

THE GOVERNMENT OF THE REPUBLIC OF CROATIA

880

Pursuant to Article 4 of the Act on Ratification of the Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal (Official Gazette – International Agreements 3/94) and Article 103 subparagraph 3 of the Waste Act (Official Gazette 178/2004, 111/2006 and 60/2008), the Government of the Republic of Croatia, at its session on 25 March 2009, adopted the following

REGULATION

ON AMENDMENTS TO THE REGULATION ON SUPERVISION OF TRANSBOUNDARY MOVEMENT OF WASTE

Article 1

In the Regulation on supervision of transboundary movement of waste (Official Gazette 69/2006 and 17/2007), in Article 3 item 9, the word: “Notifications” is replaced by the word: “Notification”.

In item 10, the word: “DPO” is replaced by the word: “DOP”.

After item 11, items 12 and 13 are added and read:

“12. Shipping is transboundary movement of waste intended to be recovered or disposed of, which is planned or is already underway.

13. Illegal shipment is any shipment

a) of hazardous waste shipped for the purpose of recovery or disposal, or non-hazardous waste shipped for the purpose of disposal:

– without notification to all competent authorities involved in transboundary movement pursuant to this Regulation, or

– without the consent of all competent authorities involved in transboundary movement pursuant to this Regulation, or

– with consent obtained from competent authorities involved in transboundary movement through falsification, misrepresentation or fraud, or

– in a manner which is not specifically mentioned in the Notification or the Document on movements, or

– in a manner which leads to recovery or disposal of waste contrary to regulations of international law, or

– contrary to the provisions of the Waste Act and its implementing regulations;

b) of non-hazardous waste shipped for the purpose of recovery:

– without an appropriate accompanying form on the transboundary movement of non-hazardous waste, or

– in a manner which leads to recovery of waste contrary to regulations of international law, or

– contrary to the provisions of the Waste Act and its implementing regulations.”

Article 2

In Article 6 paragraph 3, after the word: “Croatia”, the words: “for the purpose of recovery” are added.

In paragraph 4, after the word: “Croatia”, the words: “for the purpose of recovery” are added.

After paragraph 4, paragraph 5 is added and reads:

“(5) The provisions of this Regulation laying down transboundary movements of hazardous waste shall apply to all shipments of non-hazardous waste exported from the Republic of Croatia or transiting through the territory of the Republic of Croatia for the purpose of disposal.”

Article 3

In Article 7 paragraph 3, the word: “two” is replaced by the word: “four”.

Article 4

After Article 7, Article 7a is added and reads:

“Article 7a

(1) If certain details and/or conditions of shipping, for which the decision referred to in Article 7 paragraph 1 of this Regulation was issued, have changed, including changes related to the planned quantity of waste, direction of the shipment’s movement, date of shipment or carrier, the notifier of waste shall immediately inform the Ministry, all competent authorities of destination and transit and the consignee thereof.

(2) In the cases referred to in paragraph 1 of this Article, the notifier of waste shall submit a new notification, unless all competent authorities of destination and transit have delivered a written consent, stating that no new notification is required for the proposed changes.

(3) If such changes include other competent authorities, beside the ones mentioned in the original notification, the notifier of waste shall submit a new notification.”

Article 5

After Article 10, Articles 10a and 10b are added and read:

“Article 10a

(1) Provisions of Article 8 of the Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal shall apply to the taking back of waste whose recovery or disposal cannot be carried out as planned in line with requirements from the Notification on transboundary movement and/or provisions of the contracts referred to in Article 48 and Article 50 of the Waste Act.

(2) Provisions of Article 9 of the Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal shall apply to the taking back of waste in case of illegal traffic.

(3) The waste referred to in paragraphs 1 and 2 of this Article shall be taken back to the temporary customs warehouse referred to in Article 15 paragraph 2 of this Regulation and stored there until it is exported again, or returned to the notifier of waste or delivered to the authorised person in the Republic of Croatia.

(4) For export of the hazardous waste referred to in paragraphs 1 and 2 of this Article, the notifier of waste, or another legal or natural person on the notifier's behalf, shall obtain a new decision from the Ministry, unless all competent authorities of destination and transit have delivered a written consent stating that a new Notification is not required.

(5) All the costs incurred by the taking back of waste and its storing in the temporary customs warehouse shall be covered by the notifier of waste or the notifier's legal successor, in line with this Regulation.

Article 10b

(1) As an exception, the shipment of waste referred to in Article 10 paragraph 1 of this Regulation shall not be returned to the Republic of Croatia in the following cases:

– if the notifier of waste, or another legal or natural person on the notifier's behalf, is able to arrange an acceptable method of waste recovery or disposal in the state of destination or any other state, or

– if the consignee has mixed the waste with other waste, so that they can no longer be separated.

(2) If recovery or disposal of hazardous waste may be ensured in the state of destination, a written consent of all competent authorities of transit and destination shall be required, and the notifier of waste shall submit an argued request for approving the change of the recovery or disposal plant to the competent authority of destination, and notify the Ministry thereof.

(3) The notifier of waste may, due to justified reasons, ask the Ministry to submit the request referred to in paragraph 2 of this Article.

(4) If recovery or disposal of hazardous waste is arranged outside the state of destination, the written consent of all competent authorities of transit and destination shall be required, as well as a new decision of the Ministry.”

Article 6

In Article 17, after the words: “non-hazardous waste”, the words: “for the purpose of recovery” are added.

In the second indent, the words: “Annex III” are replaced by the words: “Annex II”, and the words: “(Green List of Waste)” are deleted.

Article 7

OPP, DOP and PLPPNO forms referred to in Article 23 of the Regulation are replaced by new OPP, DOP and PLPPNO forms, which are printed along with this Regulation and constitute an integral part thereof.

Article 8

This Act shall enter into force on the eighth day after the day of its publication in the Official Gazette.

Class: 310-33/09-01/01
Reg. No: 5030105-09-1
Zagreb, 25 March 2009

Prime Minister
Ivo Sanader, m.p.

ANNEX I.A

ACCOMPANYING FORM ON THE MOVEMENT OF NON-HAZARDOUS WASTE
(Croatian original)

REPUBLIKA HRVATSKA

Obrazac PLPPNO

Ministarstvo zaštite okoliša, prostornog uređenja i graditeljstva Uredba o nadzoru prekograničnog prometa otpadom

PRATEĆI LIST O PREKOGRANIČNOM PROMETU NEOPASNOG OTPADA

Podaci o pošiljci (1)

1. Posiljatelj otpada: Naziv: Adresa: Kontakt osoba: Tel.: Faks: E-mail:		2. Uvoznik/primatelj Naziv: Adresa: Kontakt osoba: Tel.: Faks: E-mail:	
3. Količina: Tona (Mg): m ³ :		4. Datum slanja pošiljke:	
5.(a) Prvi prijevoznik: (2) Naziv: Adresa: Kontakt osoba: Tel.: Faks: E-mail: Način prijevoza: Datum prijevoza: Potpis:	5.(b) Drugi prijevoznik Naziv: Adresa: Kontakt osoba: Tel.: Faks: E-mail: Način prijevoza: Datum prijevoza: Potpis:	5.(c) Treći prijevoznik Naziv: Adresa: Kontakt osoba: Tel.: Faks: E-mail: Način prijevoza: Datum prijevoza: Potpis:	
6. Proizvođač otpada (3): Stvari proizvođači, novi proizvođači ili skupljač: Naziv: Adresa: Faks: Kontakt osoba: Tel.: E-mail:		8. Postupak uporabe (ili postupak zbrinjavanja u slučaju otpada za laboratorijsku analizu): R oznaka/D oznaka:	
7. Postrojenje za uporabu <input type="checkbox"/> Laboratorij <input type="checkbox"/> Naziv: Faks: Adresa: Kontakt osoba: Tel.: E-mail:		9. Opis i naziv otpada:	
11. Države u prekograničnom prometu:		10. Identifikacijska oznaka otpada (upisati relevantne oznake): (i) Prilog IX, Baselske konvencije; (ii) OECD (ako se razlikuje od (i)); (iii) Europska lista otpada; (iv) Identifikacijska oznaka u državi uvoza:	
Država izvoza/polazišta	Država provoza	Država uvoza/odredišta	
12. Izjava osobe koja organizira pošiljku: Potvrđujem da su gore navedene informacije potpune i ispravne. Također potvrđujem da postoji ugovorena obveza s uvoznikom otpada. Naziv: Datum: Potpis:			
13. Potpis uvoznika / primatelja nakon primitka otpada: Naziv: Datum: Potpis:			
ISPUNJAVA POSTROJENJE ZA OPORABU ILI LABORATORIJ:			
14. Pošiljka primljena u postrojenje za uporabu <input type="checkbox"/> ili u laboratorij <input type="checkbox"/> Primljena količina: Tona (Mg): m ³ : Naziv: Datum: Potpis:			

(1) Podaci koji moraju pratiti pošiljku su Popisa neopasnog otpada iz Uredbe o kategorijama, vrstama i klasifikaciji otpada s katalogom otpada i listom opasnog otpada namijenjen u uporabi ili laboratorijskoj analizi

(2) Ako ima više od tri prijevoznika, priložite informacije kako se traži u pojima 5(a), (b) i (c).

(3) Ako osoba koja organizira pošiljku nije proizvođač ili skupljač, treba upisati podatke o proizvođaču ili skupljaču.

ANNEX I.B

ACCOMPANYING FORM ON THE MOVEMENT OF NON-HAZARDOUS WASTE
(English original)

REPUBLIC OF CROATIA
Ministry of Environmental protection, Physical Planning and
Construction

Form PLPPNO
Regulation on supervision of transboundary
movement of waste

ACCOMPANYING FORM ON THE TRANSBOUNDARY MOVEMENT OF NON-HAZARDOUS WASTE
Consignment information ⁽¹⁾

1. Person who arranges the shipment: Name: Address: Contact person: Tel: Fax: E-mail:		2. Importer / consignee Name: Address: Contact person: Tel: Fax: E-mail:	
3. Actual quantity: Tonnes (Mg) m ³		4. Actual date of shipment:	
5 (a) First Carrier ⁽²⁾ : Name: Address: Contact person: Tel: Fax: E-mail: Means of transport: Date of transfer: Signature:	5 (b) Second Carrier: Name: Address: Contact person: Tel: Fax: E-mail: Means of transport: Date of transfer: Signature:	5 (c) Third Carrier: Name: Address: Contact person: Tel: Fax: E-mail: Means of transport: Date of transfer: Signature:	
6. Waste generator ⁽²⁾ Original producer(s), new producer(s) or collector: Name: Address: Contact person: Tel: Fax: E-mail:		8. Recovery operation (or if appropriate disposal operation in the case of waste for laboratory analysis) R-code/D-code	
7. Recovery facility Laboratory Name: Address: Contact person: Tel: Fax: E-mail:		9. Usual description of the waste	
10. Waste identification (fill in relevant codes) (i) Basel Annex IX: (ii) OECD (if different from (i)): (iii) EC list of wastes: (iv) National code:			
11. Countries/States concerned:			
Export / dispatch	Transit		Import / destination
12. Declaration of the person who arranges the shipment: I certify that the above information is complete and correct to my best knowledge. I also certify that effective written contractual obligations have been entered into with the consignee (not required in the case of shipment intended for laboratory purposes): Name: Date: Signature:			
13. Signature upon receipt of the waste by consignee: Name: Date: Signature:			
TO BE COMPLETED BY THE RECOVERY FACILITY OR BY THE LABORATORY:			
14. Shipment received recovery facility or laboratory Quantity received: Tonnes (Mg): m ³ Name: Date: Signature:			

⁽¹⁾ Data which must accompany the shipment from the Annex II. of the Regulation on categories, types and classification of waste with the Waste catalogue and List of hazardous waste

⁽²⁾ In case of more than one carrier, data needs to be entered in fields 5 (a), (b) and (c)

⁽³⁾ If the person organising the shipment is not a producer or a collector, data on the generator or collector needs to be entered.

ANNEX II

LAYOUT AND CONTENT OF THE FORM OF NOTIFICATION ON TRANSBOUNDARY MOVEMENT AND DOCUMENT ON MOVEMENTS

The forms of the Notification on transboundary movement and the Document on movements shall be issued in paper format.

The forms shall be printed on paper of the standard ISO A4 dimensions where a frame size of the form does not exceed 183x262 mm, with the margins aligned at the top and the left side of the paper. The Notification form (block 1 to block 21 including footnotes) shall be printed on one side, and the list of the abbreviations used in the form on the reverse side. In the form of the Document of movements, blocks 1 to 19, including footnotes, shall be printed on one side, and blocks 20 to 22 and the list of the abbreviations used in the document on the reverse side.

The ordinal number of the form shall consist of the two-letter abbreviation for the Republic of Croatia in accordance with ISO standard 3166 followed by a spacing and a six-digit number (e.g. HR 000123).

Printed forms shall be filled in with a typewriter or in permanent ink in block capitals. Signatures must be written in permanent ink and the name of the authorised representative must also appear in capital letters to accompany the signature. In the case of a minor mistake, a correction may be made with the approval of the competent authority. The new text must be marked and signed or stamped, stating the date of the modification. For major changes or corrections, a new form must be filled out.

A six-digit format shall be used to indicate the date (DD.MM.YY).

When the form is accompanied by attachments containing additional information, each attachment shall include the reference number of the notification and mention the block to which it relates.

Planned shipments subject to the procedure of prior written notification and consent may be sent only after the forms of the Notification and the Document on movements have been filled in pursuant to this Regulation.

ANNEX III. A

NOTIFICATION DOCUMENT FORM – OPP (English original)

1. Exporter – notifier Name: _____ Registration No: _____ Address: _____ Contact person: _____ Tel: _____ Fax: _____ E-mail: _____		3. Notification No: Notification concerning: A.) Individual shipment: <input type="checkbox"/> (X) Multiple shipments: <input type="checkbox"/> B.) Disposal (X): <input type="checkbox"/> (X) Recovery: <input type="checkbox"/> C. Pre-consented recovery facility (1) (1): Yes <input type="checkbox"/> No <input type="checkbox"/> 4. Total intended number of shipments: _____	
2. Importer – consignee Name: _____ Registration No: _____ Address: _____ Contact person: _____ Tel: _____ Fax: _____ E-mail: _____		5. Total intended quantity (1): Tonnes (Mg): _____ m ³ : _____ 6. Intended period of time for shipment(s) (1): First departure: _____ Last departure: _____	
8. Intended carrier(s) Registration No: _____ Name(s): _____ Address: _____ Contact person: _____ Tel: _____ Fax: _____ E-mail: _____ Means of transport (1): _____		7. Packaging type(s) (1): _____ Special handling requirements (1): Yes: <input type="checkbox"/> No: <input type="checkbox"/> 11. Disposal/recovery operation(s) (1): D-code / R-code (1): _____ Technology employed (1): _____	
9. Waste generator(s) – producer(s) (1) (1) Registration No: _____ Name(s): _____ Address: _____ Contact person: _____ Tel: _____ Fax: _____ E-mail: _____ Site and process of generation (1): _____		Reason for export (X) (1): _____ 12. Designation and composition of the waste (1): _____	
10. Disposal facility (1): <input type="checkbox"/> or recovery facility (1): <input type="checkbox"/> Registration No: _____ Name: _____ Address: _____ Contact person: _____ Tel: _____ Fax: _____ E-mail: _____ Actual site of disposal/recovery: _____		13. Physical characteristics (1): _____ 14. Waste identification (with relevant codes) (i) Basel Annex VII (or IX if applicable): _____ (ii) OECD code (if different from (i)): _____ (iii) EC list of wastes: _____ (iv) National code in country of export: _____ (v) National code in country of import: _____ (vi) Other (specify): _____ (vii) Y-codes: _____ (viii) H-code (1): _____ (ix) UN class (1): _____ (x) UN Number: _____ (xi) UN Shipping name: _____ (xii) Customs code(s) (HS): _____	
15. (a) Countries/States concerned, (b) Code no. of competent authorities where applicable, (c) Specific points of exit or entry (border crossing or port)			
State of export – dispatch		State of import – destination	
(a) _____ (b) _____ (c) _____			
16. Customs offices of entry and/or exit and/or export (European Community): Entry: _____ Exit: _____ Export: _____			
17. Exporter's – notifier's / generator's – producer's (1) declaration: I certify that the information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantees or shall be in force covering the transboundary movement. 18. Number of annexes attached			
Exporter's – notifier's name: _____ Date: _____ Signature: _____ Generator's – producer's name: _____ Date: _____ Signature: _____		_____ _____	
FOR USE BY COMPETENT AUTHORITIES			
19. Acknowledgement from the relevant competent authority of countries of import – destination / transit (1) / export – dispatch (1): Country: _____ Notification received on: _____ Acknowledgement sent on: _____ Name of competent authority: _____ Stamp and/or signature: _____		20. Written consent (X) (1) to the movement provided by the competent authority of (country): Consent given on: _____ Consent valid from: _____ until: _____ Specific conditions: No <input type="checkbox"/> If Yes, see block 21 (1): <input type="checkbox"/> Name of competent authority: _____ Stamp and/or signature: _____	
21. Specific conditions on consenting to the movement document or reasons for objecting			
(1) Required by the law (2) In the case of an E2/E10 or E13/E15 operation, also attach corresponding information on any subsequent E2/E10 or E13/E15 facilities and on the subsequent E2/E10 or E13/E15 facilities when required (3) To be completed for movements within the OECD area and only if (X) applies (4) Attach detailed list if multiple shipments (5) See list of abbreviations and codes on the next page (6) Attach details if necessary (7) Attach list if more than one (8) If required by national legislation (9) If applicable under the OECD Decision			

List of abbreviations and codes used in the notification document

PHYSICAL CHARACTERISTICS (Block 13) 1. Powdery/powder 2. Solid 3. Viscous/paste 4. Sludgy 5. Liquid 6. Gaseous 7. Other (specify)	above
---	-------

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VII and IX codes, OECD codes and Y-codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel Convention.

DISPOSAL OPERATIONS (Block 11)	
D1	Deposit into or onto land, (e.g., landfill, etc.)
D2	Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
D3	Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
D4	Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
D5	Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
D6	Release into a water body except seas/oceans
D7	Release into seas/oceans including sea-bed insertion
D8	Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
D9	Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)
D10	Incineration on land
D11	Incineration at sea
D12	Permanent storage, (e.g., emplacement of containers in a mine, etc.)
D13	Blanding or mixing prior to submission to any of the operations in this list
D14	Repackaging prior to submission to any of the operations in this list
D15	Storage pending any of the operations in this list

RECOVERY OPERATIONS (Block 11)	
R1	Use as a fuel (other than in direct incineration) or other means to generate energy (Base/NOECD) – Use principally as a fuel or other means to generate energy (EU)
R2	Solvent reclamation/regeneration
R3	Recycling/reclamation of organic substances which are not used as solvents
R4	Recycling/reclamation of metals and metal compounds
R5	Recycling/reclamation of other inorganic materials
R6	Regeneration of acids or bases
R7	Recovery of components used for pollution abatement
R8	Recovery of components from catalysts
R9	Used oil re-refining or other reuses of previously used oil
R10	Land treatment resulting in benefit to agriculture or ecological improvement
R11	Uses of residual materials obtained from any of the operations numbered R1-R10
R12	Exchange of wastes for submission to any of the operations numbered R1-R11
R13	Accumulation of material intended for any operation in this list.

PACKAGING TYPES (Block 7)	H-CODE AND UN CLASS (Block 14)		
1. Drum	UN Class	H-code	Characteristics
2. Wooden barrel	1	H1	Explosive
3. Jerrycan	3	H3	Flammable liquids
4. Box	4.1	H4.1	Flammable solids
5. Bag	4.2	H4.2	Substances or wastes liable to spontaneous combustion
6. Composite packaging	4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases
7. Pressure receptacle	5.1	H5.1	Oxidizing
8. Bulk	5.2	H5.2	Organic peroxides
9. Other (specify)	6.1	H6.1	Poisonous (acute)
	6.2	H6.2	Infectious substances
	8	H8	Corrosives
	9	H10	Liberation of toxic gases in contact with air or water
	9	H11	Toxic (delayed or chronic)
	9	H12	Ecotoxic
	9	H13	Capable, by any means, after disposal of yielding another material, e.g., leachate, which possesses any of the characteristics listed

MEANS OF TRANSPORT (Block 8)
R = Road
T = Train/rail
S = Sea
A = Air
W = Inland waterways

ANNEX III.B

Specific instructions for completing the Notification document (English original)

The exporter or the competent authority of the State of export, as appropriate, is to complete blocks 1–18 (except the notification number in block 3). The waste generator, where practicable, should also sign in block 17.

Blocks 1 and 2: Provide the registration number (where applicable), full name, address (including the name of the country), teleTel and fax numbers (including the country code) and e-mail address of the exporter or the competent authority of the State of export, as appropriate, and importer, and also the name of a contact person responsible for the shipment. The Tel and fax numbers and the e-mail address should facilitate contact of all relevant persons at any time regarding an incident during shipment.

Block 3: When issuing a notification document, a competent authority will, according to its own system, provide an identification number which is printed in this block. The appropriate boxes should be ticked to indicate:

A. Whether the notification covers one shipment (single notification) or multiple shipments (general notification);

B. Whether the waste being shipped is destined for disposal or for recovery; and

C. Whether the waste being shipped is destined for a facility which has been granted a pre-consent for receiving certain wastes subject to the Amber control procedure (applies only to transboundary movements of waste between OECD countries).

Blocks 4, 5 and 6: For single or multiple shipments, give the number of shipments in block 4 and the intended date of a single shipment or, for multiple shipments, the dates of the first and last shipments, in block 6. In block 5, give the weight in tonnes or volume in cubic metres of the waste. Other units of the metric system are also acceptable; when used, the unit of measure should be indicated and the unit in the document should be crossed out. For multiple shipments, the total quantity shipped must not exceed the quantity declared in block 5. The intended period of time for movements in block 6 may not exceed one year.

Block 7: Types of packaging should be indicated using the codes provided in the list of abbreviations and codes on the reverse side of the notification form. If special handling precautions are required, such as those required by producers' handling instructions for employees, health and safety information, including information on dealing with spillage, and transport emergency cards, tick the appropriate box and attach the information in an annex.

Block 8: Provide the following necessary information on the carrier or carriers involved in the shipment: registration number (where applicable), full name, address (including the name of the country), teleTel and fax numbers (including the country code), e-mail address and the name of a contact person responsible for the shipment. If more than one carrier is involved, append to the notification document a complete list giving the required information for each carrier. Where the transport is organized by a forwarding agent, the agent's details should be given in block 8 and the respective information on actual carriers should be provided in an annex. Means of transport should be indicated using the abbreviations provided in the list of abbreviations and codes on the reverse side of the notification form.

Block 9: Provide the required information on the generator of the waste. The registration number of the generator should be given where applicable. If the exporter is the generator of the waste then write «Same as block 1». If the waste has been produced by more than one generator, write «See attached list» and append a list providing the requested information for each generator. Where the generator is not known, give the name of the person in possession or control of such wastes.

Block 10: Give the required information on the destination of the shipment by first ticking the appropriate type of facility: either disposal or recovery. The registration number should be given where applicable. If the disposer or recoverer is also the importer, state here «Same as block 2». If the disposal or recovery operation is a D13–D15 or R12 or R13 operation (according to the definitions of operations set out in the list of abbreviations and codes on the reverse side of the notification form), the facility performing the operation should be mentioned in block 10, as well as the location where the operation will be performed. In such a case, corresponding information on the subsequent facility or facilities, where any subsequent R12/R13 or D13–D15 operation and the D1–D12 or R1–R11 operation or operations takes or take place or may take place should be provided in an annex. Provide the information on the actual site of disposal or recovery if it is different from the address of the facility.

Block 11: Indicate the type of recovery or disposal operation by the using R-codes or D-codes provided in the list of abbreviations and codes on the reverse side of the notification form. If the disposal or recovery operation is a D13–D15 or R12 or R13 operation, corresponding information on the subsequent operations (any R12/R13 or D13–D15 as well as D1–D12 or R1–R11) should be provided in an annex. Also indicate the technology to be employed. Specify also the reason for export.

Block 12: Give the name or names by which the material is commonly known or the commercial name and the names of its major constituents (in terms of quantity and/or hazard) and their relative concentrations (expressed as a percentage), if known. In the case of a mixture of wastes, provide the same information for the different fractions and indicate which fractions are destined for recovery. A chemical analysis of the composition of the waste may be required in accordance with national legislation. Attach further information in an annex if necessary.

Block 13: Indicate physical characteristics of the waste at normal temperatures and pressures by using the codes provided in the list of abbreviations and codes on the reverse side of the notification form.

Block 14: State the code that identifies the waste according to the system adopted under the Basel Convention (under subheading (i) in block 14) and, where applicable, the systems adopted in the OECD Decision (under subheading (ii)) and other accepted classification systems (under subheadings (iii) to (xii)).

(a) Subheading (i): Basel Convention Annex VIII and Annex IX codes should be used. Basel Annexes VIII and IX can be found in the text of the Basel Convention as well as in the Instruction Manual available from the Secretariat of the Basel Convention. If a waste is not listed in Annexes VIII or IX of the Basel Convention, insert «not listed».

(b) Subheading (ii): The OECD codes should be used if they differ from the codes in subheading (i).

(c) Subheading (iii): European Union Member States should use the codes included in the European Community list of wastes.

(d) Subheadings (iv) and (v): Where applicable, national identification codes used in the country of export and, if known, in the country of import should be used.

(e) Subheading (vi): If useful or required by the relevant competent authorities, add here any other code or additional information that would facilitate the identification of the waste.

(f) Subheading (vii): State the appropriate Y-code or Y-codes according to the «Categories of wastes to be controlled» (see Annex I of the Basel Convention), or according to the «Categories of wastes requiring special consideration» given in Annex II of the Basel Convention.

(g) Subheading (viii): Here state the appropriate H-code or H-codes, i.e., the codes indicating the hazardous characteristics exhibited by the waste (see the list of abbreviations and codes on the reverse side of the notification form).

(h) Subheading (ix): Here state the United Nations class or classes which indicate the hazardous characteristics of the waste according to the United Nations classification (see the list of abbreviations and codes on the reverse side of the notification form) and are required to comply with international rules for the transport of hazardous materials.

(i) Subheadings (x and xi): Here state the appropriate United Nations number or numbers and United Nations shipping name or names. These are used to identify the waste according to the United Nations classification system and are required to comply with international rules for transport of hazardous materials.

(j) Subheading (xii): Here state customs code or codes, which allow identification of the waste by customs offices (see the list of codes and commodities in the «Harmonized commodity description and coding system» produced by the World Customs Organization).

Block 15: On line (a) of block 15, provide the name of the countries or States of export, transit and import or the codes for each country or State by using the ISO standard 3166 abbreviations. On line (b), provide the code number of the respective competent authority for each country if required by the national legislation of that country and on line (c) insert the name of the border crossing or port and, where applicable, the customs office code number as the point of entry to or exit from a particular country. For transit countries give the information in line (c) for points of entry and exit. If more than three transit countries are involved in a particular movement, attach the appropriate information in an annex.

Block 16: This block should be completed for movements involving entering, passing through or leaving Member States of the European Union.

Block 17: Each copy of the notification document is to be signed and dated by the exporter or the competent authority of the State of export, as appropriate, before being forwarded to the competent authorities of the countries concerned. Under the Basel Convention, the waste generator is also required to sign the declaration; it is noted that this may not be practicable in cases where there are several generators. Further, where the generator is not known, the person in possession or control of the waste should sign. The declaration also certifies the existence of insurance or other financial guarantees and contracting obligations during the transboundary movement of waste.

Block 18: Indicate the number of annexes containing any additional information supplied with the notification document (see blocks 5, 6, 7, 8, 9, 10, 11, 12, 14, 15, 20 or 21). Each annex must include a reference to the notification number to which it relates, which is indicated in the corner of block 3.

Block 19: This block is for use by the competent authority to acknowledge receipt of the notification. Under the Basel Convention, the competent authority or authorities of the country or countries of import (where applicable) and transit issue such an acknowledgement.

Blocks 20 and 21: Block 20 is for use by competent authorities of any country concerned when providing a written consent to a transboundary movement of waste. Indicate the name of the country (or its code by using the ISO standard 3166 abbreviations), the date on which the consent is provided and the date on which it expires. If the movement is subject to specific conditions, the competent authority in question should tick the appropriate box and specify the conditions in block 21 or in an annex to the notification document. If a competent

POSTUPCI ZBRINJAVANJA (Polje 11)	
D1	Odlaganje otpada u ili na tlo (na primjer odlagalište itd.)
D2	Obrada otpada na ili u tlu (na primjer biološka razgradnja tekućeg ili muhevitog otpada u tlu itd.)
D3	Duboko utiskivanje otpada (na primjer utiskivanje otpada oprcima u bušotine, iscrpljena ležišta soli, prirodne šupljine itd.)
D4	Odlaganje otpada u površinske bazene (na primjer odlaganje tekućeg ili muhevitog otpada u jame, bazene, lagune itd.)
D5	Posebno pripremljeno odlagalište (odlaganje u povezane komore koje su zatvorene i izolirane jedna od druge i od okoliša itd.)
D6	Ispuštanje otpada u vode isključujući mora/ocane
D7	Ispuštanje otpada u mora/ocane uključujući i ukapanje u morsko dno
D8	Biološka obrada otpada koja nije specificirana drugdje u ovom Popisu, a koja za posljedicu ima konačne sastojke i mješavine koje se zbrinjavaju bilo kojim postupkom D1 – D12
D9	Fizikalno-kemijska obrada otpada koja nije specificirana drugdje u Popisu, a koja za posljedicu ima konačne sastojke i mješavine koje se zbrinjavaju bilo kojim postupkom D1 – D12 (na primjer isparavanje, sušenje, kalciniranje itd.)
D10	Spaljivanje na kopnu
D11	Spaljivanje na moru
D12	Trajno uskladištenje (na primjer snijestaj spremnika u rudnike itd.)
D13	Spajanje ili mješanje otpada prije podizavanja bilo kojim postupkom D1 – D12
D14	Ponovno pakiranje prije podizavanja bilo kojim od postupaka D1 – D13
D15	Skladištenje prije primjene bilo kojeg od postupaka zbrinjavanja D1 – D14 (osim privremenog skladištenja otpada na mjestu nastanka, prije skupljanja)
POSTUPCI OPORABE (Polje 11)	
R1	Korištenje kao gorivo (ne izravno spaljivanje) ili drugi način proizvodnje energije (Base/NOECD) – Korištenje uglavnom kao gorivo ili drugo sredstvo za proizvodnju energije (EU)
R2	Obnavljanje/regeneracija otpala
R3	Recikliranje/obnavljanje otpadnih organskih tvari koje se ne koriste kao otpala (uključujući kompostiranje i druge procese biološke pretvorbe)
R4	Recikliranje/obnavljanje metala i spojeva metala
R5	Recikliranje/obnavljanje drugih anorganskih materijala
R6	Regeneracija kiselina ili lužina
R7	Oporaba sastojaka koji se koriste za smanjivanje onečišćenja
R8	Oporaba sastojaka iz katalizatora
R9	Ponovna prerada otpadnih ulja ili drugi načini ponovne uporabe otpadnih ulja
R10	Tretiranje tla u svrhu poljoprivrednog ili ekološkog poboljšanja
R11	Korištenje otpada dobivenog iz bilo kojeg od postupaka pod brojem R1 do R10 iz ovog Popisa
R12	Miješanje otpada radi primjene bilo kojeg od postupaka označenih brojevima R1 do R11 iz ovog Popisa
R13	Skladištenje otpada prije bilo kojeg od postupaka R1 do R12 (osim privremenog skladištenja na mjestu nastanka, prije skupljanja)
VRSTE PAKIRANJA (Polje 7)	H-OZNAKA I UN KLASA (Polje 14)
1. Barve	UN klasa H-oznaka Obilježja
2. Drvene posude (barve)	1 H1 Eksplozivno
3. Metalne posude	3 H3 Zapaljive tekućine
4. Kutije	4.1 H4.1 Zapaljive krute tvari
5. Vreće	4.2 H4.2 Tvari ili otpad podložni samozapaljenju
6. Višestojna ambalaza	4.3 H4.3 Tvari ili otpad koji u dodiru s vodom ispuštaju zapaljive plinove
7. Posuda pod tlakom	5.1 H5.1 Oksidacija
8. Rasuta	5.2 H5.2 Organski peroksidi
9. Drugo (navedi)	6.1 H6.1 Otrovi (akutno djelovanje)
	6.2 H6.2 Infektivne tvari
	8 H8 Korozivni
	9 H10 Oslobađanje otrovnih plinova u dodiru sa zrakom ili vodom
	9 H11 Toksične tvari (naknadno ili kronično djelovanje)
	9 H12 Ekotoksične tvari
	9 H13 Koji je u stanju, nakon odlaganja, proizvesti drugu tvar koja ne imati niko od gore navedenih obilježja, npr. kod procjevljanja
NA-İN PRIJEVOZA (Polje 8)	
R – Cesta	
T – Vlak/željeznica	
S – More	
A – Zrak	
W – Unutarnji plovidni putovi	
FIZIKALNA SVOJSTVA (Polje 13)	
1. Praškovići/prah	
2. Vrata	
3. Viskozno/pasta	
4. Muhevito	
5. Tekuće	
6. Plinovito	
7. Drugo (navedi)	

Detaljne upute i informacije vezane uz identifikaciju otpada (polje 14), oznake iz Dodataka VIII i IX Baselske konvencije, OECD oznake i Y-oznake, moguće je naći u Priručniku/Upiti koji su na raspolaganju u OECD-u te u Tajništvu Baselske konvencije.

ANNEX III.D

Specific instructions for completing the Notification document (Croatian original)

Uputa za popunjavanje obrasca Obavijesti o prekograničnom prometu

Izvoznik ili nadležno tijelo države izvoza, treba ispuniti polja 1–18 (izuzev broja obavijesti u polju 3). Ako postoji mogućnost, polje 17 trebao bi također potpisati proizvođač otpada.

Polje 1 i 2: Upisati registracijski broj (kada je primjenjivo), puno ime i adresu (uključujući ime države) izvoznika (Exporter – notifier) odnosno uvoznika (Importer – consignee), puno ime, brojeve telefona i faksa s pozivnim brojem te adresu elektronske pošte osobe zadužene za kontakt (Contact person) za slučaj bilo kakvog izvanrednog događaja tijekom prekograničnog prometa otpada.

Polje 3: Pri izdavanju dokumenta obavijesti o prekograničnom prometu nadležno tijelo po vlastitom sustavu dodjeljuje identifikacijski broj (Notification number) koji je otisnut u ovom polju na svakom obrascu obavijesti. Nadalje, potrebno je označiti odgovarajuća polja kako bi se iskazalo sljedeće:

A. da li obavijest obuhvaća pojedinačnu pošiljku (pojedinačna obavijest – Single movement) ili više pošiljaka (opća obavijest – General notification);

B. da li je otpad koji se prevozi namijenjen zbrinjavanju (Disposal) ili oporabi (Recovery);

C. nije potrebno ispunjavati – odnosi se na promet otpadom unutar OECD-a.

Polja 4, 5 i 6: U polju 4. potrebno je upisati ukupan broj predviđenih pošiljki (Total intended number of shipments), a predviđeni datum pojedinačne pošiljke ili za višestruke pošiljke datume prve i posljednje pošiljke (Intended period of time for shipments) u polju 6. U polju 5. potrebno je upisati masu u tonama ili volumen u kubičnim metrima otpada. Ostale jedinice metričkog sustava također su prihvatljive; kada se koriste treba navesti jedinicu mjere, a jedinicu u dokumentu prekriziti. Za višestruke pošiljke, ukupna poslana količina ne smije prekoračiti količinu navedenu u polju 5. Predviđeno razdoblje za promet u polju 6. ne smije prelaziti jednu godinu. U slučaju višestrukih pošiljki, neke zemlje mogu tražiti da u poljima 5. i 6. ili u prilogu budu navedeni očekivani datumi ili očekivana učestalost i procijenjena količina svake pošiljke. Pojedine zemlje ne zahtijevaju količinu i očekivani datum svake pošiljke, jer je to iz praktičnih razloga pošiljatelju Obavijesti katkad teško točno unaprijed znati.

Polje 7: Upisati podatke o načinu pakiranja (Packaging types). Ako su potrebne posebne mjere opreza pri rukovanju treba označiti odgovarajuće polje i informacije priložiti kao dodatak. Popisi načina pakiranja nalaze se na poleđini obrasca Obavijesti.

Polje 8: Upisati registracijski broj, puno ime i adresu (uključujući ime države) prijevoznika (Intended carrier), brojeve telefona i faksa s pozivnim brojem države, adresu elektronske pošte te ime osobe za kontakt koja je nadležna za pošiljku. Ako je u prijevoz uključeno više prijevoznika tada se navedeni podaci o svim prijevoznicima prilažu u prilogu obavijesti (u polju 7. treba upisati: »Vidi prilog«). Kada prijevoz organizira otpremnik, pojedinosti o otpremniku treba navesti u polju 8, a odgovarajuće informacije o prijevoznicima treba navesti u prilogu.

Upisati podatke o načinu prijevoza (Means of transport). Popisi načina prijevoza nalaze se na poleđini obrasca Obavijesti.

Polje 9: Upisati sve informacije o proizvođaču otpada (Waste generator – producer), mjesto nastanka te opisati postupak u kojem je taj otpad nastao (Site and process of generation). Po mogućnosti treba navesti registracijski broj proizvođača otpada. Kada je izvoznik otpada ujedno i proizvođač otpada, potrebno je upisati: »Isto kao polje 1.« Ako se radi o više proizvođača, treba ih navesti u prilogu Obavijesti zajedno sa svim potrebnim informacijama o svakome od njih, i upisati: »Vidi prilog«. Gdje proizvođač nije poznat, navodi se ime osobe koja posjeduje ili nadzire taj otpad.

Polje 10: Navesti tražene informacije o odredištu pošiljke tako da prvo bude označeno odgovarajuće postrojenje: za zbrinjavanje (Disposal facility) ili za oporabu (Recovery facility). Registracijski broj treba navesti gdje je primjereno. Ako je zbrinjatelj ili oporabitelj također i uvoznik, potrebno je upisati: »Isto kao polje 2«. Ako je postupak zbrinjavanja D13–D15 ili postupak oporabe R12 ili R13, osim postrojenja koje provodi postupak treba navesti i mjesto na kojem će se postupak provoditi (Actual site of disposal/recovery). U tom slučaju treba navesti u prilogu Obavijesti odgovarajuće informacije o svim postrojenjima koja će provoditi naknadne R12/R13 ili D13–D15 postupke te o postrojenjima koja će ili koja bi mogla provoditi naknadne R1–R11 ili D1–D12 postupke. Treba navesti informacije o stvarnoj lokaciji zbrinjavanja ili oporabe ako se razlikuje od adrese postrojenja.

Polje 11: Navesti vrstu postupka oporabe ili zbrinjavanja (D-code/R-code). Ako je operacija zbrinjavanja ili oporabe operacija D13–D15 ili R12 ili R13, odgovarajuće informacije o naknadnim postupcima (sve R12/R13 ili D13–D15 kao i D1–D12 ili R1–R11) trebaju biti navedene u prilogu. Treba navesti također tehnologiju koja će se koristiti i razlog izvoza. Popisi postupaka za zbrinjavanje (Disposal operations, D1 do D15) i oporabe (Recovery operations, R1 do R13) nalaze se na poledini obrasca Obavijesti.

Polje 12: Navesti naziv/e pod kojima je materijal poznat ili trgovački naziv ili nazive njegovih glavnih sastojaka (u pogledu količine i/ili opasnosti) i njihove koncentracije ako su poznate (izražene kao postotak). U slučaju mješavine otpada, treba navesti iste informacije za različite frakcije i navesti koje su frakcije namijenjene oporabi. Kemijska analiza sastava otpada može se tražiti u skladu s nacionalnim zakonodavstvom. Po potrebi daljnje informacije navesti u prilogu.

Polje 13: Opisati fizikalna svojstva (Physical characteristics) otpada pri sobnoj temperaturi i tlaku. Popis fizikalnih svojstava nalazi se na poledini obrasca Obavijesti.

Polje 14: Navesti oznake koje kategoriziraju otpad prema sustavu usvojenom temeljem Baselske konvencije (točka (i)), gdje je primjenjivo oznake prema OECD-u (točka (ii)) te ostale prihvaćene klasifikacijske sustave (točka (iii) do (xii)).

(a) Točka (i): Upisati oznake iz Dodatka VIII (A lista) ili Dodatka IX Baselske konvencije (B lista). Oznake otpada nalaze se u Prilozima I. i II. Uredbe o kategorijama, vrstama i klasifikaciji otpada s katalogom otpada i listom opasnog otpada i skladu su s Dodacima VIII ili IX Baselske konvencije. Ako otpad nije naveden u navedenim Dodacima, upisati »nije navedeno na popisu«.

(b) Točka (ii): Upisati OECD-oznaku ako je različita od oznake iz točke (i.) (Vidjeti Prilog I. Dio 2. ili Prilog II. Dio 2. Uredbe o kategorijama, vrstama i klasifikaciji otpada s katalogom otpada i listom opasnog otpada).

(c) Točka (iii): Upisati oznake sadržane u Europskoj listi otpada. Oznake otpada nalaze se u Uredbi o kategorijama, vrstama i klasifikaciji otpada s katalogom otpada i listom opasnog otpada i sklada su s navedenim popisom.

(d) Točke (iv) i (v): Kada je primjenjivo upisati broj koji označava otpad u zemlji izvoza (Waste identification code in country of export) ili uvoza (Waste identification code in country of import).

(e) Točka (vi): Upisati bilo koju drugu oznaku ili dodatne informacije koje bi olakšale utvrđivanje otpada.

(f) Točka (vii): Upisati oznaku/e Y (Y number) za otpad koji se nalazi u kategoriji otpada koji treba nadzirati u Dodatku I. i II. Baselske konvencije.

(g) Točka (viii): Upisati H oznaku (H number) koja se nalazi u Popisu opasnih karakteristika Dodatka III. Baselske konvencije. Oznake H nalaze se na poleđini obrasca Obavijesti.

(h) Točka (ix): Upisati UN klasu/klasu (UN class) koje trebaju biti u skladu s međunarodnim pravilima za prijevoz opasnih tvari prema UN Preporuci o prijevozu opasnih tvari. UN klase nalaze se na poleđini obrasca Obavijesti.

(i) Točke (x i xi): Navesti odgovarajuću UN oznaku/e (UN Number – četveroznamenasti broj) ili UN naziv/nazive za otpremu (UN Shipping name). Ovo se koristi da bi se otpad utvrdio u skladu s UN klasifikacijskim sustavom i potrebno je za pridržavanje međunarodnih pravila za prijevoz opasnih tvari.

(j) Točka (xii): Navesti odgovarajuću carinsku oznaku/oznake koje carinicima omogućuju utvrđivanje otpada. Popis oznaka i roba nalazi se u »Harmoniziranom sustavu za opisivanje i označavanje roba« kojeg je izradila Svjetska carinska organizacija.

Polje 15: U retku (a) navesti imena država izvoza (State of export), provoza (State of transit) i uvoza (State of import), ili oznake za svaku državu i to korištenjem kratica iz ISO standarda 3166. U retku (b) za svaku zemlju treba navesti brojčanu oznaku nadležnog tijela navedene države ako to zahtijeva nacionalno zakonodavstvo te države, a u retku (c) treba upisati naziv graničnog prijelaza ili luke te po mogućnosti i brojčanu oznaku carinske ispostave kao mjesta ulaska u i/ili izlaska iz određene države. Ako u određenom prometu sudjeluje više od tri države provoza, odgovarajuće informacije navode se u prilogu.

Polje 16: Upisati granični prijelaz ulaza u ili izlaza iz Europske unije.

Polje 17: Svaki primjerak dokumenta obavijesti treba biti potpisan i datiran od strane izvoznika (Exporter-Notifier) ili nadležnog tijela države izvoznice te proizvođača otpada (Generator-Producer) prije nego se dostavi nadležnim tijelima država uključenih u prekogranični promet. U praksi veći broj država zahtijeva da samo izvoznik ovjeri Obavijest o prekograničnom prometu, jer ovjeravanje Obavijesti nije praktično kada se radi o više proizvođača otpada ili kada je proizvođač otpada nepoznat. Time se također ovjerava postojanje ugovorne obveze i financijskog jamstva koje će biti na snazi tijekom prekograničnog prometa opasnog otpada.

Polje 18: Navesti broj priloga u Obavijesti (vidi polja 5, 6, 7, 8, 9, 10, 11, 12, 14, 15, 20 ili 21). Svaki prilog treba sadržavati referencu na broj obavijesti na koju se odnosi.

Polje 19: Dio predviđen za nadležna tijela koja ovjeravaju primitak obavijesti. Po Baselskoj konvenciji obveza je svake države uvoza (ako za to postoji mogućnost) i provoza da u roku tri dana od dana primitka obavijesti potvrdi davaocu obavijesti primitak.

Polje 20 i 21: Polje 20. predviđeno je za nadležna tijela država gdje one označavaju odobrenje prekograničnog prometa opasnog otpada. U ovom dijelu upisuje se ime države ili kratica prema ISO standardu 3166, datum izdavanja Obavijesti (Consent given on), datume do kada do kada obavijest vrijedi (Consent valid from – until) te naziv i pečat nadležnog tijela. Ako se predviđeni promet mora izvesti pod posebnim uvjetima (Specific conditions) tada se to označava u ovom polju, a svi podaci o posebnim uvjetima upisuju se u polje 21. Kada se prekogranični promet odbija, u polju 20. upisuje se »Prigovor« (Objection). Tada se može koristiti polje 21 ili priložiti poseban dopis ili rješenje kojim se obrazlažu razlozi odbijanja.

ANNEX IV.A

MOVEMENT DOCUMENT FORM – DOP (English original)

PROVISIONAL TRANSLATION

1. Corresponding to notification No:		2. Serial/total number of shipments: /	
3. Exporter – notifier Name: Address: Contact person: Tel: E-mail: Registration No: Fax:		4. Importer – consignee Name: Address: Contact person: Tel: E-mail: Registration No: Fax:	
5. Actual quantity: Tonnes (Mgt): m ³ :		6. Actual date of shipment:	
7. Packaging Type(s) (f): Special handling requirements: (f) Yes: <input type="checkbox"/> No: <input type="checkbox"/>		Number of packages: Yes: <input type="checkbox"/> No: <input type="checkbox"/>	
8.(a) 1 st carrier (f): Registration No: Name: Address: Tel: Fax: E-mail:		8.(b) 2 nd carrier (f): Registration No: Name: Address: Tel: Fax: E-mail:	
		8.(c) Last carrier (f): Registration No: Name: Address: Tel: Fax: E-mail:	
----- To be completed by carrier's representative -----		More than 3 carriers (f) <input type="checkbox"/>	
Means of transport (f): Date of transfer: Signature:		Means of transport (f): Date of transfer: Signature:	
9. Waste generator(s) – producer(s) (f)(f)(f): Registration No: Name: Address: Contact person: Tel: E-mail: Site of generation (f): 10. Disposal facility <input type="checkbox"/> or recovery facility <input type="checkbox"/> Registration No: Name: Address: Contact person: Tel: E-mail: Actual site of disposal/recovery (f): 11. Disposal/recovery operational D-code (R-code (f)):		12. Designation and composition of the waste (f): 13. Physical characteristics (f): 14. Waste identification (f) (in relevant codes): (i) Basel Annex VIII (or IX if applicable); (ii) OECD code (if different from III); (iii) EC list of wastes; (iv) National code in country of export; (v) National code in country of import; (vi) Other (specify): (vii) Y-code; (viii) H-code (f); (ix) UN class (f); (x) UN Number; (xi) UN Shipping name; (xii) Customs code(s) (HS):	
15. Exporter's – notifier's / generator's – producer's (f) declaration: I certify that the above information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantee is in force covering the transboundary movement and that all necessary consents have been received from the competent authorities of the countries concerned. Name: Date: Signature:			
16. For use by any person involved in the transboundary movement in case additional information is required			
17. Shipment received by importer – consignee (if not facility): Date: Name: Signature:			
TO BE COMPLETED BY DISPOSAL / RECOVERY FACILITY			
18. Shipment received at disposal facility <input type="checkbox"/> or recovery facility <input type="checkbox"/> Date of reception: Accepted: <input type="checkbox"/> Rejected: <input type="checkbox"/> Quantity received: Tonnes (Mgt): m ³ : Approximate date of disposal/recovery: Disposal/recovery operation (f): Name: Date: Signature:		19. I certify that the disposal/recovery of the waste described above has been completed. Name: Date: Signature and stamp:	

(f) See list of abbreviations and codes on the next page

(f) Attach data if necessary

(f) If more than 3 carriers, attach information as required in block B (a,b,c).

(i) Required by the Basel Convention

(ii) Attach list if more than one

(f) If required by national legislation

FOR USE BY CUSTOMS OFFICES (if required by national legislation)			
20. Country of export – dispatch or customs office of exit The waste described in this movement document left the country on: Signature: Stamp:		21. Country of Import – destination or customs office of entry The waste described in this movement document entered the country on: Signature: Stamp:	
22. Stamps of customs offices of transit countries			
Name of country: Entry:	Exit:	Name of country: Entry:	Exit:
Name of country: Entry:	Exit:	Name of country: Entry:	Exit:

List of Abbreviations and Codes Used in the Movement Document

DISPOSAL OPERATIONS (block 11) D1 Deposit into or onto land, (e.g., landfill, etc.) D2 Land treatment, (e.g. biodegradation of liquid or sludge discards in soils, etc.) D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.) D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.) D5 Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment), etc. D6 Release into a water body except sea/ice/oceans D7 Release into sea/ice/oceans including sea-bed insertion D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.) D10 Incineration on land D11 Incineration at sea D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.) D13 Blending or mixing prior to submission to any of the operations in this list D14 Repackaging prior to submission to any of the operations in this list D15 Storage pending any of the operations in this list		RECOVERY OPERATIONS (block 11) R1 Use as a fuel (other than in direct incineration) or other means to generate energy (Base/OECD) – Use principally as a fuel or other means to generate energy (EU) R2 Solvent reclamation/regeneration R3 Recycling/reclamation of organic substances which are not used as solvents R4 Recycling/reclamation of metals and metal compounds R5 Recycling/reclamation of other inorganic materials R6 Regeneration of acids or bases R7 Recovery of components used for pollution abatement R8 Recovery of components from catalysts R9 Used oil re-refining or other reuses of previously used oil R10 Land treatment resulting in benefits to agriculture or ecological improvement R11 Uses of residual materials obtained from any of the operations numbered R1-R10 R12 Exchange of wastes for submission to any of the operations numbered R1-R11 R13 Accumulation of material intended for any operation in this list	
PACKAGING TYPES (block 7) 1. Drum 2. Wooden barrel 3. Jerrycan 4. Box 5. Bag 6. Composite packaging 7. Pressure receptacle 8. Bulk 9. Other (specify)		H-CODE AND UN CLASS (block 14) UN class H-code Characteristics 1 H1 Explosive 3 H3 Flammable liquids 4.1 H4.1 Flammable solids 4.2 H4.2 Substances or wastes liable to spontaneous combustion 4.3 H4.3 Substances or wastes which, in contact with water, emit flammable gases 5.1 H5.1 Oxidizing 5.2 H5.2 Organic peroxides 6.1 H6.1 Poisonous (acute) 6.2 H6.2 Infectious substances 8 H8 Corrosives 9 H10 Liberation of toxic gases in contact with air or water 9 H11 Toxic (Delayed or chronic) 9 H12 Ecotoxic 9 H13 Capable, by any means, after disposal of yielding another material, e.g., leachate, which possesses any of the characteristics listed above	
MEANS OF TRANSPORT (block 8) R = Road A = Air T = Train/trail W = Inland waterways S = Sea			
PHYSICAL CHARACTERISTICS (block 13) 1. Powdery / powder 5. Liquid 2. Solid 6. Gaseous 3. Viscous / paste 7. Other (specify) 4. Sludgy			

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VII and IX codes, OECD codes and Y-codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel convention.

ANNEX IV.B

Specific instructions for completing the movement document (English original)

Blocks 2–7 and blocks 9–16 have to be completed by the exporter.

Block 8(a) to 8(c) is to be completed by the carrier or its representative.

Block 17 is to be completed by the importer is to complete in the event that it is not the disposer or recoverer and it takes charge of a shipment of waste after it arrives in the country of import.

Block 1: Enter the notification number of the consignment. This is copied from block 3 in the notification document.

Block 2: For a general notification for multiple shipments, enter the serial number of the shipment and the total intended number of shipments indicated in block 4 in the notification document (for example, write 4/11 for the fourth shipment out of eleven intended shipments under the general notification in question). In the case of a single notification, enter 1/1.

Blocks 3 and 4: Reproduce the same information on the exporter or the competent authority of the State of export, as appropriate, and importer as given in blocks 1 and 2 in the notification document.

Block 5: Give the actual weight in tonnes or volume in cubic metres of the waste. Other units of the metric system, such as kilograms or litres, are also acceptable; when used, the unit of

measure should be indicated and the unit in the form should be crossed out. Some countries may always require the weight to be quoted. Attach, wherever possible, copies of weighbridge tickets.

Block 6: Enter the date when the shipment actually starts.

Block 7: Types of packaging should be indicated using the codes provided in the list of abbreviations and codes on the reverse side of the movement document. If special handling precautions are required tick the appropriate box and attach the information in an annex. Also enter the number of packages making up the consignment.

Blocks 8 (a), (b) and (c): Enter the registration number (where applicable), name, address (including the name of the country), teleTel and fax numbers (including the country code) and e-mail address of each actual carrier. When more than three carriers are involved, appropriate information on each carrier should be attached to the movement document. When transport is organized by a forwarding agent, the agent's details should be given in block 8 and the information on each carrier should be provided in an annex. The means of transport, the date of transfer and a signature should be provided by the carrier or carrier's representative taking possession of the consignment. A copy of the signed movement document is to be retained by the exporter. Upon each successive transfer of the consignment, the new carrier or carrier's representative taking possession of the consignment will have to comply with the same request and also sign the document. A copy of the signed document is to be retained by the previous carrier.

Block 9: Reproduce the information given in block 9 of the notification document.

Blocks 10 and 11: Reproduce the information given in blocks 10 and 11 in the notification document. If the disposer or recoverer is also the importer, write in block 10: «Same as block 4». If the disposal or recovery operation is a D13–D15 or R12 or R13 operation, the information on the facility performing the operation provided in block 10 is sufficient. No further information on any subsequent facilities performing R12/R13 or D13–D15 operations and the subsequent facility(ies) performing the D1–D12 or R1–R11 operation(s) needs to be included in the movement document.

Blocks 12, 13 and 14: Reproduce the information given in blocks 12, 13 and 14 in the notification document.

Block 15: At the time of shipment, the exporter shall sign and date the movement document. Some countries may require copies or originals of the notification document containing the written consent, including any conditions, of the competent authorities concerned to be enclosed with the movement document.

Block 16: This block can be used by any person involved in a transboundary movement in specific cases where more detailed information is required by national legislation concerning a particular item.

Block 17: This block is to be completed by the importer in the event that it is not the disposer or recoverer and in case the importer takes charge of the waste after the shipment arrives in the country of import.

Block 18: This block is to be completed by the authorized representative of the disposal or recovery facility upon receipt of the waste consignment. Tick the box of the appropriate type of facility. With regard to the quantity received, please refer to the specific instructions on block 5. A signed copy of the movement document is given to the last carrier. If the shipment is rejected for any reason, the representative of the disposal or recovery facility must immediately contact his or her competent authority. The original movement document shall be retained by the disposal or recovery facility.

Receipt of the waste consignment must be certified by any facility performing any disposal or recovery operation. Indicate also the type of disposal or recovery operation by using the list of abbreviations and codes attached to the movement document and the approximate date by which the disposal or recovery of waste will be completed.

Block 19: This block is to be completed by the disposer or recoverer to certify the completion of the disposal or recovery of the waste. Under the Basel Convention, signed copies of the document with block 19 completed should be sent to the exporter and competent authorities of the country of export.

Blocks 20, 21 and 22: Not required by the Basel Convention. The blocks may be used for control by customs offices at the borders of country of export, transit and import if so required by national legislation.

ANNEX IV.C

MOVEMENT DOCUMENT FORM – DOP (Croatian original)

1. Vezano za obavijest br. _____		2. Serijski / ukupni broj pošiljke: _____ / _____	
3. Izvoznik – pošiljatelj Naziv: Adresa: Kontakt osoba: Tel: E-mail: Faks:		4. Uvoznik – primaatelj Naziv: Adresa: Kontakt osoba: Tel: E-mail: Faks:	
5. Količina: Tona (Mg): m ³ : 7. Pakiranje Vrsta (1): Broj: Posebni uvjeti rukovanja (2): Da: <input type="checkbox"/> Ne: <input type="checkbox"/>		6. Datum slanja pošiljke: _____	
8.(a) Prvi prijevoznik (3): Registracijska oznaka: Naziv: Adresa: Tel: Faks: E-mail:		8.(b) Drugi prijevoznik (3): Registracijska oznaka: Naziv: Adresa: Tel: Faks: E-mail:	
8.(c) Posljednji prijevoznik (3): Registracijska oznaka: Naziv: Adresa: Tel: Faks: E-mail:		----- Ispunjava predstavnik prijevoznika ----- <input type="checkbox"/> <i>Mjesto od 3.prijevoznika (3)</i>	
Prijevozno sredstvo (4): Datum protovaza: Potpis:		Prijevozno sredstvo (5): Datum protovaza: Potpis:	
9. Proizvođač otpada (6)(7)(8): Registracijska oznaka: Naziv: Adresa: Kontakt osoba: Tel: E-mail: Mjesto nastanka (9):		12. Opis i sastav otpada (1):	
10. Postrojenje za zbrinjavanje <input type="checkbox"/> ili uporabu <input type="checkbox"/> Registracijska oznaka: Naziv: Adresa: Kontakt osoba: Tel: E-mail: Mjesto zbrinjavanja/oporabe (2):		13. Fizikalna svojstva (1):	
11. Disposable/covery operational D-code / R-code (1):		14. Identifikacija otpada (apriorno odgovarajuće oznake) (i) Dodatak VIII Baselske konvencije (ii) IX ako je primjenjiv: (a) OECD oznaka (ako je radičiji od II) (iii) Europska lista otpada: (iv) Identifikacijska oznaka u državi izvoza: (v) Identifikacijska oznaka u državi uvoza: (vi) Drugo invidi: (vii) Y-oznaka: (viii) H-oznaka (3): (ix) UN klasa (1): (x) UN broj: (xi) UN naziv za otpremu: (xii) Carinska oznaka/HS:	
15. Izjava izvoznika – pošiljatelja / proizvođača (4) Potvrđujem da su gore navedene informacije potpune i ispravne. Također potvrđujem da postoji zakonska pisano i ugovorana obveza, da su važno sva osiguranja i druga financijska jamstva koja pokrivaju ovaj prekogranični promet i da se zaprimljene sve potrebe i dozvole nadležnih tijela zainteresiranih država. Naziv: _____ Datum: _____ Potpis: _____			
16. Za korištenje bilo koje zainteresiranoj strani uključenoj u prekogranični promet u slučaju potrebe za dodatnim informacijama			
17. Uvoznik primio pošiljku (ako nije postrojenje) Datum: _____ Naziv: _____ Potpis: _____			
ISPUNJAVA POSTROJENJE ZA OPORABU / ZBRINJAVANJE			
18. Pošiljku primio postrojenje za zbrinjavanje Datum primitka: _____ Prilježna količina: Tona (Mg): _____ Predviđeni datum zbrinjavanja / uporabe: Postupak zbrinjavanja / uporabe (1): Naziv: Datum: Potpis:		19. Potvrđujem da je zbrinjavanje / uporaba gore navedenog otpada potpuna izvršena Naziv: Datum: Potpis i pečat:	

(1) Vidi lista koda i oznaka na polju 11

(2) Potrebno ako je potrebno

(3) Ako je više od 3 prijevoznika, prikazi info macije kako se traži u Polju 8 (A,B,C).

(4) Zahtjeva Baselske konvencije

(5) Prikazi popis ako je više od jednog

(6) Ako zahtjeva nacionalno zakonodavstvo

ZA KORIŠTENJE CARINSKIH UREDA (ako zahtjeva nacionalno zakonodavstvo)			
20. Država izvoza – otpremnički ili carinski ured izlaza Otpad opisan u ovom dokumentu o prometu napustio je državu dana: Potpis: Pečat:		21. Država uvoza – određni ili carinski ured ulaza Otpad opisan u ovom dokumentu o prometu usao je u državu dana: Potpis: Pečat:	
22. Pečati carinskih ureda država provoza			
Ime države: Ulaz:	Izlaz:	Ime države: Ulaz:	Izlaz:
Ime države: Ulaz:	Izlaz:	Ime države: Ulaz:	Izlaz:

Popis kratica i oznaka korištenih u Dokumentu o prometu

navesti jedinicu mjere, a jedinicu u dokumentu prekrižiti. Neke zemlje mogu uvijek tražiti da bude navedena masa. Po mogućnosti priložiti kopije odrezaka s mosne vage.

Polje 6: Upisati datum slanja pošiljke.

Polje 7: Upisati podatke o načinu pakiranja te broj paketa/komada koji čine pošiljku. Ako su potrebne posebne mjere opreza pri rukovanju treba označiti odgovarajuće polje i informacije priložiti kao dodatak. Popisi načina pakiranja nalaze se na poleđini obrasca Dokumenta o prometu.

Polja 8 (a), (b) i (c): Upisati registracijski broj, puno ime, adresu (uključujući ime države), brojeve telefona i telefaksa s pozivnim brojem, adresu elektronske pošte te ime osobe za kontakt svakog prijevoznika otpada (Carrier). Kada se radi o više od tri prijevoznika, podaci o svakome od njih unose se u prilog Dokumenta o prometu.

Kada prijevoz organizira otpremnik, pojedinci o otpremniku treba navesti u polju 8, a informacije o svakom prijevozniku treba navesti u prilogu. Prijevozna sredstva, datum pretovara i potpis treba osigurati prijevoznik ili njegov ovlaštenu zastupnik koji preuzima pošiljku. Izvoznik zadržava primjerak Dokumenta o prometu. Nakon svakog narednog pretovara pošiljke novi prijevoznik ispunjava iste uvjete i potpisuje dokument. Prethodni prijevoznik zadržava primjerak potpisanog dokumenta.

Polje 9: Podaci identični podacima u polju 9. Obavijesti o prekograničnom prometu.

Polja 10 i 11: Podaci identični podacima u poljima 10 i 11 Obavijesti o prekograničnom prometu.

Ako je zbrinjatelj ili oporabitelj također i uvoznik, u polje 10 treba upisati: »Isko tako polje 4«. Ako je postupak zbrinjavanja ili uporabe D13–D15 odnosno R12 ili R13, dovoljni su podaci navedeni u polju 10., nije potrebno navoditi podatke o naknadnim D i R postupcima.

Polja 12, 13 i 14: Podaci identični podacima u poljima 12, 13 i 14 Obavijesti o prekograničnom prometu.

Polje 15: Izvoznik (Exporter) otpada mora upisati datum i potpisati Dokument o prometu. Time se također ovjerava istinitost svih podataka i postojanje ugovorne obveze i financijske garancije koja će biti na snazi tijekom prekograničnog prometa opasnog otpada.

Polje 16: Za korištenje svim osobama uključenim u prekogranični promet u posebnim slučajevima kada nacionalno zakonodavstvo traži detaljnije informacije.

Polje 17: Ispunjava uvoznik ako nije zbrinjatelj ili oporabitelj otpada pri primitku otpada.

Polje 18: Ovo polje popunjava ovlaštenu predstavnik postrojenja za zbrinjavanje ili uporabu otpada (Disposal/Recovery Facility) po primitku pošiljke otpada. Potrebno je označiti polje odgovarajuće vrste postrojenja. U pogledu primljene količine, vidjeti upute za polje 5. Potpisana kopija dokumenta daje se zadnjem prijevozniku. Ako je pošiljka odbijena, primatelj mora hitno obavijestiti svoje nadležno tijelo. Izvoznik dokumenta o prometu zadržava postrojenje za zbrinjavanje ili uporabu.

Ako je pošiljka prihvaćena, u roku tri dana primatelj otpada mora poslati potpisanu kopiju izvozniku te nadležnim tijelima država uključenih u prekogranični promet otpadom.

Potrebno je također navesti postupak zbrinjavanja ili uporabe i približan datum do kada će zbrinjavanje ili uporaba otpada biti dovršeni.

Polje 19: Ovo polje popunjava zbrinjatelj ili oporabitelj i time potvrđuje dovršetak zbrinjavanja ili uporabe otpada. Temeljem Baselske konvencije, potpisane primjerke dokumenta s ispunjenim poljem 19 treba poslati izvozniku i nadležnom tijelu države izvoza.

Polja 20, 21 i 22: Baselska konvencija ne zahtijeva posebno popunjavanje. Ova polja mogu koristiti carinske ispostave na granici zemlje izvoza, provoza i uvoza ako to zahtijeva nacionalno zakonodavstvo.

PROVISIONAL TRANSLATION