i> (II) #4 |                                                       | Queen<br>Victoria agave                                     |
|                           |                                 | <i>Nolina<br/>interrata</i> (II)                |                                                       | Dehesa bear-<br>grass                                       |
|                           |                                 | <i>Yucca<br/>queretaroensis</i><br>(II)         |                                                       | Queretaro<br>yucca                                          |
| <sup>F290</sup> AIZOACEAE |                                 |                                                 |                                                       | <b>Fig-marigolds</b>                                        |
|                           |                                 |                                                 | <i>Conophytum</i><br>spp. (III South<br>Africa)       | Button plants,<br>conos, knopies                            |
|                           |                                 |                                                 | <i>Mestoklema<br/>tuberosum</i> (III<br>South Africa) | Common<br>donkeyfig]                                        |

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|                |                                                     |                                                                                 |                                                                  |
|----------------|-----------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------------------|
| AMARYLLIDACEAE |                                                     |                                                                                 | <b>Amaryllids</b>                                                |
|                |                                                     | <i>Galanthus</i> spp. (II) #4                                                   | Snowdrops                                                        |
|                |                                                     | <i>Sternbergia</i> spp. (II) #4                                                 | Sternbergias                                                     |
| ANACARDIACEAE  |                                                     |                                                                                 |                                                                  |
|                |                                                     | <i>Operculicarya decaryi</i> (II)                                               | Jabihiy                                                          |
|                |                                                     | <i>Operculicarya hyphaenoides</i> (II)                                          | Jabihiy                                                          |
|                |                                                     | <i>Operculicarya pachypus</i> (II)                                              | Tabily                                                           |
| APOCYNACEAE    |                                                     |                                                                                 |                                                                  |
|                |                                                     | <i>Hoodia</i> spp. (II) #9                                                      | Hoodia                                                           |
|                |                                                     | <i>Pachypodium</i> spp. (II)<br>(Except for the species included in Annex A) #4 | Elephant trunks                                                  |
|                | <i>Pachypodium ambongense</i> (I)                   |                                                                                 |                                                                  |
|                | <i>Pachypodium baronii</i> (I)                      |                                                                                 |                                                                  |
|                | <i>Pachypodium decaryi</i> (I)                      |                                                                                 |                                                                  |
|                | [ <sup>F291</sup> <i>Pachypodium windsorii</i> (I)] |                                                                                 |                                                                  |
|                |                                                     |                                                                                 |                                                                  |
|                |                                                     |                                                                                 | [ <sup>F292</sup> <i>Raphionacme zeyheri</i> (III South Africa)] |
|                |                                                     | <i>Rauvolfia serpentina</i> (II) #2                                             | Snake-root devil-pepper                                          |
| ARALIACEAE     |                                                     |                                                                                 | <b>Aralias</b>                                                   |
|                |                                                     | <i>Panax ginseng</i> (II) (Only the population of the Russian                   | Asian ginseng                                                    |

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|                                     |                                   | Federation;<br>no other<br>population is<br>included in<br>the Annexes<br>to this<br>Regulation) #3                       |  |                        |
|                                     |                                   | <i>Panax<br/>quinquefolius</i><br>(II) #3                                                                                 |  | American<br>ginseng    |
| ARAUCARIACEAE                       |                                   |                                                                                                                           |  | <b>Araucarias</b>      |
|                                     | <i>Araucaria<br/>araucana</i> (I) |                                                                                                                           |  | Monkey-<br>puzzle tree |
| ASPARAGACEAE                        |                                   |                                                                                                                           |  |                        |
|                                     |                                   | <i>Beaucarnea</i><br>spp. (II)                                                                                            |  | Ponytail palm          |
| BERBERIDACEAE                       |                                   |                                                                                                                           |  | <b>Barberries</b>      |
|                                     |                                   | <i>Podophyllum<br/>hexandrum</i><br>(II) #2                                                                               |  | Himalayan<br>may-apple |
| [ <sup>F293</sup> BIGNONI-<br>ACEAE |                                   |                                                                                                                           |  | Trumpet trees          |
|                                     |                                   | <i>Handroanthus</i><br>spp. (II) #17<br>(This inclusion<br>has effect at<br>the beginning<br>of 25th<br>November<br>2024) |  |                        |
|                                     |                                   | <i>Roseodendron</i><br>spp. (II) #17<br>(This inclusion<br>has effect at<br>the beginning<br>of 25th<br>November<br>2024) |  |                        |
|                                     |                                   | <i>Tabebuia</i> spp.<br>(II) #17<br>(This inclusion<br>has effect at<br>the beginning<br>of 25th<br>November<br>2024)]    |  |                        |

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|              |                                                              |                                                                                                                                                               |  |                                  |
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| BROMELIACEAE |                                                              |                                                                                                                                                               |  | <b>Air plants,<br/>bromelias</b> |
|              |                                                              | <i>Tillandsia harrisii</i> (II) #4                                                                                                                            |  | Harris' tillandsia               |
|              |                                                              | <i>Tillandsia kammii</i> (II) #4                                                                                                                              |  | Kamm's tillandsia                |
|              |                                                              | <i>Tillandsia xerographica</i> (II) <sup>f</sup> #4                                                                                                           |  | Xerographic tillandsia           |
| CACTACEAE    |                                                              |                                                                                                                                                               |  | <b>Cacti</b>                     |
|              |                                                              | CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Pereskia</i> spp., <i>Pereskopsis</i> spp. and <i>Quiabentia</i> spp.) <sup>g</sup> #4 |  | Cacti                            |
|              | <i>Ariocarpus</i> spp. (I)                                   |                                                                                                                                                               |  | Living rock cacti                |
|              | <i>Astrophytum asterias</i> (I)                              |                                                                                                                                                               |  | Star cactus                      |
|              | <i>Aztekium ritteri</i> (I)                                  |                                                                                                                                                               |  | Aztec cactus                     |
|              | <i>Coryphantha werdermannii</i> (I)                          |                                                                                                                                                               |  | Jobali pincushion cactus         |
|              | <i>Discocactus</i> spp. (I)                                  |                                                                                                                                                               |  | Discocacti                       |
|              | <i>Echinocereus ferrerianus</i> ssp . <i>lindsayorum</i> (I) |                                                                                                                                                               |  | Lindsay's hedgehog cacti         |
|              | <i>Echinocereus schmollii</i> (I)                            |                                                                                                                                                               |  | Lamb's-tail cactus               |
|              | <i>Escobaria minima</i> (I)                                  |                                                                                                                                                               |  | Nelle's cactus                   |
|              | <i>Escobaria sneedii</i> (I)                                 |                                                                                                                                                               |  | Sneed's pincushion cactus        |

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|  | <i>Mammillaria pectinifera</i> (I)<br>(includes ssp. <i>solisioides</i> ) |  |  | Conchilique                            |
|  | <i>Melocactus conoideus</i> (I)                                           |  |  | Conelike Turk's-cap cactus             |
|  | <i>Melocactus deinacanthus</i> (I)                                        |  |  | Wonderfully-bristled Turk's cap cactus |
|  | <i>Melocactus glaucescens</i> (I)                                         |  |  | Woolly waxy-stemmed Turk's-cap cactus  |
|  | <i>Melocactus paucispinus</i> (I)                                         |  |  | Few-spined Turk's-cap cactus           |
|  | <i>Obregonia denegrii</i> (I)                                             |  |  | Artichoke cactus                       |
|  | <i>Pachycereus militaris</i> (I)                                          |  |  | Grenadier's cap                        |
|  | <i>Pediocactus bradyi</i> (I)                                             |  |  | Brady's pincushion cactus              |
|  | <i>Pediocactus knowltonii</i> (I)                                         |  |  | Knowlton's cactus                      |
|  | <i>Pediocactus paradinei</i> (I)                                          |  |  | Houserock valley cactus                |
|  | <i>Pediocactus peeblesianus</i> (I)                                       |  |  | Peebles's Navajo cactus                |
|  | <i>Pediocactus sileri</i> (I)                                             |  |  | Siler's pincushion cactus              |
|  | <i>Pelecypora</i> spp. (I)                                                |  |  | Pine cane cactus                       |
|  | <i>Sclerocactus blainei</i> (I)                                           |  |  | Blaine's fishhook cactus               |
|  | <i>Sclerocactus brevihamatus</i> ssp. <i>tobuschii</i> (I)                |  |  | Tobusch fishhook cactus                |

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|---------------|---------------------------------------|--------------------------------------|--|--------------------------------|
|               | <i>Sclerocactus brevispinus</i> (I)   |                                      |  | Pariette cactus                |
|               | <i>Sclerocactus cloverae</i> (I)      |                                      |  | New Mexico fishhook cactus     |
|               | <i>Sclerocactus erectocentrus</i> (I) |                                      |  | Needle-spined pineapple cactus |
|               | <i>Sclerocactus glaucus</i> (I)       |                                      |  | Colorado hookless cactus       |
|               | <i>Sclerocactus mariposensis</i> (I)  |                                      |  | Mariposa cactus                |
|               | <i>Sclerocactus mesae-verdae</i> (I)  |                                      |  | Mesa Verde cactus              |
|               | <i>Sclerocactus nyensis</i> (I)       |                                      |  | Tonopah fishhook cactus        |
|               | <i>Sclerocactus papyracanthus</i> (I) |                                      |  | Gramma-grass cactus            |
|               | <i>Sclerocactus pubispinus</i> (I)    |                                      |  | Great-Basin fishhook cactus    |
|               | <i>Sclerocactus sileri</i> (I)        |                                      |  | Siler's fishhook cactus        |
|               | <i>Sclerocactus wetlandicus</i> (I)   |                                      |  | Unita Basin hookless cactus    |
|               | <i>Sclerocactus wrightiae</i> (I)     |                                      |  | Wright's fishhook cactus       |
|               | <i>Strombocactus</i> spp. (I)         |                                      |  | Peyote                         |
|               | <i>Turbinicarpus</i> spp. (I)         |                                      |  | Turbinicarps                   |
|               | <i>Uebelmannia</i> spp. (I)           |                                      |  | Uebelmann cacti                |
| CARYOCARACEAE |                                       |                                      |  | <b>Ajos</b>                    |
|               |                                       | <i>Caryocar costaricense</i> (II) #4 |  | Ajillo                         |

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|                                     |                                                                                                                                 |                                 |                                                                            |                                                          |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------------------------------|----------------------------------------------------------------------------|----------------------------------------------------------|
| COMPOSITAE<br>(ASTERACEAE)          |                                                                                                                                 |                                 |                                                                            | <b>Asters,<br/>daisies, costus</b>                       |
|                                     |                                                                                                                                 |                                 | F294<br>...                                                                | F294<br>...                                              |
|                                     |                                                                                                                                 |                                 | [ <sup>F295</sup> <i>Crassothonna<br/>clavifolia</i> (III<br>South Africa) |                                                          |
|                                     |                                                                                                                                 |                                 | <i>Othonna<br/>armiana</i> (III)<br>South Africa                           | Kahams<br>Baboon-<br>cabbage                             |
|                                     |                                                                                                                                 |                                 | [ <sup>F296</sup> <i>Othonna<br/>cacalioides</i><br>(III) South<br>Africa] |                                                          |
|                                     |                                                                                                                                 |                                 |                                                                            |                                                          |
|                                     |                                                                                                                                 |                                 | <i>Othonna<br/>euphorbioides</i><br>(III South<br>Africa)                  | Spikey<br>Baboon-<br>cabbage                             |
|                                     |                                                                                                                                 |                                 | <i>Othonna<br/>retrorsa</i> (III<br>South Africa)]                         |                                                          |
|                                     | <i>Saussurea<br/>costus</i> (I)<br>(also known<br>as <i>S. lappa</i><br>, <i>Aucklandia<br/>lappa</i> or <i>A.<br/>costus</i> ) |                                 |                                                                            | Costus                                                   |
| [ <sup>F297</sup> CRASSUL-<br>ACEAE |                                                                                                                                 |                                 |                                                                            | <b>Stonecrops</b>                                        |
|                                     |                                                                                                                                 | <i>Rhodiola</i> spp.<br>(II) #2 |                                                                            | Golden root                                              |
|                                     |                                                                                                                                 |                                 | <i>Tylecodon<br/>bodleyae</i> (III<br>South Africa)                        | Dimple<br>butterbush                                     |
|                                     |                                                                                                                                 |                                 | <i>Tylecodon<br/>nolteei</i> (III<br>South Africa)                         | Losthope<br>butterbush                                   |
|                                     |                                                                                                                                 |                                 | <i>Tylecodon<br/>reticulatus</i> (III<br>South Africa)                     | Thorny<br>butterbush,<br>oukoe<br>butterbush,<br>sifkop] |

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| CUCURBITACEAE |                                    |                                                                                                                                                                      |                   |
|               |                                    | <i>Zygosicyos pubescens</i> (II)<br>(also known as <i>Xerosicyos pubescens</i> )                                                                                     | Tobory            |
|               |                                    | <i>Zygosicyos tripartitus</i> (II)                                                                                                                                   | Betoboky          |
| CUPRESSACEAE  |                                    |                                                                                                                                                                      | <b>Cypresses</b>  |
|               | <i>Fitzroya cupressoides</i> (I)   |                                                                                                                                                                      | Alerce            |
|               | <i>Pilgerodendron uviferum</i> (I) |                                                                                                                                                                      | Pilgerodendron    |
|               |                                    | <i>Widdringtonia whytei</i> (II)                                                                                                                                     | Mulanje cedar     |
| CYATHEACEAE   |                                    |                                                                                                                                                                      | <b>Tree ferns</b> |
|               |                                    | <i>Cyathea</i> spp. (II) #4                                                                                                                                          | Tree ferns        |
| CYCADACEAE    |                                    |                                                                                                                                                                      | <b>Cycads</b>     |
|               |                                    | CYCADACEAE spp. (II)<br>(Except for the species included in Annex A) #4                                                                                              | Cycads            |
|               | <i>Cycas beddomei</i> (I)          |                                                                                                                                                                      | Beddome's cycad   |
| DICKSONIACEAE |                                    |                                                                                                                                                                      | <b>Tree ferns</b> |
|               |                                    | <i>Cibotium barometz</i> (II) #4                                                                                                                                     |                   |
|               |                                    | <i>Dicksonia</i> spp. (II)<br>(Only the populations of the Americas; no other populations are included in the Annexes to this Regulation. This includes the synonyms | Tree ferns        |



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|               |  | <i>Dicksonia berteriana</i> ,<br><i>D. externa</i> ,<br><i>D. sellowiana</i><br>and <i>D. stuebelii</i> ) #4                                                                                                               |                          |
| DIDIEREACEAE  |  |                                                                                                                                                                                                                            | <b>Didiereas</b>         |
|               |  | DIDIEREACEAE<br>spp. (II) #4                                                                                                                                                                                               | Alluaudias,<br>didiereas |
| DIOSCOREACEAE |  |                                                                                                                                                                                                                            | <b>Yams</b>              |
|               |  | <i>Dioscorea deltoidea</i> (II)<br>#4                                                                                                                                                                                      | Elephant's<br>foot       |
| DROSERACEAE   |  |                                                                                                                                                                                                                            | <b>Sundews</b>           |
|               |  | <i>Dionaea muscipula</i> (II)<br>#4                                                                                                                                                                                        | Venus fly-trap           |
| EBENACEAE     |  |                                                                                                                                                                                                                            | <b>Ebonies</b>           |
|               |  | <i>Diospyros</i><br>spp. (II) (Only<br>the populations<br>of Madagascar;<br>no other<br>population is<br>included in<br>the Annexes<br>to this<br>Regulation) #5                                                           |                          |
| EUPHORBIACEAE |  |                                                                                                                                                                                                                            | <b>Spurges</b>           |
|               |  | <i>Euphorbia</i><br>spp. (II) #4<br>(Succulent<br>species only<br>except for:<br>(1) <i>Euphorbia misera</i><br>;<br>(2) artificially<br>propagated<br>specimens<br>of<br>cultivars<br>of<br><i>Euphorbia trigona</i><br>; | Euphorbias               |

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|  |     |                                                                                                                                                                                                                                          |
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|  | (3) | artificially propagated specimens of <i>Euphorbia lactea</i> grafted on artificially propagated root stock of <i>Euphorbia neriifolia</i> , when they are:<br>— crested,<br>— or<br>— fan-shaped,<br>— or<br>— colour mutants;           |
|  | (4) | artificially propagated specimens of cultivars of <i>Euphorbia</i> ‘Mili’ when they are:<br>— readily recognisable as artificially propagated specimens,<br>— and introduced into or (re-)exported from [F298Great Britain] in shipments |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|  |                                                                                                                                           | (5) | of<br>100<br>or<br>more<br>plants;<br><br>which<br>are<br>not<br>subject<br>to<br>this<br>Regulation,<br>and<br>the<br>species<br>included<br>in<br>Annex<br>A) |  |
|--|-------------------------------------------------------------------------------------------------------------------------------------------|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
|  | <i>Euphorbia<br/>ambovombensis</i><br>(I)                                                                                                 |     |                                                                                                                                                                 |  |
|  | <i>Euphorbia<br/>capsaintemariensis</i><br>(I)                                                                                            |     |                                                                                                                                                                 |  |
|  | <i>Euphorbia<br/>cremersii</i><br>(I) (Includes<br>the forma<br><i>viridifolia</i><br>and the var.<br><i>rakotozafyi</i> )                |     |                                                                                                                                                                 |  |
|  | <i>Euphorbia<br/>cylindrifolia</i><br>(I) (Includes<br>the ssp.<br><i>tuberifera</i> )                                                    |     |                                                                                                                                                                 |  |
|  | <i>Euphorbia<br/>decaryi</i> (I)<br>(Includes<br>the vars.<br><i>ampanihyensis</i><br>, <i>robinsonii</i><br>and<br><i>sprirosticha</i> ) |     |                                                                                                                                                                 |  |
|  | <i>Euphorbia<br/>francoisii</i> (I)                                                                                                       |     |                                                                                                                                                                 |  |

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|                              | <b><i>Euphorbia handiensis</i> (II)</b>                                                                                |                                      |                                                      |                           |
|                              | <b><i>Euphorbia lambii</i> (II)</b>                                                                                    |                                      |                                                      |                           |
|                              | <i>Euphorbia moratii</i> (I)<br>(Includes the vars. <i>antsingiensis</i> , <i>bemarahensis</i> and <i>multiflora</i> ) |                                      |                                                      |                           |
|                              | <i>Euphorbia parvicyathophora</i> (I)                                                                                  |                                      |                                                      |                           |
|                              | <i>Euphorbia quartziticola</i> (I)                                                                                     |                                      |                                                      |                           |
|                              | <b><i>Euphorbia stygiana</i> (II)</b>                                                                                  |                                      |                                                      |                           |
|                              | <i>Euphorbia tulearensis</i> (I)                                                                                       |                                      |                                                      |                           |
| FAGACEAE                     |                                                                                                                        |                                      |                                                      | <b>Beeches, oaks</b>      |
|                              |                                                                                                                        |                                      | <i>Quercus mongolica</i> (III Russian Federation) #5 | Mongolian oak             |
| FOUQUIERIACEAE               |                                                                                                                        |                                      |                                                      | <b>Ocotillos, boojums</b> |
|                              |                                                                                                                        | <i>Fouquieria columnaris</i> (II) #4 |                                                      | Boojum tree               |
|                              | <i>Fouquieria fasciculata</i> (I)                                                                                      |                                      |                                                      | Arbol del barril          |
|                              | <i>Fouquieria purpusii</i> (I)                                                                                         |                                      |                                                      |                           |
| <sup>F299</sup> GERANI-ACEAE |                                                                                                                        |                                      |                                                      |                           |
|                              |                                                                                                                        |                                      | <i>Monsonia herrei</i> (III South Africa)            | Fine-leaved candle bush   |

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|                        |                            |                                                                                                |                                                   |                                    |
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|                        |                            |                                                                                                | <i>Monsonia multifida</i> (III South Africa)      | Red-throat dwarf candle bush       |
|                        |                            |                                                                                                | <i>Monsonia patersonii</i> (III South Africa)     | Dwarf bushcandle, Bushman's candle |
|                        |                            |                                                                                                | <i>Pelargonium crassicaule</i> (III South Africa) |                                    |
|                        |                            |                                                                                                | <i>Pelargonium triste</i> (III South Africa)      | Cinammon geranium kaneeltjie]      |
| GNETACEAE              |                            |                                                                                                |                                                   | <b>Joint firs</b>                  |
|                        |                            |                                                                                                | <i>Gnetum montanum</i> (III Nepal) #1             |                                    |
| JUGLANDACEAE           |                            |                                                                                                |                                                   | <b>Walnuts, gavilan</b>            |
|                        |                            | <i>Oreomunnea pterocarpa</i> (II) #4                                                           |                                                   | Gavilàn                            |
| LAURACEAE              |                            |                                                                                                |                                                   |                                    |
|                        |                            | <i>Aniba rosaeodora</i> (II) (also known as <i>A. duckei</i> ) #12                             |                                                   | Brazilian rosewood                 |
| LEGUMINOSAE (FABACEAE) |                            |                                                                                                |                                                   | <b>Legumes</b>                     |
|                        |                            | <i>A<sup>F300</sup> Afzelia</i> spp. (II) #17 (Included in respect of all African populations) |                                                   | Pod mahoganies]                    |
|                        |                            | <i>Dalbergia</i> spp. (II) (Except for the species included in Annex A) #15                    |                                                   |                                    |
|                        | <i>Dalbergia nigra</i> (I) |                                                                                                |                                                   | Brazilian rosewood                 |

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|  |  | <i>F<sup>301</sup> Dipteryx</i><br>spp. (II) #17<br>(This inclusion<br>has effect at<br>the beginning<br>of 25th<br>November<br>2024) | .                                                                                                                                                                   | Cumaru                     |
|  |  |                                                                                                                                       | <i>Dipteryx</i><br><i>panamensis</i><br>(III Costa<br>Rica/<br>Nicaragua)<br>(This inclusion<br>ceases to have<br>effect at the<br>end of 24th<br>November<br>2024) | Almendo]                   |
|  |  | <i>Guibourtia</i><br><i>demeusei</i> (II)<br>#15                                                                                      |                                                                                                                                                                     | Red bubinga                |
|  |  | <i>Guibourtia</i><br><i>pellegriniana</i><br>(II) #15                                                                                 |                                                                                                                                                                     | Rose bubinga,<br>kevazingo |
|  |  | <i>Guibourtia</i><br><i>tessmannii</i> (II)<br>#15                                                                                    |                                                                                                                                                                     | Rose bubinga,<br>kevazingo |
|  |  | <i>Paubrasilia</i><br><i>echinata</i> (II)<br>#10                                                                                     |                                                                                                                                                                     | Brazil wood                |
|  |  | <i>Pericopsis</i><br><i>elata</i> (II) #17                                                                                            |                                                                                                                                                                     | Afromosia                  |
|  |  | <i>Platymiscium</i><br><i>parviflorum</i><br>(II) #4                                                                                  |                                                                                                                                                                     | Quira<br>macawood          |
|  |  | <i>F<sup>302</sup> Pterocarpus</i><br>spp. (II) #17<br>(Included in<br>respect of<br>all African<br>populations)                      |                                                                                                                                                                     | Paduak ]                   |
|  |  | <i>Pterocarpus</i><br><i>santalinus</i> (II)<br>#7                                                                                    |                                                                                                                                                                     | Red<br>sandalwood          |
|  |  | F303                                                                                                                                  |                                                                                                                                                                     | F303                       |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|           |                                                                                                                       |                                                                                                                                                                           |  |               |
|-----------|-----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------|
|           |                                                                                                                       | ...                                                                                                                                                                       |  | ...           |
|           |                                                                                                                       | <i>Senna meridionalis</i> (II)                                                                                                                                            |  | Taraby        |
| LILIACEAE |                                                                                                                       |                                                                                                                                                                           |  | <b>Lilies</b> |
|           |                                                                                                                       | <i>Aloe</i> spp. (II) (Except for the species included in Annex A and <i>Aloe vera</i> , also known as <i>Aloe barbadensis</i> , which is not included in the Annexes) #4 |  | Aloes         |
|           | <i>Aloe albida</i> (I)                                                                                                |                                                                                                                                                                           |  |               |
|           | <i>Aloe albiflora</i> (I)                                                                                             |                                                                                                                                                                           |  |               |
|           | <i>Aloe alfredii</i> (I)                                                                                              |                                                                                                                                                                           |  |               |
|           | <i>Aloe bakeri</i> (I)                                                                                                |                                                                                                                                                                           |  |               |
|           | <i>Aloe bellatula</i> (I)                                                                                             |                                                                                                                                                                           |  |               |
|           | <i>Aloe calcairophila</i> (I)                                                                                         |                                                                                                                                                                           |  |               |
|           | <i>Aloe compressa</i> (I) (Includes the vars. <i>paucituberculata</i> , <i>rugosquamosa</i> and <i>schistophila</i> ) |                                                                                                                                                                           |  |               |
|           | <i>Aloe delphinensis</i> (I)                                                                                          |                                                                                                                                                                           |  |               |
|           | <i>Aloe descoingsii</i> (I)                                                                                           |                                                                                                                                                                           |  |               |

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|              |                                                                               |                                          |                                                                    |                               |
|--------------|-------------------------------------------------------------------------------|------------------------------------------|--------------------------------------------------------------------|-------------------------------|
|              | <i>Aloe fragilis</i><br>(I)                                                   |                                          |                                                                    |                               |
|              | <i>Aloe haworthioides</i><br>(I) (Includes<br>the var.<br><i>aurantiaca</i> ) |                                          |                                                                    |                               |
|              | <i>Aloe helenae</i><br>(I)                                                    |                                          |                                                                    |                               |
|              | <i>Aloe laeta</i><br>(I) (Includes<br>the var.<br><i>maniaensis</i> )         |                                          |                                                                    |                               |
|              | <i>Aloe parallelifolia</i><br>(I)                                             |                                          |                                                                    |                               |
|              | <i>Aloe parvula</i><br>(I)                                                    |                                          |                                                                    |                               |
|              | <i>Aloe pillansii</i><br>(I)                                                  |                                          |                                                                    |                               |
|              | <i>Aloe polyphylla</i> (I)                                                    |                                          |                                                                    |                               |
|              | <i>Aloe rauhii</i><br>(I)                                                     |                                          |                                                                    |                               |
|              | <i>Aloe suzannae</i><br>(I)                                                   |                                          |                                                                    |                               |
|              | <i>Aloe versicolor</i> (I)                                                    |                                          |                                                                    |                               |
|              | <i>Aloe vossii</i> (I)                                                        |                                          |                                                                    |                               |
| MAGNOLIACEAE |                                                                               |                                          |                                                                    | <b>Magnolias</b>              |
|              |                                                                               |                                          | <i>Magnolia liliifera</i> var.<br><i>obovata</i> (III<br>Nepal) #1 | Safan                         |
| MALVACEAE    |                                                                               |                                          |                                                                    |                               |
|              |                                                                               | <i>Adansonia grandidieri</i><br>(II) #16 |                                                                    | Grandidier's<br>baobab        |
| MELIACEAE    |                                                                               |                                          |                                                                    | <b>Mahoganies,<br/>cedars</b> |
|              |                                                                               | <i>Cedrela</i><br>spp. #6                |                                                                    |                               |



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|              |                               |                                                                                                                               |                                                         |                                   |
|--------------|-------------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|-----------------------------------|
|              |                               | (Populations of the Neotropics)                                                                                               |                                                         |                                   |
|              |                               | <i>Khaya</i> spp. (II) #17<br>(Included in respect of all African populations)                                                |                                                         | African mahogany]                 |
|              |                               | <i>Swietenia humilis</i> (II) #4                                                                                              |                                                         | Honduras mahogany                 |
|              |                               | <i>Swietenia macrophylla</i> (II)<br>(Population of the Neotropics — includes Central and South America and the Caribbean) #6 |                                                         | Big-leaf mahogany                 |
|              |                               | <i>Swietenia mahagoni</i> (II) #5                                                                                             |                                                         | Caribbean mahogany                |
| NEPENTHACEAE |                               |                                                                                                                               |                                                         | <b>Pitcher plants (old-world)</b> |
|              |                               | <i>Nepenthes</i> spp. (II)<br>(Except for the species included in Annex A) #4                                                 |                                                         | Tropical pitcher plants           |
|              | <i>Nepenthes khasiana</i> (I) |                                                                                                                               |                                                         | Indian pitcher plant              |
|              | <i>Nepenthes rajah</i> (I)    |                                                                                                                               |                                                         | Giant tropical pitcher plant      |
| OLEACEAE     |                               |                                                                                                                               |                                                         | <b>Olives, ashes</b>              |
|              |                               |                                                                                                                               | <i>Fraxinus mandshurica</i> (III Russian Federation) #5 | Manchurian ash                    |
| ORCHIDACEAE  |                               |                                                                                                                               |                                                         | <b>Orchids</b>                    |
|              |                               | ORCHIDACEAE spp. (II)<br>(Except for the species                                                                              |                                                         | Orchids                           |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|  |                                                                                                                                                                                                                                                                                                                                                                                                                   | included in<br>Annex A) <sup>h</sup> #4 |  |                         |
|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|--|-------------------------|
|  | [ <sup>F305</sup> For all of the following Annex A orchid species, seedling or tissue cultures are not subject to this Regulation when: they are obtained in vitro and meet the definition of ‘artificially propagated’ in accordance with Article 56 of <a href="#">Commission Regulation (EC) No 865/2006</a> and when introduced into or re-exported from Great Britain are transported in sterile containers] |                                         |  |                         |
|  | <i>Aerangis ellisii</i> (I)                                                                                                                                                                                                                                                                                                                                                                                       |                                         |  |                         |
|  | <i>Cattleya jongheana</i> (I)                                                                                                                                                                                                                                                                                                                                                                                     |                                         |  |                         |
|  | <i>Cattleya lobata</i> (I)                                                                                                                                                                                                                                                                                                                                                                                        |                                         |  |                         |
|  | <b><i>Cephalanthera cucullata</i> (II)</b>                                                                                                                                                                                                                                                                                                                                                                        |                                         |  | Hooded helleborine      |
|  | <b><i>Cypripedium calceolus</i> (II)</b>                                                                                                                                                                                                                                                                                                                                                                          |                                         |  | Lady’s slipper orchid   |
|  | <i>Dendrobium cruentum</i> (I)                                                                                                                                                                                                                                                                                                                                                                                    |                                         |  |                         |
|  | <b><i>Goodyera macrophylla</i> (II)</b>                                                                                                                                                                                                                                                                                                                                                                           |                                         |  | Madeiran lady’s-tresses |

**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

|                    |                                          |                                                 |                                                |                                |
|--------------------|------------------------------------------|-------------------------------------------------|------------------------------------------------|--------------------------------|
|                    | <b><i>Liparis loeselii</i> (II)</b>      |                                                 |                                                | Fen orchid                     |
|                    | <i>Mexipedium xerophyticum</i> (I)       |                                                 |                                                |                                |
|                    | <b><i>Ophrys argolica</i> (II)</b>       |                                                 |                                                | Eyed bee orchid                |
|                    | <b><i>Ophrys lunulata</i> (II)</b>       |                                                 |                                                | Crescent ophrys                |
|                    | <b><i>Orchis scopulorum</i> (II)</b>     |                                                 |                                                | Madeiran orchid                |
|                    | <i>Paphiopedilum</i> spp. (I)            |                                                 |                                                | Asian slipper orchids          |
|                    | <i>Peristeria elata</i> (I)              |                                                 |                                                | Holy ghost orchid              |
|                    | <i>Phragmipedium</i> spp. (I)            |                                                 |                                                | South American slipper orchids |
|                    | <i>Renanthera imschootiana</i> (I)       |                                                 |                                                | Red vanda                      |
|                    | <b><i>Spiranthes aestivalis</i> (II)</b> |                                                 |                                                | Summer lady's-tresses          |
| OROBANCHACEAE      |                                          |                                                 |                                                | <b>Broomrapes</b>              |
|                    |                                          | <i>Cistanche deserticola</i> (II) #4            |                                                | Desert cistanche               |
| PALMAE (ARECACEAE) |                                          |                                                 |                                                | <b>Palms</b>                   |
|                    |                                          | <i>Beccariophoenix madagascariensis</i> (II) #4 |                                                | Manarano                       |
|                    |                                          | <i>Dypsis decaryi</i> (II) #4                   |                                                | Triangle palm                  |
|                    | <i>Dypsis decipiens</i> (I)              |                                                 |                                                | Butterfly palm                 |
|                    |                                          | <i>Lemurophoenix halleuxii</i> (II)             |                                                | Hovitra varimena               |
|                    |                                          |                                                 | <i>Lodoicea maldivica</i> (III Seychelles) #13 | Coco de Mer                    |

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|                |                                |                                    |                                                             |                                         |
|----------------|--------------------------------|------------------------------------|-------------------------------------------------------------|-----------------------------------------|
|                |                                | <i>Marojejya darianii</i> (II)     |                                                             | Ravimbe                                 |
|                |                                | <i>Ravenea louvelii</i> (II)       |                                                             | Lakamarefo                              |
|                |                                | <i>Ravenea rivularis</i> (II)      |                                                             | Gora                                    |
|                |                                | <i>Satranala decussilvae</i> (II)  |                                                             | Satranabe                               |
|                |                                | <i>Voanioala gerardii</i> (II)     |                                                             | Voanioala                               |
| PAPAVERACEAE   |                                |                                    |                                                             | <b>Poppies</b>                          |
|                |                                |                                    | <i>Meconopsis regia</i> (III Nepal) #1                      | Himalayan poppy                         |
| PASSIFLORACEAE |                                |                                    |                                                             |                                         |
|                |                                | <i>Adenia firingalavensis</i> (II) |                                                             | Bottle liana                            |
|                |                                | <i>Adenia olaboensis</i> (II)      |                                                             | Vahisasety                              |
|                |                                |                                    | [ <sup>F306</sup> <i>Adenia spinosa</i> (III South Africa)] | Spiny green stem/(spiny) elephant foot] |
|                |                                | <i>Adenia subsessilifolia</i> (II) |                                                             | Katakata                                |
| PEDALIACEAE    |                                |                                    |                                                             | <b>Pedaliium family</b>                 |
|                |                                | <i>Uncarina grandidieri</i> (II)   |                                                             | Uncarina                                |
|                |                                | <i>Uncarina stellulifera</i> (II)  |                                                             | Uncarina                                |
| PINACEAE       |                                |                                    |                                                             | <b>Pine family</b>                      |
|                | <i>Abies guatemalensis</i> (I) |                                    |                                                             | Guatemalan fir                          |
|                |                                |                                    | <i>Pinus koraiensis</i> (III Russian Federation) #5         |                                         |

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|               |                                   |                                                                           |                                                                   |                                |
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| PODOCARPACEAE |                                   |                                                                           |                                                                   | <b>Podocarps</b>               |
|               |                                   |                                                                           | <i>Podocarpus neriifolius</i> (III Nepal) #1                      | Yellow wood                    |
|               | <i>Podocarpus parlatoresi</i> (I) |                                                                           |                                                                   | Parlatore's podocarp           |
| PORTULACACEAE |                                   |                                                                           |                                                                   | <b>Portulacas, purslanes</b>   |
|               |                                   | <i>Anacampseros</i> spp. (II) #4                                          |                                                                   | Purslanes                      |
|               |                                   | <i>Avonia</i> spp. (II) #4                                                |                                                                   |                                |
|               |                                   | <i>Lewisia serrata</i> (II) #4                                            |                                                                   | Saw-toothed lewisia            |
|               |                                   |                                                                           | [ <sup>F307</sup> <i>Portulacaria pygmaea</i> (III South Africa)] | Pygmy porkbush, dwergspekboom] |
| PRIMULACEAE   |                                   |                                                                           |                                                                   | <b>Primulas, cyclamens</b>     |
|               |                                   | <i>Cyclamen</i> spp. (II) <sup>j</sup> #4                                 |                                                                   | Cyclamens                      |
| RANUNCULACEAE |                                   |                                                                           |                                                                   | <b>Buttercups</b>              |
|               |                                   | <i>Adonis vernalis</i> (II) #2                                            |                                                                   | Yellow adonis                  |
|               |                                   | <i>Hydrastis canadensis</i> (II) #8                                       |                                                                   | Golden seal                    |
| ROSACEAE      |                                   |                                                                           |                                                                   | <b>Roses, cherries</b>         |
|               |                                   | <i>Prunus africana</i> (II) #4                                            |                                                                   | African cherry                 |
| RUBIACEAE     |                                   |                                                                           |                                                                   | Ayugue                         |
|               | <i>Balmea stormiae</i> (I)        |                                                                           |                                                                   | Ayugue                         |
| SANTALACEAE   |                                   |                                                                           |                                                                   |                                |
|               |                                   | <i>Osyris lanceolata</i> (II) (Only the populations of Burundi, Ethiopia, |                                                                   | East African sandalwood        |

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|                  |                                                                | Kenya,<br>Rwanda,<br>Uganda and<br>the United<br>Republic of<br>Tanzania;<br>no other<br>population is<br>included in the<br>Annexes) #2 |  |                                       |
| SARRACENIACEAE   |                                                                |                                                                                                                                          |  | <b>Pitcher plants<br/>(new world)</b> |
|                  |                                                                | <i>Sarracenia</i><br>spp. (II)<br>(Except for<br>the species<br>included in<br>Annex A) #4                                               |  | Pitcher plants                        |
|                  | <i>Sarracenia<br/>oreophila</i> (I)                            |                                                                                                                                          |  | Green pitcher<br>plant                |
|                  | <i>Sarracenia<br/>rubra</i> ssp .<br><i>alabamensis</i><br>(I) |                                                                                                                                          |  | Alabama<br>canebroke<br>pitcher plant |
|                  | <i>Sarracenia<br/>rubra</i> ssp .<br><i>jonesii</i> (I)        |                                                                                                                                          |  | Mountain<br>sweet pitcher<br>plant    |
| SCROPHULARIACEAE |                                                                |                                                                                                                                          |  | <b>Figworts</b>                       |
|                  |                                                                | <i>Picrorhiza<br/>kurrooa</i> (II)<br>(excludes<br><i>Picrorhiza<br/>scrophulariiflora</i><br>) #2                                       |  | Indian gentian                        |
| STANGERIACEAE    |                                                                |                                                                                                                                          |  | <b>Stangerias<br/>(cycads)</b>        |
|                  |                                                                | <i>Bowenia</i> spp.<br>(II) #4                                                                                                           |  | Cycads                                |
|                  | <i>Stangeria<br/>eriopus</i> (I)                               |                                                                                                                                          |  | Stangeria                             |
| TAXACEAE         |                                                                |                                                                                                                                          |  | <b>Yews</b>                           |
|                  |                                                                | <i>Taxus<br/>chinensis</i> and<br>infraspecific<br>taxa of this<br>species (II) #2                                                       |  | Chinese yew                           |

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|------------------------------------|--|------------------------------------------------------------------------------------|--------------------------------------------|------------------------|
|                                    |  | <i>Taxus cuspidata</i> and infraspecific taxa of this species (II) <sup>k</sup> #2 |                                            | Japanese yew           |
|                                    |  | <i>Taxus fuana</i> and infraspecific taxa of this species (II) #2                  |                                            | Tibetan yew            |
|                                    |  | <i>Taxus sumatrana</i> and infraspecific taxa of this species (II) #2              |                                            | Sumatran yew           |
|                                    |  | <i>Taxus wallichiana</i> (II) #2                                                   |                                            | Himalayan yew          |
| THYMELAEACEAE (AQUILARIACEAE)      |  |                                                                                    |                                            | <b>Agarwood, ramin</b> |
|                                    |  | <i>Aquilaria</i> spp. (II) #14                                                     |                                            | Agarwood               |
|                                    |  | <i>Gonystylus</i> spp. (II) #4                                                     |                                            | Ramin                  |
|                                    |  | <i>Gyrinops</i> spp. (II) #14                                                      |                                            | Agarwood               |
| TROCHODENDRACEAE (TETRACENTRACEAE) |  |                                                                                    |                                            | <b>Tetracentrons</b>   |
|                                    |  |                                                                                    | <i>Tetracentron sinense</i> (III Nepal) #1 |                        |
| VALERIANACEAE                      |  |                                                                                    |                                            | <b>Valerians</b>       |
|                                    |  | <i>Nardostachys grandiflora</i> (II) #2                                            |                                            |                        |
| VITACEAE                           |  |                                                                                    |                                            |                        |
|                                    |  | <i>Cyphostemma elephantopus</i> (II)                                               |                                            | Lazampasika            |
|                                    |  | <i>Cyphostemma laza</i> (II)                                                       |                                            | Laza                   |

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|                 |                                | <i>Cyphostemma montagnacii</i> (II)                                                                    |  | Lazambohitra              |
| WELWITSCHIACEAE |                                |                                                                                                        |  | <b>Welwitschias</b>       |
|                 |                                | <i>Welwitschia mirabilis</i> (II) #4                                                                   |  | Welwitschia               |
| ZAMIACEAE       |                                |                                                                                                        |  | <b>Cycads</b>             |
|                 |                                | ZAMIACEAE spp. (II) (Except for the species included in Annex A) #4                                    |  | Cycads                    |
|                 | <i>Ceratozamia</i> spp. (I)    |                                                                                                        |  | Horncones                 |
|                 | <i>Encephalartos</i> spp. (I)  |                                                                                                        |  | Bread palms               |
|                 | <i>Microcycas calocoma</i> (I) |                                                                                                        |  | Palm corcho               |
|                 | <i>Zamia restrepoi</i> (I)     |                                                                                                        |  |                           |
| ZINGIBERACEAE   |                                |                                                                                                        |  | <b>Ginger lilies</b>      |
|                 |                                | <i>Hedychium philippinense</i> (II) #4                                                                 |  | Philippine garland-flower |
|                 |                                | <i>Siphonochilus aethiopicus</i> (II) (Populations of Mozambique, Eswatini, South Africa and Zimbabwe) |  | Natal ginger              |
| ZYGOPHYLLACEAE  |                                |                                                                                                        |  | <b>Lignum-vitae</b>       |
|                 |                                | <i>Bulnesia sarmientoi</i> (II) #11                                                                    |  | Holy wood                 |
|                 |                                | <i>Guaiacum</i> spp. (II) #2                                                                           |  | Lignum-vitae              |

**a** This taxon is referred to as *Ovis ammon* in Annex XIII to Commission Regulation (EC) No 865/2006.

**b** <sup>[N2]</sup>For the exclusive purpose of allowing international trade in fibre from vicuñas ( *Vicugna vicugna* ) and their derivative products, only if the fibre comes from the shearing of live vicuñas. Trade in products derived from the fibre may only take place in accordance with the following provisions:



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- (a) Any person or entity processing vicuña fibre to manufacture cloth and garments must request authorization from the relevant authorities of the country of origin [Countries of origin: The countries where the species occurs, that is, Argentina, Bolivia, Chile, Ecuador and Peru] to use the ' vicuña country of origin ' wording, mark or logo adopted by the range States of the species that are signatories to the Convention for the Conservation and Management of the Vicuña.
  - (b) Marketed cloth or garments must be marked or identified in accordance with the following provisions:
    - (i) For international trade in cloth made from live-sheared vicuña fibre, whether the cloth was produced within or outside of the range States of the species, the wording, mark or logo must be used so that the country of origin can be identified. The VICUÑA [COUNTRY OF ORIGIN] wording, mark or logo has the format as detailed below:  
This wording, mark or logo must appear on the reverse side of the cloth. In addition, the selvages of the cloth must bear the words VICUÑA [COUNTRY OF ORIGIN].
    - (ii) For international trade in garments made from live-sheared vicuña fibre, whether the garments were produced within or outside of the range States of the species, the wording, mark or logo indicated in paragraph (b)(i) must be used. This wording, mark or logo must appear on a label in the garment itself. If the garments are produced outside of the country of origin, the name of the country where the garment was produced should also be indicated, in addition to the wording, mark or logo referred to in paragraph (b)(i).
  - (c) For international trade in handicraft products made from live-sheared vicuña fibre produced within the range States of the species, the VICUÑA [COUNTRY OF ORIGIN] - ARTESANÍA wording, mark or logo must be used as detailed below:
  - (d) If live-sheared vicuña fibre from various countries of origin is used for the production of cloth and garments, the wording, mark or logo of each of the countries of origin of the fibre must be indicated, as detailed in paragraphs (b)(i) and (ii).
  - (e) All other specimens shall be deemed to be specimens of species listed in Appendix I and the trade in them shall be regulated accordingly.]
- 
- c** All species are listed in Appendix II to the Convention except *Balaena mysticetus*, *Eubalaena* spp., *Balaenoptera acutorostrata* (except population of West Greenland), *Balaenoptera bonaerensis*, *Balaenoptera borealis*, *Balaenoptera edeni*, *Balaenoptera musculus*, *Balaenoptera omurai*, *Balaenoptera physalus*, *Megaptera novaeangliae*, *Orcaella brevirostris*, *Orcaella heinsohni*, *Sotalia* spp., *Sousa* spp., *Eschrichtius robustus*, *Lipotes vexillifer*, *Caperea marginata*, *Neophocaena asiaeorientalis*, *Neophocaena phocaenoides*, *Phocoena sinus*, *Physeter macrocephalus*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.
- 
- d** Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B):  
For the exclusive purpose of allowing: (a) trade in hunting trophies for non-commercial purposes; (b) trade in live animals to appropriate and acceptable destinations as defined in Resolution Conf. 11.20 (Rev. CoP18) for Botswana and Zimbabwe and for *in situ* conservation programmes for Namibia and South Africa; (c) trade in hides; (d) trade in hair; (e) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; (f) trade in individually marked and certified Ekipas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non-commercial purposes for Zimbabwe; (g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: (i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); (ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoP18) concerning domestic manufacturing and trade; (iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; (iv) raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at CoP12 which are 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa); (v) in addition to the quantities agreed at CoP12, government-owned ivory from Botswana, Namibia, South Africa and Zimbabwe registered by 31 January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in paragraph (g)(iv) above in a single sale per destination under strict supervision of the Secretariat; (vi) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; and (vii) the additional quantities specified in paragraph (g)(v) above shall be traded only after the Standing Committee has agreed that the above conditions have been met; (h) no further proposals to allow trade in elephant ivory from populations already in Annex B shall be submitted to the Conference of the Parties for the period from CoP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraphs (g)(i), (g)(ii), (g)(iii), (g)(vi), and (g)(vii). In addition, such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78 (Rev. CoP15). On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
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- e** The following are not subject to the provisions of this Regulation:
- Fossils;
  - Coral sand, that is to say, material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter, not identifiable to the level of genus, and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae;
  - Coral fragments (including gravel and rubble), that is to say, unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm measured in any direction, not identifiable to the level of genus.
- f** Trade of specimens with source code A is allowed only if specimens traded possess cataphylls.
- g** Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:
- Hatiora* x *graeseri*
  - Schlumbergera* x *buckleyi*
  - Schlumbergera russelliana* x *Schlumbergera truncata*
  - Schlumbergera orssichiana* x *Schlumbergera truncata*
  - Schlumbergera opuntoides* x *Schlumbergera truncata*
  - Schlumbergera truncata* (cultivars)
  - Cactaceae spp. colour mutants grafted on the following grafting stocks: *Harrisia* ‘Jusbertii’, *Hylocereus trigonus* or *Hylocereus undatus*
  - Opuntia microdasys* (cultivars)
- h** Artificially propagated hybrids of *Cymbidium*, *Dendrobium*, *Phalaenopsis* and *Vanda* are not subject to the provisions of this Regulation, when specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
- (a) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
  - (b) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification.
- Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.
- i** Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein ( *OJ L 166, 19.6.2006, p. 1* ).
- j** Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.
- k** Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text ‘artificially propagated’, are not subject to the provisions of this Regulation.

|                             | Annex D                                          | Common name                |
|-----------------------------|--------------------------------------------------|----------------------------|
| <b>FAUNA</b>                |                                                  |                            |
| <b>CHORDATA (CHORDATES)</b> |                                                  |                            |
| <b>MAMMALIA</b>             |                                                  | <b>Mammals</b>             |
| <b>CARNIVORA</b>            |                                                  |                            |
| <b>Canidae</b>              |                                                  | <b>Dogs, foxes, wolves</b> |
|                             | <i>Vulpes vulpes griffithi</i><br>(III India) §1 | Red fox                    |
|                             | <i>Vulpes vulpes montana</i><br>(III India) §1   | Red fox                    |

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|                      |                                                 |                                       |
|----------------------|-------------------------------------------------|---------------------------------------|
|                      | <i>Vulpes vulpes pusilla</i> (III India) §1     | Red fox                               |
| <b>Mustelidae</b>    |                                                 | <b>Badgers, martens, weasels etc.</b> |
|                      | <i>Mustela altaica</i> (III India) §1           | Mountain weasel                       |
|                      | <i>Mustela erminea ferghanae</i> (III India) §1 | Stoat                                 |
|                      | <i>Mustela kathiah</i> (III India) §1           | Yellow-bellied weasel                 |
|                      | <i>Mustela sibirica</i> (III India) §1          | Siberian weasel                       |
| <b>DIPROTODONTIA</b> |                                                 |                                       |
| <b>Macropodidae</b>  |                                                 | <b>Kangaroos, wallabies</b>           |
|                      | <i>Dendrolagus dorianus</i>                     | Doria's tree-kangaroo                 |
|                      | <i>Dendrolagus goodfellowi</i>                  | Goodfellow's tree-kangaroo            |
|                      | <i>Dendrolagus matschiei</i>                    | Huon tree-kangaroo                    |
|                      | <i>Dendrolagus pulcherrimus</i>                 | Golden-mantled tree-kangaroo          |
|                      | <i>Dendrolagus stellarum</i>                    | Seri's tree-kangaroo                  |
| <b>AVES</b>          |                                                 | <b>Birds</b>                          |
| <b>ANSERIFORMES</b>  |                                                 |                                       |
| <b>Anatidae</b>      |                                                 | <b>Ducks, geese, swans</b>            |
|                      | <i>Anas melleri</i>                             | Meller's duck                         |
| <b>COLUMBIFORMES</b> |                                                 |                                       |
| <b>Columbidae</b>    |                                                 | <b>Doves, pigeons</b>                 |
|                      | <i>Columba oenops</i>                           | Peruvian pigeon                       |
|                      | <i>Didunculus strigirostris</i>                 | Tooth-billed pigeon                   |
|                      | <i>Ducula pickeringii</i>                       | Grey imperial-pigeon                  |
|                      | <i>Gallicolumba crinigera</i>                   | Mindanao bleeding-heart               |
|                      | <i>Ptilinopus marcheii</i>                      | Flame-breasted fruit-dove             |
|                      | <i>Turacoena modesta</i>                        | Black cuckoo-dove                     |
| <b>GALLIFORMES</b>   |                                                 |                                       |
| <b>Cracidae</b>      |                                                 | <b>Chachalacas, curassows, guans</b>  |
|                      | <i>Crax alector</i>                             | Black curassow                        |

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|                      |                                                                                |                                                             |
|----------------------|--------------------------------------------------------------------------------|-------------------------------------------------------------|
|                      | <i>Pauxi unicornis</i>                                                         | Horned curassow                                             |
|                      | <i>Penelope pileata</i>                                                        | White-crested guan                                          |
| <b>Megapodiidae</b>  |                                                                                | <b>Megapodes, scrubfowl</b>                                 |
|                      | <i>Eulipoa wallacei</i>                                                        | Moluccan scrubfowl                                          |
| <b>Phasianidae</b>   |                                                                                | <b>Grouse, guineafowl, partridges, pheasants, tragopans</b> |
|                      | <i>Arborophila gingica</i>                                                     | White-necklaced partridge                                   |
|                      | <i>Lophura bulweri</i>                                                         | Bulwer's pheasant                                           |
|                      | <i>Lophura diardi</i>                                                          | Siamese fireback                                            |
|                      | <i>Lophura inornata</i>                                                        | Salvadori's pheasant                                        |
| PASSERIFORMES        |                                                                                |                                                             |
| <b>Bombycillidae</b> |                                                                                | <b>Waxwings</b>                                             |
|                      | <i>Bombycilla japonica</i>                                                     | Japanese waxwing                                            |
| <b>Corvidae</b>      |                                                                                | <b>Crows, magpies, jays</b>                                 |
|                      | <i>Cyanocorax caeruleus</i>                                                    | Azure jay                                                   |
|                      | <i>Cyanocorax dickeyi</i>                                                      | Tufted jay                                                  |
| <b>Cotingidae</b>    |                                                                                | <b>Cotingas</b>                                             |
|                      | <i>Procnias nudicollis</i>                                                     | Bare-throated bellbird                                      |
| <b>Emberizidae</b>   |                                                                                | <b>Cardinals, seedeaters, tanagers</b>                      |
|                      | <i>Dacnis nigripes</i>                                                         | Black-legged dacnis                                         |
|                      | <i>Sporophila falcirostris</i>                                                 | Temminck's seedeater                                        |
|                      | <i>Sporophila frontalis</i>                                                    | Buffy-throated seedeater                                    |
|                      | <i>Sporophila hypochroma</i>                                                   | Grey-and-chestnut seedeater                                 |
|                      | <i>Sporophila palustris</i>                                                    | Marsh seedeater                                             |
| <b>Estrildidae</b>   |                                                                                | <b>Mannikins, waxbills</b>                                  |
|                      | <i>Amandava amandava</i>                                                       | Red avadavat                                                |
|                      | <i>Cryptospiza reichenovii</i>                                                 | Red-faced crimson-wing                                      |
|                      | <i>Erythrura coloria</i>                                                       | Red-eared parrotfinch                                       |
|                      | <i>Erythrura viridifacies</i>                                                  | Green-faced parrotfinch                                     |
|                      | <i>Estrilda quartinia</i><br>(Frequently traded as <i>Estrilda melanotis</i> ) | Yellow-bellied waxbill                                      |
|                      | <i>Hypargos niveoguttatus</i>                                                  | Peters's twinspot                                           |

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|                     |                                                                                                 |                                        |
|---------------------|-------------------------------------------------------------------------------------------------|----------------------------------------|
|                     | <i>Lonchura griseicapilla</i>                                                                   | Grey-headed silverbill                 |
|                     | <i>Lonchura punctulata</i>                                                                      | Scaly-breasted munia                   |
|                     | <i>Lonchura stygia</i>                                                                          | Black munia                            |
| <b>Fringillidae</b> |                                                                                                 | <b>Finches</b>                         |
|                     | <i>Carduelis ambigua</i>                                                                        | Black-headed greenfinch                |
|                     | <i>Carduelis atrata</i>                                                                         | Black siskin                           |
|                     | <i>Kozlowia roborowskii</i>                                                                     | Tibetan rosefinch                      |
|                     | <i>Pyrrhula erythaca</i>                                                                        | Grey-headed bullfinch                  |
|                     | <i>Serinus canicollis</i>                                                                       | Cape canary                            |
|                     | <i>Serinus citrinelloides hypostictus</i> (Frequently traded as <i>Serinus citrinelloides</i> ) | East African citril                    |
| <b>Icteridae</b>    |                                                                                                 | <b>New-world blackbirds</b>            |
|                     | <i>Sturnella militaris</i>                                                                      | Pampas meadowlark                      |
| <b>Muscicapidae</b> |                                                                                                 | <b>Old-world flycatchers, thrushes</b> |
|                     | <i>Cochoa azurea</i>                                                                            | Javan cochoa                           |
|                     | <i>Cochoa purpurea</i>                                                                          | Purple cochoa                          |
|                     | <i>Garrulax formosus</i>                                                                        | Red-winged laughingthrush              |
|                     | <i>Garrulax galbanus</i>                                                                        | Yellow-throated laughingthrush         |
|                     | <i>Garrulax milnei</i>                                                                          | Red-tailed laughing thrush             |
|                     | <i>Niltava davidi</i>                                                                           | Fujian niltava                         |
|                     | <i>Stachyris whiteheadi</i>                                                                     | Chestnut-faced babbler                 |
|                     | <i>Swynnertonia swynnertoni</i> (Also referenced as <i>Pogonicichla swynnertoni</i> )           | Swynnerton's robin                     |
|                     | <i>Turdus dissimilis</i>                                                                        | Black-breasted thrush                  |
| <b>Pittidae</b>     |                                                                                                 | <b>Pittas</b>                          |
|                     | <i>Pitta nipalensis</i>                                                                         | Blue-naped pitta                       |
|                     | <i>Pitta steerii</i>                                                                            | Azure-breasted pitta                   |
| <b>Sittidae</b>     |                                                                                                 | <b>Nuthatches</b>                      |
|                     | <i>Sitta magna</i>                                                                              | Giant nuthatch                         |

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|                       |                                  |                                                  |
|-----------------------|----------------------------------|--------------------------------------------------|
|                       | <i>Sitta yunnanensis</i>         | Yunnan nuthatch                                  |
| <b>Sturnidae</b>      |                                  | <b>Mynas, starlings</b>                          |
|                       | <i>Lamprotornis regius</i>       | Golden-breasted starling                         |
|                       | <i>Mino dumontii</i>             | Yellow-faced myna                                |
|                       | <i>Sturnus erythropygius</i>     | White-headed starling                            |
| <b>REPTILIA</b>       |                                  | <b>Reptiles</b>                                  |
| <b>SAURIA</b>         |                                  |                                                  |
| F308                  |                                  |                                                  |
| . . .                 |                                  |                                                  |
|                       | F308                             | F308                                             |
|                       | . . .                            | . . .                                            |
| <b>Gekkonidae</b>     |                                  | <b>Geckos</b>                                    |
|                       | <i>Rhacodactylus auriculatus</i> | New Caledonia bumpy gecko                        |
|                       | <i>Rhacodactylus ciliatus</i>    | Guichenot's giant gecko                          |
|                       | <i>Rhacodactylus leachianus</i>  | New Caledonia giant gecko                        |
|                       | <i>Teratoscincus microlepis</i>  | Small-scaled wonder gecko                        |
|                       | <i>Teratoscincus scincus</i>     | Common wonder gecko                              |
| <b>Gerrhosauridae</b> |                                  | <b>Spiny-tailed lizards</b>                      |
|                       | <i>Zonosaurus karsteni</i>       | Karsten's girdled lizard                         |
|                       | <i>Zonosaurus quadrilineatus</i> | Four-lined girdled lizard                        |
| <b>Scincidae</b>      |                                  | <b>Skinks</b>                                    |
|                       | <i>Tribolonotus gracilis</i>     | Crocodile skink                                  |
|                       | <i>Tribolonotus novaeguineae</i> | New Guinea helmet skink                          |
| <b>SERPENTES</b>      |                                  |                                                  |
| <b>Colubridae</b>     |                                  | <b>Typical snakes, water snakes, whip snakes</b> |
|                       | <i>Elaphe carinata</i> §1        | Taiwan stink snake                               |
|                       | <i>Elaphe radiata</i> §1         | Radiated rat snake                               |
|                       | <i>Elaphe taeniura</i> §1        | Taiwan beauty snake                              |
|                       | <i>Enhydrys bocourti</i> §1      | Bocourt's water snake                            |
|                       | <i>Homalopsis buccata</i> §1     | Masked water snake                               |
|                       | <i>Langaha nasuta</i>            | Northern leafnose snake                          |

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|                        |                                                                |                                                                      |
|------------------------|----------------------------------------------------------------|----------------------------------------------------------------------|
|                        | <i>Leioheterodon madagascariensis</i>                          | Madagascar menarana snake                                            |
|                        | <i>Ptyas korros</i> §1                                         | Indochinese rat snake                                                |
|                        | <i>Rhabdophis subminiatus</i> §1                               | Redneck keelback                                                     |
| <b>Hydrophiidae</b>    |                                                                | <b>Sea snakes</b>                                                    |
|                        | <i>Lapemis curtus</i> (Includes <i>Lapemis hardwickii</i> ) §1 | Shaw's sea snake                                                     |
| <b>Viperidae</b>       |                                                                | <b>Vipers</b>                                                        |
|                        | <i>Calloselasma rhodostoma</i> §1                              | Malayan pit viper                                                    |
| <b>AMPHIBIA</b>        |                                                                |                                                                      |
| ANURA                  |                                                                | Frogs and toads                                                      |
| <b>Dicroglossidae</b>  |                                                                | <b>Frogs</b>                                                         |
|                        | <i>Limnonectes macrodon</i>                                    | Fanged River Frog or Javan Giant Frog                                |
| <b>Hylidae</b>         |                                                                | <b>Tree frogs</b>                                                    |
|                        | <i>Phyllomedusa sauvagii</i>                                   | Waxy monkey tree frog                                                |
| <b>Leptodactylidae</b> |                                                                | <b>Neotropical frogs</b>                                             |
|                        | <i>Leptodactylus laticeps</i>                                  | Red spotted burrow frog                                              |
| <b>Ranidae</b>         |                                                                | <b>Frogs</b>                                                         |
|                        | <i>Pelophylax shqipERICA</i>                                   | Albanian pool frog                                                   |
| CAUDATA                |                                                                |                                                                      |
| <b>Hynobiidae</b>      |                                                                | <b>Asiatic salamanders</b>                                           |
|                        | <i>Ranodon sibiricus</i>                                       | Semirechensk salamander/Central Asian salamander/Siberian salamander |
| <b>Plethodontidae</b>  |                                                                | <b>Lungless salamanders</b>                                          |
|                        | <i>Bolitoglossa dofleini</i>                                   | Giant palm salamander                                                |
| <b>Salamandridae</b>   |                                                                | <b>Newts and salamanders</b>                                         |
|                        | <i>Cynops ensicauda</i>                                        | Sword-tailed newt                                                    |
|                        | <i>Echinotriton andersoni</i>                                  | Anderson's salamander                                                |
|                        | F309<br>...                                                    | F309<br>...                                                          |
|                        | <i>Liangshantriton taliangensis</i>                            |                                                                      |

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|                                |                                                  |                                              |
|--------------------------------|--------------------------------------------------|----------------------------------------------|
| <b>ACTINOPTERYGII</b>          |                                                  | <b>Fish</b>                                  |
| PERCIFORMES                    |                                                  |                                              |
| <b>Apogonidae</b>              |                                                  |                                              |
|                                | <i>Pterapogon kauderni</i>                       | Banggai cardinalfish                         |
| <b>ARTHROPODA (ARTHROPODS)</b> |                                                  |                                              |
| <b>INSECTA</b>                 |                                                  | <b>Insects</b>                               |
| LEPIDOPTERA                    |                                                  | Butterflies                                  |
| <b>Papilionidae</b>            |                                                  | <b>Birdwing and swallow-tail butterflies</b> |
|                                | <i>Baronia brevicornis</i>                       | Short-horned baronia                         |
|                                | <i>Papilio groseSmithi</i>                       |                                              |
|                                | <i>Papilio maraho</i>                            | Broad-tailed swallowtail                     |
| <b>MOLLUSCA (MOLLUSCS)</b>     |                                                  |                                              |
| <b>GASTROPODA</b>              |                                                  |                                              |
| <b>Haliotidae</b>              |                                                  |                                              |
|                                | <i>Haliotis midae</i>                            | Midas ear abalone                            |
| <b>FLORA</b>                   |                                                  |                                              |
| <b>AGAVACEAE</b>               |                                                  | <b>Agaves</b>                                |
|                                | <i>Calibanus hookeri</i>                         |                                              |
|                                | <i>Dasyllirion longissimum</i>                   | Beargrass                                    |
| <b>ARACEAE</b>                 |                                                  | <b>Arums</b>                                 |
|                                | <i>Arisaema dracontium</i>                       | Green dragon                                 |
|                                | <i>Arisaema erubescens</i>                       |                                              |
|                                | <i>Arisaema galeatum</i>                         |                                              |
|                                | <i>Arisaema nepenthoides</i>                     |                                              |
|                                | <i>Arisaema sikokianum</i>                       |                                              |
|                                | <i>Arisaema thunbergii</i> var . <i>urashima</i> |                                              |
|                                | <i>Arisaema tortuosum</i>                        |                                              |
|                                | <i>Biarum davisii</i> ssp . <i>marmarisense</i>  |                                              |
|                                | <i>Biarum ditschianum</i>                        |                                              |
| <b>COMPOSITAE (ASTERACEAE)</b> |                                                  | <b>Asters, daisies, costus</b>               |
|                                | <i>Arnica montana</i> §3                         | Mountain tobacco                             |



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|                |                                   |                                      |
|----------------|-----------------------------------|--------------------------------------|
|                | <i>Othonna cacalioides</i>        |                                      |
|                | <i>Othonna clavifolia</i>         |                                      |
|                | <i>Othonna hallii</i>             |                                      |
|                | <i>Othonna herrei</i>             |                                      |
|                | <i>Othonna lepidocaulis</i>       |                                      |
|                | <i>Othonna retrorsa</i>           |                                      |
| ERICACEAE      |                                   | <b>Heathers, rhododendrons</b>       |
|                | <i>Arctostaphylos uva-ursi</i> §3 | Bearberry                            |
| GENTIANACEAE   |                                   | <b>Gentians</b>                      |
|                | <i>Gentiana lutea</i> §3          | Great yellow gentian                 |
| LILIACEAE      |                                   | <b>Wakerobins</b>                    |
|                | <i>Trillium pusillum</i>          | Dwarf wakerobin                      |
|                | <i>Trillium rugelii</i>           | Ill-scented wakerobin                |
|                | <i>Trillium sessile</i>           | Sessile-flowered wakerobin wood-lily |
| LYCOPODIACEAE  |                                   | <b>Clubmosses</b>                    |
|                | <i>Lycopodium clavatum</i> §3     | Stagshorn clubmoss                   |
| MELIACEAE      |                                   | <b>Mahoganies, cedars</b>            |
|                | F310<br>. . .                     |                                      |
|                | F310<br>. . .                     |                                      |
|                | F310<br>. . .                     |                                      |
|                | F310<br>. . .                     |                                      |
| MENYANTHACEAE  |                                   | <b>Bogbeans</b>                      |
|                | <i>Menyanthes trifoliata</i> §3   | Bogbean                              |
| PARMELIACEAE   |                                   | <b>Parmelioid lichens</b>            |
|                | <i>Cetraria islandica</i> §3      | Icelandic moss                       |
| PASSIFLORACEAE |                                   | <b>Desert roses</b>                  |
|                | <i>Adenia glauca</i>              | Desert rose                          |
|                | <i>Adenia pechuelli</i>           | Desert rose                          |
| PEDALIACEAE    |                                   | <b>Sesame, devil's claw</b>          |

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|                 |                                 |                                    |
|-----------------|---------------------------------|------------------------------------|
|                 | <i>Harpagophytum</i> spp. §3    | Devil's claw                       |
| PORTULACACEAE   |                                 | <b>Portulas, purslanes</b>         |
|                 | <i>Ceraria carrissoana</i>      |                                    |
|                 | <i>Ceraria fruticulosa</i>      |                                    |
| SELAGINELLACEAE |                                 | <b>Clubmosses,<br/>spikemosses</b> |
|                 | <i>Selaginella lepidophylla</i> | Rose of Jericho]]                  |

### Editorial Information

- X2** Substituted by Corrigendum to Commission Regulation (EU) 2019/2117 of 29 November 2019 amending Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (Official Journal of the European Union L 320 of 11 December 2019).

### Textual Amendments

- F199** Words in Annex table inserted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(1)**
- F200** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(2)**
- F201** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(3)(a)**
- F202** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(3)(b)**
- F203** Words in Annex table omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(4)(a)**
- F204** Words in Annex table inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(4)(b)**
- F205** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(5)**
- F206** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(6)**
- F207** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(7)(a)**
- F208** Words in Annex table omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(7)(b)**
- F209** Words in Annex table inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(8)(a)(i)**

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*Changes to legislation: There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)*

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- F210** Words in Annex table omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(8)(a)(ii)**
- F211** Words in Annex table inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(8)(a)(iii)**
- F212** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(8)(b)**
- F213** Words in Annex table inserted (22.6.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/645), regs. 1(2), **2(4)**
- F214** Words in Annex table inserted (22.6.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/645), regs. 1(2), **2(5)**
- F215** Words in Annex table inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(9)(a)(i)**
- F216** Words in Annex table inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(9)(a)(ii)**
- F217** Words in Annex table substituted (22.6.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/645), regs. 1(2), **2(6)**
- F218** Words in Annex table substituted (22.6.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/645), regs. 1(2), **2(7)**
- F219** Words in Annex table inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(9)(b)**
- F220** Words in Annex table inserted (22.6.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/645), regs. 1(2), **2(8)**
- F221** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(9)(c)**
- F222** Words in Annex table inserted (22.6.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/645), regs. 1(2), **2(9)**
- F223** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(10)**
- F224** Words in Annex table inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(11)(a)**
- F225** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(11)(b)**
- F226** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(11)(c)**

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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- F227** Words in Annex table substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(11)(d)**
- F228** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(12)(a)**
- F229** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(12)(b)**
- F230** Words in Annex table inserted (14.2.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2021 (S.I. 2021/54), regs. 1(2), **7**
- F231** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(a)(i)**
- F232** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(a)(ii)**
- F233** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(a)(iii)**
- F234** Words in Annex table substituted (14.2.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2021 (S.I. 2021/54), regs. 1(2), **5**
- F235** Words in Annex inserted (25.11.2024) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2024 (S.I. 2024/1093), regs. 1(1), **3(1)**
- F236** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(b)(i)**
- F237** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(b)(ii)**
- F238** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(b)(iii)**
- F239** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(b)(iv)**
- F240** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(b)(v)**
- F241** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(b)(vi)**
- F242** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(b)(vii)**
- F243** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(b)(viii)**
- F244** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(c)**

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*Changes to legislation: There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)*

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- F245** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(d)**
- F246** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(13)(e)**
- F247** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(14)(a)(i)**
- F248** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(14)(a)(ii)**
- F249** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(14)(a)(iii)**
- F250** Word in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(14)(b)(i)**
- F251** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(14)(b)(ii)**
- F252** Words in Annex inserted (25.11.2024) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2024 (S.I. 2024/1093), regs. 1(1), **3(2)**
- F253** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(14)(c)(i)**
- F254** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(14)(c)(ii)**
- F255** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(a)**
- F256** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(b)**
- F257** Words in Annex table inserted (22.6.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) (No. 2) Regulations 2021 (S.I. 2021/645), regs. 1(2), **2(10)**
- F258** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(c)**
- F259** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(d)(i)**
- F260** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(d)(ii)**
- F261** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(d)(iii)**
- F262** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(d)(iv)**

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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- F263** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(d)(v)**
- F264** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(e)**
- F265** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(f)(i)**
- F266** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(15)(f)(ii)**
- F267** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(16)(a)(ii)**
- F268** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(16)(a)(i)**
- F269** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(16)(b)**
- F270** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(16)(c)**
- F271** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(16)(d)**
- F272** Words in Annex table inserted (14.2.2021) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2021 (S.I. 2021/54), regs. 1(2), **6**
- F273** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(17)**
- F274** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(18)(a)**
- F275** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(18)(b)**
- F276** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(19)(a)**
- F277** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(19)(b)(i)**
- F278** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(19)(b)(ii)**
- F279** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(19)(b)(iii)**
- F280** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(19)(b)(iv)**



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*Changes to legislation: There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)*

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- F281** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(20)**
- F282** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(21)**
- F283** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(22)**
- F284** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(23)**
- F285** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(24)**
- F286** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(25)(a)**
- F287** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(25)(b)**
- F288** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **5(25)(c)**
- F289** Words in Annex inserted (25.11.2024) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2024 (S.I. 2024/1093), regs. 1(1), **3(3)**
- F290** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(1)**
- F291** Words in Annex inserted (25.11.2024) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2024 (S.I. 2024/1093), regs. 1(1), **4(1)**
- F292** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(2)**
- F293** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(3)**
- F294** Words in Annex omitted (25.11.2024) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2024 (S.I. 2024/1093), regs. 1(1), **4(2)(a)**
- F295** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(4)**
- F296** Words in Annex inserted (25.11.2024) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2024 (S.I. 2024/1093), regs. 1(1), **4(2)(b)**
- F297** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(5)**
- F298** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(6)**
- F299** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(7)**
- F300** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(8)(a)**
- F301** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(8)(b)**
- F302** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(8)(c)**

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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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- F303** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(8)(d)**
- F304** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(9)**
- F305** Words in Annex substituted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(10)**
- F306** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(11)**
- F307** Words in Annex inserted (23.2.2023) by The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **6(12)**
- F308** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **7(2)(a)**
- F309** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **7(2)(b)**
- F310** Words in Annex omitted (23.2.2023) by virtue of The Trade in Endangered Species of Wild Fauna and Flora (Council Regulation (EC) No 338/97) (Amendment) Regulations 2023 (S.I. 2023/106), regs. 1(2), **7(3)**



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**Changes to legislation:** There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97. (See end of Document for details)

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- (1) [OJ No C 26, 3.2.1992, p. 1](#), and [OJ No C 131, 12.5.1994, p. 1](#).
- (2) [OJ No C 223, 31.8.1992, p. 19](#).
- (3) Opinion of the European Parliament of 15 December 1995 ([OJ No C 17, 22.1.1996, p. 430](#)). Common position of the Council of 26 February 1996 ([OJ No C 196, 6.7.1996, p. 58](#)) and Decision of the European Parliament of 18 September 1996 ([OJ No C 320, 28. 10. 1996](#)).
- (4) [OJ No L 384, 31.12.1982, p. 1](#). Regulation as last amended by Commission Regulation (EC) No 558/95 ([OJ No L 57, 15.3.1995, p. 1](#)).
- (5) [OJ No L 302, 19.10.1992, p. 1](#). Regulation as last amended by the 1994 Act of Accession.
- (6) [<sup>F185</sup>Directive 2009/147/EC of the European Parliament and of the Council of 30 November 2009 on the conservation of wild birds ( [OJ L 20, 26.1.2010, p. 7](#) ).]
- (7) [<sup>F185</sup>Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora ( [OJ L 206, 22.7.1992, p. 7](#) ).]

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#### Textual Amendments

**F185** Substituted by [Commission Regulation \(EU\) 2019/2117 of 29 November 2019 amending Council Regulation \(EC\) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein](#).

**Changes to legislation:**

There are currently no known outstanding effects by UK legislation for Council Regulation (EC) No 338/97.