

**CMM 2023-03**  
*(Entered into force 26 July 2023)*

## **CONSERVATION AND MANAGEMENT MEASURE ON TRANSSHIPMENTS**

*The North Pacific Fisheries Commission (NPFC),*

*Deeply concerned* about the negative impacts of illegal, unreported, and unregulated (IUU) fishing and its detrimental effect upon fish stocks, marine ecosystems, and the livelihoods of legitimate fishers, and the increasing need for food security on a global basis;

*Aware of* the need to conduct transshipments of fisheries resources and products of fisheries resources taken in the Convention Area;

*Recognizing* that while transshipment is an important global commercial fishing practice, if not adequately managed, it may increase IUU fishing of NPFC fisheries resources in the North Pacific Ocean;

*Acknowledging* that effective conservation and management of NPFC fisheries resources is dependent on accurate, timely, and shared reporting of catches;

*Recognizing* that effective monitoring, control, and surveillance activities in the high seas require access to information about transshipments and other transfer activities before they occur;

*Noting* Article 7(2)(a) of the Convention which states that the Commission shall establish procedures for the regulation and monitoring of transshipment of fisheries resources and products of fisheries resources taken in the Convention Area, including notification to the Commission of the location and quantity of any transshipment; and

*Desiring* to establish the necessary rules and procedures to monitor, report, and verify transshipments to support monitoring, control, and surveillance activities, enhance science and compliance efforts, and fulfill the objective of the Convention;

*Adopts the following:*

## Definitions

1. This measure shall be interpreted, unless a contrary intention appears, in accordance with the Convention.<sup>1</sup>
2. The following definitions apply:
  - a) “landing” means all transfers of any quantity of fish onboard from a vessel, other than a transshipment, including transfers of fish to a port facility, transfers of fish from one vessel to another through a port facility, or other means of transportation, and transfers of fish from a vessel to a container, truck, train, or another means of transportation;
  - b) “other transfer activity” means a transfer of fuel, gear, materials, or other supplies, or a transfer of at least one person, from one fishing vessel to another fishing vessel in the Convention Area;
  - c) “port” means any harbour, marine terminal, shore-side facility, or other shore-side place used for landing, loading and unloading, transshipping, packaging, or processing of fisheries resources and products thereof or the refuelling or resupplying of fishing vessels in waters of national jurisdiction;
  - d) “product of fisheries resources” means any article that is produced from or composed of, in whole or in part, any fisheries resource; and
  - e) “trip” means a voyage commencing at the time a fishing vessel leaves a port to engage in a fishing activity and terminates at the time the fishing vessel enters a port.

## Scope

3. This measure applies to:
  - a) any transshipment, either at sea or in port, of any NPFC fisheries resources, or product thereof, taken in the Convention Area, except those that have been previously landed;
  - b) any transshipment that occurs in the Convention Area involving a fishing vessel included in the NPFC Vessel Registry; or,
  - c) any other transfer activity in the Convention Area involving a fishing vessel intending to engage in, or having engaged in, a fishing activity in the Convention Area.

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<sup>1</sup> For this measure, an auxiliary tender boat is regarded as part of its parent receiving vessel under the following circumstances: it is used to transport unprocessed fish from the offloading vessel to the parent receiving vessel; it is loaded onboard the parent receiving vessel on navigation; it operates in the line of sight of the parent receiving vessel; and it is dependent on the parent receiving vessel for transportation to the Convention Area.

## **Fishing Vessels Authorized to Engage in Transshipments**

### *Rules for Engaging in Transshipments*

4. A fishing vessel shall only engage in a transshipment, or other transfer activity in the Convention Area, if both the offloading and receiving vessel are duly authorized by its Flag State and included in the NPFC Vessel Registry.
5. A fishing vessel is prohibited from operating as both an offloading vessel and a receiving vessel in the same trip.

### *Authorization from Relevant Coastal or Port State*

6. If a fishing vessel intends to engage in a transshipment in an area under national jurisdiction, including a port, the fishing vessel shall receive an authorization from the relevant coastal or port State before engaging in the transshipment.

## **General Reporting Requirements**

### *Reporting*

7. All reporting to the Secretariat related to a transshipment, or other transfer activity, shall be provided electronically (e.g. email, facsimile, etc.). This includes advance notifications, transshipment declarations, and observer transshipment reports.
8. All reporting shall comply with the procedures to be adopted by the Commission.

### *Reporting of Bycatch and Unregulated Species*

9. All reporting related to a transshipment shall include all marine species, including bycatch and unregulated species, taken in the Convention Area.

### *Record of Transshipment Declarations*

10. A fishing vessel shall maintain an electronic or physical record on board the fishing vessel of each transshipment it has engaged in during the current trip. The record shall include each transshipment declaration.

11. A Commission Member, or Cooperating non-Contracting Party, shall maintain an electronic or physical record of each transshipment engaged in by each of its fishing vessels for the current year. The record shall include each transshipment declaration.

## **Advance Notifications**

### *Advance Notifications for Transshipments*

12. A fishing vessel, or a Commission Member or Cooperating non-Contracting Party on behalf of the vessel, shall provide an advance notification to the authorities listed in paragraph 13 as soon as possible, and at least 24 hours in advance of the intended transshipment. The advance notification form is included in Annex I.
13. The fishing vessel, or Commission Member or Cooperating non-Contracting Party, shall provide the advance notification to:
  - a) the Commission Member, or Cooperating non-Contracting Party, of its flag, if the advance notification is provided by the fishing vessel; and
  - b) the Secretariat.

### *Advance Notification of Other Transfer Activities*

14. A receiving vessel, or a Commission Member or Cooperating non-Contracting Party on behalf of the receiving vessel, shall provide an advance notification to the authorities listed in paragraph 13 as soon as possible, and at least 24 hours in advance of the intended other transfer activity. The advance notification form is included in Annex I.

### *Modifications to the Advance Notification*

15. If the transshipment does not start after 24 hours of the estimated start time, or within 20 nautical miles of the estimated start location, as contained in the advance notification, the fishing vessels involved in the transshipment, or Commission Members or Cooperating non-Contracting Parties on their behalf shall modify the submitted advance notification.
16. If the other transfer activity does not start after 24 hours of the estimated start time, or within 20 nautical miles of the estimated start location, as contained in the advance notification, the receiving vessel, or Commission Member or Cooperating non-Contracting Party of the receiving vessel, shall modify the submitted advance notification.

### *Provision of Authorization from Relevant Flag and Coastal or Port State*

17. If a fishing vessel intends to engage in a transshipment in an area under national jurisdiction, including in a port, it shall not start the operation unless an authorization from the relevant flag and coastal or port State has been provided following the receipt of the transshipment advance notification.

### *Cancellation of Transshipment*

18. If a transshipment is cancelled before it is undertaken, a fishing vessel intending to engage in the transshipment, or the Commission Member or Cooperating non-Contracting Party whose fishing vessel intended engage in the transshipment, shall notify the Secretariat of the cancellation as soon as possible.

## **Other At-Sea Requirements**

### *Commission Member and Cooperating non-Contracting Party Responsibility*

19. After receiving an advance notification for a transshipment, a Commission Member, or Cooperating non-Contracting Party, shall verify that their fishing vessel complies with the Convention and all conservation and management measures.
20. If a Commission Member, or Cooperating non-Contracting Party, receives suitably documented information that its flagged fishing vessel is, or appears to be, non-compliant with the Convention, or a conservation and management measure, the Commission Member, or Cooperating non-Contracting Party, shall conduct an investigation.
21. The investigating Commission Member, or Cooperating non-Contracting Party, shall provide a report on the progress of the investigation, including an attestation of the fishing vessel's status under paragraph 19, no later than 60 days after receiving the information, to:
  - a) the Secretariat; and
  - b) the Commission Member, or Cooperating non-Contracting Party that provided the information.

Following the investigation process, information shall be provided about any appropriate enforcement action taken in line with its national laws.

22. If a fishing vessel receives catch from more than one offloading vessel, the fishing vessel shall ensure that the catch from each offloading vessel is stored separately and readily identifiable. The receiving vessel shall have a stowage plan available on board at all times.

#### *Mobile Transmitting Unit Failure*

23. In the event of mobile transmitting unit failure, the transshipment shall be suspended, and only resume once the fishing vessel complies with the relevant procedures in *CMM on the Vessel Monitoring Systems (VMS)*.

### **Transshipment Declaration**

24. A fishing vessel having engaged in, or a Commission Member or Cooperating non-Contracting Party whose fishing vessel has engaged in, a transshipment shall provide a transshipment declaration to the authorities listed in paragraph 25 as soon as possible, and no later than 10 days after the transshipment. The transshipment declaration form is included in Annex II.
25. A fishing vessel, or a Commission Member or a Cooperating non-Contracting Party, shall provide the transshipment declaration to:
- a) the Commission Member, or Cooperating non-Contracting Party, of its flag; and
  - b) the Secretariat.

### **Independent Monitoring and Reporting**

#### *Responsibility for Observers*

26. The Commission shall establish a regional observer and/or electronic monitoring program no later than its 9<sup>th</sup> Commission meeting. Until the Commission establishes an observer and/or electronic monitoring program, a Commission Member, or Cooperating non-Contracting Party, is responsible for the deployment of independent, impartial, and qualified observers to fulfill the requirements of this measure.
27. An observer is deemed to be independent, impartial, and qualified if the observer:
- a) is deployed from a Commission Member's, or Cooperating non-Contracting Party's, national observer program, and familiar with NPFC fisheries resources, fishing activities, and CMMs;

- b) is neither part of the crew, nor has any employment or family relationship to the ownership or operator of the fishing vessel; and
- c) does not have any shared business interests with the owner or operator of the fishing vessel.

28. An observer shall be provisioned, accommodated, including access to independent communications, and provided safe working conditions by the receiving vessel in accordance with the Commission Member's, or Cooperating non-Contracting Party's, domestic laws and regulations.

#### *Deployment of Observers*

29. A Commission Member, or Cooperating non-Contracting Party, shall ensure that its receiving vessels engaging in a transshipment have an observer on board.
30. A fishing vessel may only engage in one transshipment at a time for each observer that is available to monitor and report on the transshipment.

#### *Access to Fishing Vessels*

31. An observer shall have:
- a) full, unobstructed, and safe access to each fishing vessel involved in the transshipment, including, *inter alia*, access to crew, gear, equipment, records, electronic means of communication, and fish holds; and
  - b) adequate and appropriate space to undertake their responsibilities pursuant to this measure.

#### *Monitoring and Reporting by Observers*

32. An observer shall monitor and report on, to the greatest extent possible, that the transshipment is conducted in a manner consistent with the advance notification and other information available to the observer, and in particular, verify the consistency of transshipped quantities of fisheries resources, or products of fisheries resources.
33. An observer shall record an observer report immediately after each transshipment and keep the report onboard, and provide an observer transshipment report, as specified in Annex III, as soon as possible, but no later than 10 days from the disembarkation of the observer, to:

- a) the Commission Member, or Cooperating non-Contracting Party, of the flags of the receiving vessel and the offloading vessel; and
  - b) the Secretariat.
34. In the case where an observer observes an activity or condition that is not consistent with this conservation and management measure, the observer shall notify the finding, as well as documented evidence, to the extent possible, without delay to the Secretariat and the authorities of the Commission Member or Cooperating non-Contracting Party of the flags of the receiving and offloading vessels.
35. The Commission Member or Cooperating non-Contracting Party of the flag of the vessel whose violation has been observed and notified shall make the best effort to respond to this notification through the Secretariat without delay and undertake investigation on the observed violation. The Commission Member or Cooperating non-Contracting Party shall report any finding and/or relevant actions taken in their Annual Report.
36. The obligations related to observer coverage included in this measure will come into effect as of September 1, 2023.

## **Data and Information Sharing**

### *Establishment of a Transshipment Record*

37. The Commission hereby establishes a record of transshipments, and other transfer activities, hereinafter named the NPFC Transshipment Record, to make all data and information, including all reporting related to, transshipments, and other transfer activities, available to Commission Members and Cooperating non-Contracting Parties, in accordance with the NPFC Data Sharing and Data Security Protocol.
38. The data and information on the NPFC Transshipment Record may be used for either scientific or compliance purposes by:
- a) a Commission Member, or Cooperating non-Contracting Party; or
  - b) the Commission.
39. The Secretariat shall maintain the NPFC Transshipment Record in accordance with Annex IV.

### *Public Availability of Data and Information*



40. The Secretariat shall make aggregated anonymized data and information related to transshipments publicly available on the NPFC website, in accordance with the NPFC Data Sharing and Data Security Protocol.

*Sharing Data and Information with Authorized In-Port Inspectors and Port Authorities*

41. An authorized in-port inspector, or port State authority, may request from the Secretariat, and the Secretariat may provide, data or information related to a fishing vessel's transshipments for in-port inspection purposes, in accordance with the NPFC Data Sharing and Data Security Protocol.

*Sharing Data and Information with Other RFMOs*

42. The NPFC may share data and information related to transshipments with another regional fisheries management organization (RFMO) if the NPFC has entered into a Memorandum of Understanding with that RFMO and if the RFMO agrees to comply with the NPFC Data Sharing and Data Security Protocol.

**Compliance Monitoring**

43. Compliance monitoring of all transshipments shall be undertaken in accordance with the CMM *for the Compliance Monitoring Scheme*.
44. The assessment of compliance shall encompass all transshipments within the scope of this measure.

**Force Majeure**

45. Nothing in this measure prevents a fishing vessel from engaging in a transshipment, or other transfer activity, with another fishing vessel in cases of *force majeure* that threaten the safety of the crew or result in a significant financial loss through fish or fish product spoilage.
46. In the case of *force majeure*, the fishing vessel, or Commission Member or Cooperating non-Contracting Party, shall:
- a) notify the Secretariat prior to the completion of the transshipment, or other transfer activity, as well as the circumstances giving rise to the *force majeure*; and
  - b) provide a transshipment declaration on the transshipment as soon as possible, but within 10 days of the transshipment.

47. The Secretariat shall inform the Commission of each incident of *force majeure* upon receiving notification from the fishing vessel, Commission Member, or Cooperating non-Contracting Party.

## **Annual Reporting and Review**

### *Annual Reporting*

48. Each Commission Member, and Cooperating non-Contracting Party, shall provide an annual summary of the data and information collected from all authorized fishing vessels having undertaken a transshipment, including each year's transshipment declarations, to the Commission at the Technical and Compliance Committee meeting. The summary shall be included in the Annual Report, as per Article 16(3) of the Convention. The template for this summary is included in Annex V.
49. A Commission Member, or Cooperating non-Contracting Party, shall take all reasonable steps to verify the information received from fishing vessels having engaged in a transshipment.
50. Each year, the Secretariat shall produce and present a summary report on the implementation of this measure to the annual meeting of the Technical and Compliance Committee for review. This report shall include summarized information collected from observers, offloading vessels and receiving vessels, and responses from Commission Members and Cooperating non-Contracting Parties on their observer transshipment reports.
51. Commission Members and Cooperating non-Contracting Parties shall investigate instances of potential non-compliance with this measure, and report the results of those investigations to the Commission.

### *Review of Measure*

52. This measure will be reviewed regularly at the Annual Session of the Commission. This review will take into account, *inter alia*:
- a) the latest advice from the Technical and Compliance Committee regarding the effectiveness of this measure in:
    - i) providing the Commission with information about transshipments; and
    - ii) supporting effective monitoring, control, and surveillance activities in line with the obligations of the Convention and conservation and management measures;

- b) required levels of observer coverage and the potential use of electronic monitoring; and,
- c) the scope and provisions of this measure.

## ADVANCE NOTIFICATION

### INSTRUCTIONS

In completing the advance notification, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

### CLARIFICATIONS

To assist in the accurate and clear completion of the advance notification:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- “NW” is an abbreviation for “national waters”;
- “OTA” is an abbreviation for “other transfer activities”;
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- for “FAO CODE”, utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
  - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
- for “GEOGRAPHIC LOCATION”, state where the fisheries resource (or fisheries resource processed into a product) was taken; and
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ).

| ADVANCE NOTIFICATION FOR TRANSSHIPMENTS (1/2)      |   |  |                  |
|--|---|--|------------------|
| PART I – VESSEL INFORMATION                        |   |  |                  |
|  | INFORMATION   | OFFLOADING VESSEL  | RECEIVING VESSEL |
| 1  | Vessel Name   |  |                  |
| 2  | Flag State  |  |                  |
| 3  | IMO number  |  |                  |
| 4  | IRCS, if eligible, or registration number               |  |                  |
| 5  | Start of Trip   |  |                  |
|  | Port Name   |  |                  |
|  | Date of Departure                                       |  |                  |
| 6  | End of Trip (if known)                                  |  |                  |
|  | Port Name   |  |                  |
|  | Date of Entry   |  |                  |
| PART II – INFORMATION ON ANTICIPATED TRANSSHIPMENT |   |  |                  |
| 7  | Transshipment Location                                  | <input type="checkbox"/> High Seas, In Convention Area <input type="checkbox"/> In Port<br><input type="checkbox"/> High Seas, Outside Convention Area <input type="checkbox"/> NW |                  |
|  | Port Name (if applicable)                               |  |                  |
|  | NW (if applicable)                                      |  |                  |
|  | Latitude and Longitude (estimated)                      | Latitude:  | Longitude:       |
| 8  | Transshipment Start Date (estimated)                    |  |                  |
| 9  | Transshipment Start Time (estimated)                    |  |                  |
| PART III – VERIFICATION                            |   |  |                  |
| 10   | Vessel Master / Vessel Owner or Company                 |  |                  |
|  | Name  |  |                  |
|  | Nationality   |  |                  |
|  | Email address (as applicable)                           |  |                  |
|  | Telephone number (as applicable)                        |  |                  |
|  | Signature   |  |                  |
| 11   | Observer (for the receiving vessel only, if applicable) |  |                  |
|  | Name  |  |                  |
|  | Nationality   |  |                  |
|  | Signature   |  |                  |

## ADVANCE NOTIFICATION FOR TRANSSHIPMENTS (2/2)

In completing this form, ensure the estimated information is as accurate as reasonably possible.

Weight (kg) or unit used (e.g. box, basket), and the estimated total weight in kg:

| FAO Code | Geographic Location | State of Fish | Type of product (whole, G&G, etc.) | Unit | Kg per unit | Number of Units | TOTAL (kg) |
|----------|---------------------|---------------|------------------------------------|------|-------------|-----------------|------------|
|          |                     |               |                                    |      |             |                 |            |
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| ADVANCE NOTIFICATION FOR OTHER TRANSFER ACTIVITIES |   |  |                  |
|--|---|--|------------------|
| PART I – VESSEL INFORMATION                        |   |  |                  |
|  | INFORMATION                               | OFFLOADING VESSEL                                      | RECEIVING VESSEL |
| 1  | Vessel Name                               |  |                  |
| 2  | Flag State                                |  |                  |
| 3  | IMO Number                                |  |                  |
| 4  | IRCS, if eligible, or registration number |  |                  |
| PART II – INFORMATION ON ANTICIPATED OTA           |   |  |                  |
| 5  | OTA Location                              | <input type="checkbox"/> High Seas, In Convention Area |                  |
|  | Latitude and Longitude<br>(estimated)     | Latitude:  | Longitude:       |
| 6  | OTA Start Date (estimated)                |  |                  |
| 7  | OTA Start Time (estimated)                |  |                  |
| PART III – VERIFICATION                            |   |  |                  |
| 8  | Vessel Master                             |  |                  |
|  | Name                                      |  |                  |
|  | Nationality                               |  |                  |
|  | Signature                                 |  |                  |

## TRANSSHIPMENT DECLARATION

### INSTRUCTIONS

In completing the transshipment declaration, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

### CLARIFICATIONS

To assist in the accurate completion of the transshipment declaration:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- “NW” is an abbreviation for “national waters”;
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- for “FAO CODE”, utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
  - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
- for “GEOGRAPHIC LOCATION”, state where the fisheries resource (or fisheries resource processed into a product) was taken; and
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ).



| TRANSSHIPMENT DECLARATION (1/2)     |   |  |  |
|-------------------------------------|---|--|--|
| PART I – VESSEL INFORMATION         |   |  |  |
|                                     | INFORMATION   | OFFLOADING VESSEL  | RECEIVING VESSEL   |
| 1                                   | Vessel Name   |  |  |
| 2                                   | Flag State  |  |  |
| 3                                   | IMO number  |  |  |
| 4                                   | IRCS, if eligible, or registration number                 |  |  |
| 5                                   | Vessel Owner or Company (if different from Vessel Master) |  |  |
|                                     | Name  |  |  |
|                                     | Nationality   |  |  |
|                                     | Phone Number  |  |  |
|                                     | Email   |  |  |
| 6                                   | Start of Trip   |  |  |
|                                     | Port Name   |  |  |
|                                     | Date of Departure   |  |  |
| 7                                   | End of Trip (if known)                                    |  |  |
|                                     | Port Name   |  |  |
|                                     | Date of Entry   |  |  |
| PART II – TRANSSHIPMENT INFORMATION |   |  |  |
|                                     | INFORMATION   | COMMENCEMENT   | COMPLETION   |
| 8                                   | Transshipment Location                                    | <input type="checkbox"/> High Seas, In Convention Area<br><input type="checkbox"/> High Seas, Outside CA<br><input type="checkbox"/> In NW<br><input type="checkbox"/> In Port | <input type="checkbox"/> High Seas, In Convention Area<br><input type="checkbox"/> High Seas, Outside CA<br><input type="checkbox"/> In NW<br><input type="checkbox"/> In Port |
|                                     | Port Name (if applicable)                                 |  |  |
|                                     | NW (if applicable)  |  |  |
|                                     | Latitude  |  |  |
|                                     | Longitude   |  |  |
| 9                                   | Transshipment Date  |  |  |
| 10                                  | Transshipment Time  |  |  |
| PART III - VERIFICATION             |   |  |  |
|                                     | INFORMATION   | OFFLOADING VESSEL  | RECEIVING VESSEL   |
| 10                                  | Vessel Master / Vessel Owner or Company                   |  |  |
|                                     | Name  |  |  |
|                                     | Nationality   |  |  |
|                                     | Signature   |  |  |
| 11                                  | Observer  |  |  |
|                                     | Name  |  |  |
|                                     | Nationality   |  |  |
|                                     | Signature   |  |  |

## TRANSSHIPMENT DECLARATION (2/2)

### PART I – FISHERIES RESOURCES OR PRODUCTS TRANSSHIPPED

Weight (kg) or unit used (e.g. box, basket) and the estimated total weight in kg:

| FAO Code | Geographic Location | State of Fish | Type of product (whole, G&G, etc.) | Unit | Kg per unit | Number of Units | TOTAL (kg) |
|----------|---------------------|---------------|------------------------------------|------|-------------|-----------------|------------|
|          |                     |               |                                    |      |             |                 |            |
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### PART II – FISHERIES RESOURCES OR PRODUCTS STILL ON OFFLOADING VESSEL (for offloading vessel)

| FAO Code | Geographic Location | State of Fish | Type of product (whole, G&G, etc.) | Unit | Kg per unit | Number of Units | TOTAL (kg) |
|----------|---------------------|---------------|------------------------------------|------|-------------|-----------------|------------|
|          |                     |               |                                    |      |             |                 |            |
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### PART III – FISHERIES RESOURCES OR PRODUCTS CURRENTLY ON RECEIVING VESSEL (for receiving vessel)

| FAO Code | Geographic Location | State of Fish | Type of product (whole, G&G, etc.) | Unit | Kg per unit | Number of Units | TOTAL (kg) |
|----------|---------------------|---------------|------------------------------------|------|-------------|-----------------|------------|
|          |                     |               |                                    |      |             |                 |            |
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## OBSERVER TRANSSHIPMENT REPORT

### INSTRUCTIONS

In completing the observer transshipment report, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

The observer must provide (e.g. as an attachment) the completed transshipment declaration with the completed observer transshipment report. It is the responsibility of the observer to provide sufficient reasoning in order to effectively explain any non-compliance.

### CLARIFICATIONS

To assist in the accurate completion of the observer transshipment report:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- “NW” is an abbreviation for “national waters”;
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- for “FAO CODE”, utilize the FAO 3-alpha codes found at [www.npfc.int/priority-species](http://www.npfc.int/priority-species), or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes ([fao.org](http://fao.org));
- for “GEOGRAPHIC LOCATION”, state where the fisheries resource (or fisheries resource processed into a product) was taken;
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ); and
- “Interruptions” refers to any stoppage in observation of the transshipment by the observer.

| OBSERVER TRANSSHIPMENT REPORT (1/1)  |                                      |  |
|--|--------------------------------------|--|
| Please ensure that the completed transshipment declaration is attached/provided.   |                                      |  |
| PART I – OBSERVED TRANSSHIPMENT INFORMATION  |                                      |  |
| 1  | Observed (Y/N)                       |  |
| 2  | Total Hours Observed                 |  |
| 3  | Interruption(s) in Observation (Y/N) |  |
| 4  | Number of Interruptions              |  |
| 5  | Total Time Interrupted               |  |
| PART II - COMMENTARY   |                                      |  |
| <p>In this section, the observer shall note any observed non-compliance with CMM 2023-03 <i>on Transshipments</i>, including the verification of the consistency of the transshipped quantities (by species) of fisheries resources, or products of fisheries resources.</p> |                                      |  |
|  |                                      |  |
| PART III - VERIFICATION  |                                      |  |
| 6  | Observer                             |  |
|  | Name                                 |  |
|  | Nationality                          |  |
|  | Signature                            |  |

**NPFC TRANSSHIPMENT RECORD**

In order to facilitate the availability of reporting data and information on transshipments, the following shall be implemented:

*Objective*

1. The Secretariat shall ensure that all data and information related to transshipments and other transfer activities, including all reporting, is immediately available through the NPFC Transshipment Record to all Commission Members, and Cooperating non-Contracting Parties, upon reception.

*General Specifications*

2. The NPFC Transshipment Record shall be maintained on, and be accessible through, the secure NPFC website.
3. The NPFC Transshipment Record shall record each transshipment, and other transfer activity, conducted pursuant to this measure.
4. Each transshipment, and other transfer activity, shall be recorded through a profile for the transshipment, or other transfer activity. The profile shall contain:
  - a) the advance notification for each fishing vessel involved;
  - b) the authorization from the relevant coastal or port State authority for each fishing vessel involved, if applicable;
  - c) the transshipment declaration for each fishing vessel involved; and
  - d) if a transshipment, the observer transshipment report.

*Specifications for the Record in the Event of Force Majeure*

5. If a transshipment, or other transfer activity, is conducted because of *force majeure*, a profile shall be generated and it shall contain:
  - a) the notification of the transshipment, or other transfer activity, and the circumstances giving rise to the *force majeure*; and
  - b) the transshipment declaration.

### *Direct Entry Scheme*

6. The NPFC Transshipment Record shall have a secure direct entry submission webpage to receive:
  - a) advance notifications;
  - b) authorizations from relevant coastal or port States;
  - c) transshipment declarations; and
  - d) observer transshipment reports.
7. The NPFC Transshipment Record shall not accept for submission any advance notification, transshipment declaration, or observer transshipment report that does not satisfy the required data and information.

### *Integration with the NPFC Vessel Registry*

8. Each profile in the NPFC Transshipment Record shall have a link to the NPFC Vessel Registry for each fishing vessel involved in the transshipment, or other transfer activity.
9. Each profile for a fishing vessel in the NPFC Vessel Registry shall have a link to the NPFC Transshipment Record profile of each transshipment, or other transfer activity, that the fishing vessel was involved in.

**INFORMATION TO BE INCLUDED IN THE ANNUAL SUMMARY OF TRANSSHIPMENT**

Each Commission Member and Cooperating non-Contracting Party shall include in Part 1 of its Annual Report to the Commission:

- 1.** The total quantities, by weight, of fish stocks covered by this measure that were transshipped by fishing vessels the Commission Member or Cooperating non-Contracting Party is responsible for reporting against, with those quantities broken down by:
  - a)** offloaded and received;
  - b)** transhipped inside the Convention Area, within an EEZ, in port and high seas outside the Convention Area;
  - c)** caught inside the Convention Area and caught outside the Convention Area;
  - d)** species; and,
  - e)** product form.
- 2.** The number of transshipments covered by this measure by fishing vessels that it is responsible for reporting against, broken down by:
  - a)** offloaded and received;
  - b)** transhipped inside the Convention Area, within an EEZ, in port and outside the Convention Area; and
  - c)** caught inside the Convention Area and caught outside the Convention Area.